

AMENDING.



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



A09 \*AAGW3EX0\* 554  
COMPANIES HOUSE 25/03/99

This form should be completed in black.

The information printed below is taken from Companies House records as at 20/01/99  
If this information requires amendment use the spaces opposite.

**Date of this return** (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
04	02	99

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

**Registered Office** (See note 3)

This is the address registered by Companies House.  
ONE AMERICA SQUARE  
LONDON  
EC3N 2LT

**Principal business activities** (See note 4)

Trade classification is  
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

N.M.

363s

**Annual Return**

of company number 02505767

J

company name  
CANTOR FITZGERALD GILTS

company type  
PRIVATE UNLIMITED COMPANY WITH SHARE CAPITAL

AMENDING.

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

EC3N 2LS

--	--	--	--

--	--	--	--

--	--	--	--

--	--	--	--

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

## Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

.....  
 .....  
 .....  
 .....

## Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

.....  
 .....  
 .....  
 .....

## Company Secretary *(See note 7)*

Particulars of a new secretary must be notified on Form 288.

ROBERT PEARCE  
 FALKNER  
 6 THORNHILL GROVE  
 LONDON  
 N1 1JG

Day Month Year

--	--	--

Date of any change.

.....  
 .....  
 .....  
 .....  
 .....  
 .....  
 .....  
 .....

If this person has ceased to be secretary, please state when.

Day Month Year

--	--	--

Date of resignation.

.....  
 .....  
 .....  
 .....

## Directors *(See note 7)*

Particulars of a new director must be notified on Form 288.

LEE MARTIN  
 AMAITIS  
 56 CHELSEA TOWER  
 WEST CHELSEA MANOR GARDENS  
 LONDON  
 SW3 5PN

Day Month Year

--	--	--

Date of any change.

.....  
 .....  
 .....  
 .....  
 .....  
 .....  
 .....  
 .....

Date of Birth:- 19/12/49

Nat:AMERICAN

Occ:SENIOR MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

--	--	--

Date of resignation.

.....  
 .....  
 .....  
 .....

How many relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

### Particulars.

ANDREW  
ANDREOU  
46 MEADWAY  
HAMPSTEAD GARDENS SUBERB  
LONDON  
NW11 6PR

Date of Birth:- 20/03/59

Nat:BRITISH

Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

### Particulars.

DAVID GRAHAM  
CLARKE  
3 WOODSIDE ROAD  
NEW MALDEN  
SURREY KT3 3AH

Date of Birth:- 30/07/60

Nat:BRITISH

Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

1	0	0	8	9	8
---	---	---	---	---	---

Date of resignation.

### Particulars.

ROBERT PEARCE  
FALKNER  
6 THORNHILL GROVE  
LONDON  
N1 1JG

Date of Birth:- 11/08/59

Nat:NEW ZEALAND

Occ:GENERAL COUNSEL

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

**Directors - continued****Particulars.**

DAVID TERENCE  
GARDNER  
THE FIRS  
APPLEDORE  
KENT TN26 2BT

Date of Birth:- 25/07/48  
Nat:ENGLISH  
Occ:BOND BROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

**Particulars.**

DOUGLAS BENJAMIN  
GARDNER  
75 CENTRAL PARK WEST  
NO 2B  
NEW YORK  
NY 10023  
U.S.A

Date of Birth:- 05/10/61  
Nat:AMERICAN  
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

HOWARD  
LUTNICK  
200 EAST 69TH STREET  
APARTMENT 32A  
NEW YORK  
NEW YORK STATE 10021  
USA

Date of Birth:- 14/06/61  
Nat:AMERICAN  
Occ:EXECUTIVE MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

### Particulars.

STEPHEN  
MERKEL  
61 JANE STREET  
APARTMENT 19K  
NEW YORK  
NEW YORK NY10014  
U.S.A.

Date of Birth:- 22/06/58

Nat:AMERICAN

Occ:GENERAL COUNSEL/SENIOR VICE PR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
-----	-------	------

Date of any change.

Day Month Year

Day	Month	Year
-----	-------	------

Date of resignation.

### Particulars.

DENNIS GERARD  
MORONEY  
5 CHEAPSIDE ROAD  
ASCOT  
BERKSHIRE SL5 7QR

Date of Birth:- 07/11/61

Nat:AMERICAN

Occ:ACCOUNTANT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
-----	-------	------

Date of any change.

Day Month Year

Day	Month	Year
-----	-------	------

Date of resignation.

### Particulars.

CLIVE  
TRIANCE  
48 PRIMROSE DRIVE  
KINGSNORTH  
ASHFORD  
KENT TN23 3NP

Date of Birth:- 19/01/67

Nat:BRITISH

Occ:HEAD OF SETTLEMENTS

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
-----	-------	------

Date of resignation.

**Issued Share Capital** (See note 8)

02505767

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value <small>(ie Number of shares issued multiplied by nominal value per share)</small>
ORDINARY	1,468,514 <sup>154</sup>	£1,468,514 <sup>154</sup>
Totals	1,468,514 <sup>154</sup>	£1,468,514 <sup>154</sup>

**List of past and present members**

(See note 9)  
(Use attached schedule where appropriate)

Please mark the  
appropriate box.

A full list is required.

A full list of members is enclosed

on paper

not on  
paper

**Elective resolutions** (See note 10)  
(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 15.

Cheques should be made payable  
to Companies House.

Signed

Secretary/Director  
\*(delete as appropriate)

Date 01 March 1999

24 MARCH 1999

This return includes continuation sheets.

(enter number)

Please ensure that you have completed  
all sections on this page.

To whom should Companies House direct any enquiries  
about the information shown in this return?

MARK SWELLING C/O LANTOR FITZGERALD  
INTERNATIONAL, ONE AMERICA SQUARE  
LONDON

Postcode EC3N 2LS

Telephone 0171 894 7000 Ext

## LIST OF PAST AND PRESENT MEMBERS

**SCHEDULE TO FORM 363**[illegible]

**Continued overleaf**

### LIST OF PAST AND PRESENT MEMBERS (continued)

**SCHEDULE TO FORM 363**[illegible]