



Please complete in typescript,
or in bold black capitals.

CHFP001

187220/255
363a

Annual Return

Company Number 2533369

Company Name in full Tullett Liberty (Equities) Limited

Date of this return

The information in this return is made up to

Day Month Year
3 1 / 0 5 / 2 0 0 4

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year
 / /

Registered Office

Show here the address at the date of
this return.

Cable House

54-62 New Broad Street

Any change of
registered office
must be notified
on form 287.

Post town London

County / Region

UK Postcode E C 2 M 1 S T

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal activity.

Cc



Form April 2002

CHAD 16/07/2002

When you have completed and signed the form please send it to the
gistrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
companies registered in England and Wales

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Ms

Forename(s)

Diana

Surname

Dyer Bartlett

Address ††

Ketton House

Rectory Road

Post town

Kedington

County / Region

Suffolk

UK Postcode

C B 9

7 Q L

Country

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

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Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	Mr.										
	Day	Month	Year									
Date of birth	1	9	0	7	1	9	5	4				
Forename(s)	Stephen Roger											
Surname	Corker											
Address ††	10 Monmouth Road											
Post town	London											
County / Region					UK Postcode	W	2		5	S	B	
Country					Nationality	British						
Business occupation	Accountant											

* Voluntary details.

Name	* Style / Title	Mr.										
	Day	Month	Year									
Date of birth	0	3	0	8	1	9	6	4				
Forename(s)	Alasdair John											
Surname	Moore											
Address ††	15 Mansel Road											
Post town	Wimbledon											
County / Region	London				UK Postcode	S	W	1	9	4	A	A
Country					Nationality	British						
Business occupation	Money Broker											

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Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

Ordinary	4618000	£4618000
Totals	4618000	£4618000

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of shareholders is enclosed

☒
☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

SRGOL

Date

03/06/2004

† Please delete as appropriate

† a director / ~~Secretary~~

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes continuation sheets.

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Mrs. Jill Brock

Tullett plc

Cable House

54-62 New Broad Street

London

EC2M 1ST

DX number

DX exchange

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	Mr.			
		Day	Month	Year	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Date of birth	1 9 / 0 9 / 1 9 5 6		
		Forename(s)	Peter		
		Surname	Moss		
Address ^{††}		River Farm House			
<input type="checkbox"/>		Red Wall Lane			
		Post town	Linton		
		County / Region	Kent	UK Postcode	M E 1 7 4 B D
		Country		Nationality	British
Business occupation		Information Technology			

* Voluntary details.

Name	* Style / Title	Mr.			
		Day	Month	Year	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Date of birth	1 6 / 0 5 / 1 9 6 6		
		Forename(s)	Michael		
		Surname	Northeast-Roffey		
Address ^{††}		Blois Hall			
<input type="checkbox"/>		Sible Hedingham			
		Post town	Halstead		
		County / Region	Essex	UK Postcode	C O 9 3 L P
		Country		Nationality	British
Business occupation		Broker			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	Mr.		
		Day	Month	Year
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Date of birth	06/01/1961	
		Forename(s)	Leslie William	
		Surname	O'Malley	
Address ^{††} <input type="checkbox"/>	Cripplegate			
	Silkmore Lane			
	Post town W. Horsley			
	County / Region Surrey		UK Postcode KT24 6J	
		Country	Nationality British	
Business occupation		Money Broker		

* Voluntary details.

Name	* Style / Title	Mr.		
		Day	Month	Year
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		Date of birth	28/07/1966	
		Forename(s)	Stephen Charles	
		Surname	Duckworth	
Address ^{††} <input type="checkbox"/>	Hoop House			
	Green Street Green			
	Post town Little Hadham			
	County / Region Herts		UK Postcode SG11 2EE	
		Country	Nationality British	
Business occupation		Broking		

Company Number 2533369

Company Name in full Tullett Liberty (Equities) Limited

* Voluntary details

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

Details of a new company secretary must be notified on form 288a

Name * Style / Title

Forename(s)

Surname

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Address ††

Post town

County / Region

UK Postcode

Country

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title

Day Month Year

Date of birth

2 0 / 0 5 / 1 9 6 2

Forename(s) Roderick Gordon

Surname Taylor

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Address ††

Minges Farm House

Perry Green

Post town Much Hadham

County / Region Essex

UK Postcode

S G 1 0 6 E P

Country

Nationality British

Business occupation Money Broker



List of past and present shareholders Schedule to form 363a

Company Number 2533369

Company Name in full Tullett Liberty (Equities) Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Tullett Liberty (European Holdings) Limited <hr/> Address Cable House <hr/> 54-62 New Broad Street <hr/> London <hr/> UK Postcode E C 2 M 1 S T	Ord 4618000		
Name <hr/> Address <hr/> <hr/> <hr/> <hr/> UK Postcode L L L L L L L			
Name <hr/> Address <hr/> <hr/> <hr/> <hr/> UK Postcode L L L L L L L			