



C O M P A N I E S H O U S E

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ

nu 46024

019384

363s

Annual Return

of company number 02505767

J

company name
CANTOR FITZGERALD GILTS

company type
PRIVATE UNLIMITED COMPANY WITH SHARE
CAPITAL

This form should be completed in black.

The information printed below is taken from Companies House records as at 21/01/98
If this information requires amendment use the spaces opposite.



Date of this return *(See note 1)*

The information in this return should be made up to a date not later than

Day	Month	Year
04	02	98

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Date of next return *(See note 2)*

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

Registered Office *(See note 3)*

This is the address registered by Companies House.
ONE AMERICA SQUARE
LONDON
EC3N 2LT

Principal business activities *(See note 4)*

Trade classification is
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

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If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

ROBERT PEARCE
FALKNER
6 THORNHILL GROVE
LONDON
N1 1JG

Day Month Year

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Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

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Date of resignation.

Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

LEE
AMAITIS
45-47 CHEVAL PLACE
LONDON
SW7 1EW

Day Month Year

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Date of any change.

Date of Birth:- 19/12/49
Nat:AMERICAN
Occ:SENIOR MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

DAVID GRAHAM
CLARKE
3 WOODSIDE ROAD
NEW MALDEN
SURREY KT3 3AH

Date of Birth:- 30/07/60
Nat:BRITISH
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

ROBERT PEARCE
FALKNER
6 THORNHILL GROVE
LONDON
N1 1JG

Date of Birth:- 11/08/59
Nat:NEW ZEALAND
Occ:GENERAL COUNSEL

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

DAVID TERENCE
GARDNER
THE FIRS
APPLEDORE
KENT TN26 2BT

Date of Birth:- 25/07/48
Nat:ENGLISH
Occ:BOND BROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

DOUGLAS BENJAMIN
GARDNER
75 CENTRAL PARK WEST
NO 2B
NEW YORK
NY 10023
U.S.A

Date of Birth:- 05/10/61
Nat:AMERICAN
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

JOHN PATRICK
KIDDY
63 LANCASTER AVENUE
HADLEY WOOD
HERTFORDSHIRE EN4 0ER

Date of Birth:- 17/06/52
Nat:BRITISH
Occ:CHIEF FINANCIAL OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year
31	12	97

Date of resignation.

Particulars.

HOWARD
LUTNICK
200 EAST 69TH STREET
APARTMENT 32A
NEW YORK
NEW YORK STATE 10021
USA

Date of Birth:- 14/06/61
Nat:AMERICAN
Occ:EXECUTIVE MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

STEPHEN
MERKEL
61 JANE STREET
APARTMENT 19K
NEW YORK
NEW YORK NY10014
U.S.A.

Date of Birth:- 22/06/58

Nat:AMERICAN

Occ:GENERAL COUNSEL/SENIOR VICE PR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
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Date of any change.

Day Month Year

Day	Month	Year
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Date of resignation.

Particulars.

ROBERT LESLIE
TURNER
23 DALWOOD
THORPE BAY
ESSEX SS3 8UP

Date of Birth:- 29/02/52

Nat:ENGLISH

Occ:OPERATIONS DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
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Date of any change.

Day Month Year

Day	Month	Year
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Date of resignation.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

Day Month Year

Day	Month	Year
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Date of any change.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year
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Date of resignation.

Issued Share Capital (See note 8)

02505767

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc.)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
<u>ORDINARY</u>	<u>2,500,000</u>	<u>£2,500,000</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
Totals	<u>2,500,000</u>	<u>£2,500,000</u>

List of past and present members

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the
appropriate box.

There were no changes in the period ☒

The last full members list was at 04/02/96

	on paper	not on paper
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of members is enclosed	<input type="checkbox"/>	<input type="checkbox"/>

Elective resolutions (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box. ☐


If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box. ☐

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 15.

Cheques should be made payable
to **Companies House**.

Signed  Secretary/Director*
*(delete as appropriate)

Date 30 MARCH 1998

This return includes _____ continuation sheets.
(enter number)

Please ensure that you have completed
all sections on this page.

To whom should Companies House direct any enquiries
about the information shown in this return?

MARK SNELLING CIO CANTOR
FITZGERALD INTERNATIONAL,
ONE AMERICA SQUARE
LONDON Postcode EC3N 2LT

Telephone 0171 894 7000 Ext 7892