



**Statement of satisfaction
in full or in part of charge**

Company Name: **CANTOR FITZGERALD EUROPE**

Company Number: **02505767**



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Details of Satisfaction

Charge created (or property acquired) before 6th April 2013.

CH ref. **11**

Date of creation: **11/03/2013**

Description of instrument: **CHARGE CREATED UNDER A SECURITY AGREEMENT ENTERED INTO BY CANTOR FITZGERALD EUROPE (THE "COMPANY") IN FAVOUR OF THE BANK OF NEW YORK MELLON (THE "BANK")**

Short Particulars: **1 THE FLOATING CHARGE (SECTION 2). 2 1 SUBJECT TO CLAUSES 2 2 AND 2 3 WHERE APPLICABLE, THE COMPANY AS BENEFICIAL OWNER HEREBY (AND TO THE INTENT THAT THE SECURITY SO CONSTITUTED SHALL BE A CONTINUING SECURITY IN FAVOUR OF THE BANK) CHARGES WITH THE PAYMENT AND DISCHARGE OF THE SECURED OBLIGATIONS BY WAY OF FIRST FLOATING CHARGE THE FOLLOWING, NAMELY ALL SECURITIES, THE DEPOSITS AND ANY OTHER ASSETS NOW OR AT ANY TIME HEREAFTER BELONGING TO THE COMPANY AND HELD BY, TO THE ORDER OF, FOR THE ACCOUNT, OR UNDER THE CONTROL OR DIRECTION OF, THE BANK FROM TIME TO TIME, WITHIN AN ACCOUNT OR OTHERWISE, TOGETHER WITH ALL DIVIDENDS, INTEREST AND OTHER INCOME AND ALL OTHER RIGHTS OF WHATSOEVER KIND DERIVING FROM OR INCIDENTAL TO ANY OF THE FOREGOING. 2 2 IF THE COMPANY AT ANY TIME NOTIFIES THE BANK IN WRITING THAT THE COMPANY HOLDS CLIENT SECURITIES SUBJECT TO THE FSA RULES AND CLIENT DEPOSITS SUBJECT TO THE FSA CLIENT MONEY RULES, THE BANK'S RIGHTS UNDER THE CHARGE GRANTED UNDER CLAUSE 2 1 IN RESPECT OF SUCH CLIENT SECURITIES AND CLIENT DEPOSITS SHALL BE RESTRICTED AS FOLLOWS: 2 2 1 THE BANK SHALL ONLY EXERCISE ITS RIGHTS UNDER THE CHARGE GRATED UNDER CLAUSE 2 1: (A) TO THE EXTENT SECURED OBLIGATIONS COMPRISE PROPERLY INCURRED CHARGES AND LIABILITIES DUE TO THE BANK ARISING FROM THE PROVISION BY THE BANK OF CUSTODY SERVICES IN RESPECT OF CLIENT SECURITIES HELD BY THE COMPANY IN AN ACCOUNT (OR IN RESPECT OF A CLIENT**

DEPOSIT DERIVED FROM SUCH CLIENT SECURITIES), AND TO THE EXTENT SUCH RIGHTS ARE EXERCISED OVER THE CLIENT SECURITIES IN SUCH ACCOUNT AND THE CLIENT DEPOSIT DERIVED FROM SUCH CLIENT SECURITIES, AND (B) OVER ANY CLIENT SECURITIES (OR CLIENT DEPOSIT DERIVED FROM SUCH CLIENT SECURITIES) HELD BY THE BANK IN A JURISDICTION OUTSIDE THE UNITED KINGDOM, TO THE EXTENT RIGHTS UNDER THE CHARGE ARISE UNDER LOCAL LAW IN SUCH JURISDICTION OR ARE NECESSARY TO ENABLE THE BANK TO GAIN ACCESS TO THE LOCAL MARKET IN THAT JURISDICTION.

Satisfaction of charge: **In full**

Details of the person delivering this statement and their interest in the charge

Name: **HUGO MUNSEY**

Address: **201 BISHOPSGATE LONDON UNITED KINGDOM EC2M 3AF**

Interest: **SOLICITOR ACTING ON BEHALF OF CHARGE**

Authentication of Form

This form was authorised by: **a person with an interest in the registration of the charge.**