AMENDING



OMPANIES HOUSE

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF

A09 *AA6W3EX0* 554
COMPANIES HOUSE 25/03/99

This form should be completed in black.

CF4 3UZ

The information printed below is taken from Companies House records as at 20/01/99 If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
014	0,2	9 9

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Registered Office (See note 3)

This is the address registered by Companies House.
ONE AMERICA SQUARE
LONDON
ECON ZLT

Principal business activities (See note 4)

Trade classification is 6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

N.M. 3638

Annual Return

of company number	02505767	J
company name CANTOR FITZGERALD	GILTS	
company type PRIVATE UNLIMITED CAPITAL	COMPANY WITH SHARE	2

AMENDING.

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

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	Day	Month	Year
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Month

Year

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02505767 Register of members (See note 5)	If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.
The register is kept at	
REGISTERED OFFICE	
Register of debenture holders (See note 6)	
Any register of debenture holders (or duplicate) is cept at	•
Company Secretary (See note 7)	Day Month Year
Particulars of a new secretary must be notified on orm 288.	Date of any change.
ROBERT PEARCE FALKNER 6 THORNHILL GROVE LONDON N1 1JG	
this person has ceased to be secretary, please tate when.	Day Month Year Date of resignation.
Directors (See note 7)	
Particulars of a new director must be notified on form 288. LEE MARTIN AMAITIS 56 CHELSEA TOWER WEST CHELSEA MANOR GARDENS LONDON SW3 5PN	Day Month Year
Date of Birth:- 19/12/49 Nat:AMERICAN Occ:SENIOR MANAGING DIRECTOR	
this person has ceased to be director, please ate when.	Day Month Year Date of resignation.
how any relevant current and previous directorships.	

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If the information shown needs amendment, give details below and the date of any change.

Directors - continued	Day Month Year
Particulars.	Date of any change.
ANDREW ANDREOU 46 MEADWAY HAMPSTEAD GARDENS SUBERB LONDON NW11 6PR	
Date of Birth:- 20/03/59 Nat:BRITISH Occ:CHIEF ADMINISTRATIVE OFFICER	
If this person has ceased to be director, please state when.	Day Month Year
Show any relevant current and previous directorships.	
Particulars. DAVID GRAHAM CLARKE 3 WOODSIDE ROAD	Day Month Year
NEW MALDEN SURREY KT3 3AH Date of Birth:- 30/07/60	
Nat:BRITISH OCC:CHIEF ADMINISTRATIVE OFFICER	Day Month Year
If this person has ceased to be director, please state when.	1 10 0 8 9 8 Date of resignation.
S. w any relevant current and previous directorships.	
Particulars.	Day Month Year Date of any change.
ROBERT PEARCE FALKNER 6 THORNHILL GROVE LONDON N1 1JG	
Date of Birth:- 11/08/59 Nat:NEW ZEALAND OCC:GENERAL COUNSEL	
If this person has ceased to be director, please state when.	Day Month Year Date of resignation.
Show any relevant current and previous directorships.	
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If the information shown needs amendment, \dot{g} ive details below and the date of any change.

Directors - continued	
Particulars.	Day Month Year
DAVID TERENCE GARDNER	Date of any change.
THE FIRS	
APPLEDORE KENT TN26 2BT	
MARI INZO ZDI	
Date of Birth:- 25/07/48	
Nat: ENGLISH	
Occ:BOND BROKER	D
If this person has ceased to be director, please	Day Month Year
state when.	Date of resignation.
Show any relevant current and previous directorships.	
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	Day Mark Van
Particulars.	Day Month Year
DOUGLAS BENJAMIN	Date of any change.
GARDNER	
75 CENTRAL PARK WEST	
NO 2B	
NEW YORK NY 10023	
U.S.A	
Date of Birth:- 05/10/61	
Nat: AMERICAN	
Occ: CHIEF ADMINISTRATIVE OFFICER	
If this person has ceased to be director, please	Day Month Year
state when.	Date of resignation.
Show any relevant current and previous directorships.	
	Day Mooth Voor
Particulars.	Day Month Year
HOWARD	Date of any change.
LUTNICK	
200 EAST 69TH STREET	
APARTMENT 32A	;
NEW YORK NEW YORK STATE 10021	
USA	
Date of Pirther 14/05/63	
Date of Birth:- 14/06/61 Nat:AMERICAN	
Occ: EXECUTIVE MANAGER	
If this person has ceased to be director, please	Day Month Year
state when.	Date of resignation.
Show any relevant current and previous directorships.	
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PAGE

If the information shown needs amendment, give details below and the date of any change.

Directors - continued	Day Month Year
Particulars.	Day Month Year Date of any change.
STEPHEN MERKEL 61 JANE STREET APARTMENT 19K NEW YORK NEW YORK NEW YORK NY10014 U.S.A.	
Date of Birth:- 22/06/58 Nat:AMERICAN OCC:GENERAL COUNSEL/SENIOR VICE PR If this person has ceased to be director, please	Day Month Year
state when. Show any relevant current and previous directorships.	Date of resignation.
Particulars. DENNIS GERARD MORONEY 5 CHEAPSIDE ROAD ASCOT BERKSHIRE SL5 7QR	Day Month Year
Date of Birth:- 07/11/61 Nat:AMERICAN Occ:ACCOUNTANT If this person has ceased to be director, please state when. S. w any relevant current and previous directorships.	Day Month Year Date of resignation.
5. JW any relevant current and previous discolorompo.	
Particulars. CLIVE TRIANCE 48 PRIMROSE DRIVE	Day Month Year
KINGSNORTH ASHFORD KENT TN23 3NP	
Date of Birth:- 19/01/67 Nat:BRITISH OCC:HEAD OF SETTLEMENTS	
If this person has ceased to be director, please state when.	Day Month Year Date of resignation.
Show any relevant current and previous directorships.	
PAGE 5	

Issued Share Capital (See note 8) 02505767	Class (eg Ordinary/	Number of shares issued	Aggregate nominal value	
Enter details of all shares in issue at the date of this return.	Preference etc) (NLD1MAP2Y	1468.244	(ie Number of shares issued multiplied by nominal value per share) £ 1,468,514	
· · · · · · · · · · · · · · · · · · ·				
	Totals	1,468,544	£1,468,514	
List of past and present members				
(See note 9) (Use attached schedule where appropriate)	•		Please mark the appropriate box.	
	•	_	not on	
A full list is required.	A full list of men	or onbers is enclosed	paper paper	
Elective resolutions (See note 10) (Private companies only)				
If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.				
If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.		4.5	j	
		RIVI /		
Certificate	Signed		ff ff	
certify that the information given in this return is true to the best of my knowledge and belief.	Date 61 A ov	wh 1000 *(ecketary/Director * delete as appropriate) Zif MykcH/999	
enclose the fee of £ 15.	()	,	
Cheques should be made payable	This return inclu	(enter number)	ontinuation sheets.	
Companies House.		re that you haves on this page.	_	
	MARK SNEU	LING-CIO (A	NTOR FITCERALI	
	·	•	ERICA SQUARE	
Fo whom should Companies House direct any enquiries about the information shown in this return?				
	Postcode CCZN Z LS			
PAGE 6	Telephone O (718947000	Ext	

LIST OF PAST AND PRESENT MEMBERS

SCHEDULE TO FORM 363

COT OF TACE AND THE CENT OF THE WASHINGTON	Account of Shares			\$
Company Number: 02505767	Number of Particulars of shares transferred since the date of the last return,			
Company Name:	shares or	or, in the case of the first return,		
Company Name: CANTOR FITZGERALD GILTS	amount of	since the incorporation of the		i
	stock held	company, by		:
	by existing members at	(a) persons wi		
	date of this	members, a		
~	return.	(b) persons wi	no nave e members.	
	1	Ceased to b		
	Number	Number	Date of	
Name and address	Currently	Transferred	Registration	Remarks
	Held	Transferred	of Transfer	
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CF PARTNERS INC	25,000			
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CANTON TITLESON INTERMEDICATIONAL				
CANTOR FITTGERAD INTERNATIONAL				
DATE ANTONO CONDE				
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LIST OF PAST AND PRESENT MEMBERS (continued) SCHEDULE TO FORM 363 **Account of Shares** Company Number: 02505767 Particulars of shares transferred Number of since the date of the last return, shares or Company Name: or, in the case of the first return, amount of CANTOR FITZGERALD GILTS since the incorporation of the stock held company, by by existing (a) persons who are still members at members, and date of this (b) persons who have return. ceased to be members. Number Date of Number Name and address Registration Currently Remarks Transferred of Transfer Held