



**Companies House**

— for the record —

Company Name

**CANTOR FITZGERALD EUROPE**

## 363s Annual Return

Company Type

**Private Company Unlimited With Share Capital**

Company Number

**2505767**

Information extracted from

Companies House records on

**16th December 2006**

- > Please check the details printed in blue on this statement
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column
- > Please use black pen and write in capital

### Section 1: Company details

THURSDAY



A49 \*A10V00UC\* 19/04/2007 452  
COMPANIES HOUSE

Ref 2505767/03/10

	Current details	Amended details																		
<b>&gt; Registered Office Address</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	<b>17 Crosswall</b> <b>London</b> <b>EC3N 2LB</b>	<b>Address</b> <hr/> <hr/> <hr/> <b>UK Postcode</b> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u>																		
<b>&gt; Register of Members</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	<b>Address where the Register is held</b>  <b>At Registered Office</b>	<b>Address</b> <hr/> <hr/> <hr/> <b>UK Postcode</b> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u>																		
<b>&gt; Register of Debenture Holders</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	<b>Not Applicable</b>	<b>Address</b> <hr/> <hr/> <hr/> <b>UK Postcode</b> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u>																		
<b>&gt; Principal Business Activities</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6523</td> <td>Other financial intermediation</td> </tr> </tbody> </table>	SIC Code	Description	6523	Other financial intermediation	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> <tr><td><u>  </u> <u>  </u> <u>  </u> <u>  </u></td><td><hr/></td></tr> </tbody> </table>	SIC CODE	Description	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>	<u>  </u> <u>  </u> <u>  </u> <u>  </u>	<hr/>
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<b>&gt; Please enter additional principal activity code(s) in "Amended details" column</b> <i>See notes for guidance for list of activity codes</i>																				

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>    <i>Particulars of a new Company Secretary must be notified on form 288a</i>	<b>Name</b> <b>Robert Mark SNELLING</b>  <b>Address</b> <b>17 Ramsbury Road</b> <b>St. Albans</b> <b>Hertfordshire</b> <b>AL1 1SN</b>	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Robert Mark SNELLING</b> <b>ceased to be secretary (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>    <i>Particulars of a new Director must be notified on form 288a</i>	<b>Name</b> <b>Lee Martin AMAITIS</b>  <b>Address</b> <del>27 Chelsea Tower East</del> <del>Chelsea Manor Gardens</del> <del>London</del> <del>SW3 5PN</del>  <b>Date of birth</b> 19/12/1949  <b>Nationality</b> American  <b>Occupation</b> President And Ceo	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 <b>Address</b> <u>18 SOUTH END</u> <u>KENSINGTON</u> <u>LONDON</u>  <b>UK Postcode</b> W8 _ _    4BA <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____  <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Lee Martin AMAITIS</b> ceased to be <b>director (if applicable)</b> _ _ / _ _ / _ _ _ _

### Amended details

*Particulars of a new Director  
must be notified on form  
288a*

**Occupation**    **General Counsel**

Date Stephen BARTLETT ceased to be director (if applicable)

11 / 11 / 111111

*Particulars of a new Director  
must be notified on form  
288a*

**Occupation**     **Chairman**

Date Howard LUTNICK ceased to be director (if applicable)

LL / LL / LLL

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>     <i>Particulars of a new Director must be notified on form 288a</i>	<b>Name</b> <b>Mark Ian MACLEOD</b>   <b>Address</b> <b>13 Firs Avenue</b> <b>Muswell Hill</b> <b>London</b> <b>N10 3LY</b>   <b>Date of birth</b> 26/09/1967  <b>Nationality</b> British  <b>Occupation</b> Cfo	<b>Name</b>   <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  <b>Address</b>     UK Postcode    _ _ _ _ _ Date of birth    _ _ / _ _ / _ _ _ _ Nationality    _____ Occupation    _____ Date of change    _ _ / _ _ / _ _ _ _ Date Mark Ian MACLEOD ceased to be director (if applicable) 22 / 02 / 2007
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>     <i>Particulars of a new Director must be notified on form 288a</i>	<b>Name</b> <b>Philip Andrew MARBER</b>   <b>Address</b> <b>One Lexington Avenue</b> <b>New York</b> <b>NY10010</b> <b>Usa</b>   <b>Date of birth</b> 28/04/1961  <b>Nationality</b> American  <b>Occupation</b> Equity Broker	<b>Name</b>   <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  <b>Address</b>     UK Postcode    _ _ _ _ _ Date of birth    _ _ / _ _ / _ _ _ _ Nationality    _____ Occupation    _____ Date of change    _ _ / _ _ / _ _ _ _ Date Philip Andrew MARBER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>  <i>Particulars of a new Director must be notified on form 288a</i>	<b>Name</b> Stephen Marcus MERKEL  <b>Address</b> 75 Remsen Street Brooklyn Ny 11202 U.S.A  <b>Date of birth</b> 22/06/1958  <b>Nationality</b> American  <b>Occupation</b> General Counsel/Service Presi	<b>Name</b> _____  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  <b>Address</b> _____ _____ _____  <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____  <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Stephen Marcus MERKEL ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i>  <i>Particulars of a new Director must be notified on form 288a</i>	<b>Name</b> Elon SPAR  <b>Address</b> 70 North Gate Prince Albert Road London NW8 7EH  <b>Date of birth</b> 28/08/1961  <b>Nationality</b> American  <b>Occupation</b> <del>Broker</del>	<b>Name</b> _____  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  <b>Address</b> _____ _____ _____  <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> <u>CHIEF EXECUTIVE OFFICER</u>  <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Elon SPAR ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details</i>	<b>Class of share</b> Ordinary <b>Nominal value of each share</b> £1 00 <b>Number of shares issued</b> 13,407,079 <b>Aggregate Nominal Value of issued shares</b> £13,407,079 00	<b>Class of share</b> <u>ORDINARY</u> <b>Nominal value of each share</b> <u>£1 00</u> <b>Number of shares issued</b> <u>16,785,362</u> <b>Aggregate Nominal Value of issued shares</b> <u>£16,785,362</u>
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	<b>Total number of shares issued</b> 13,407,079 <b>Total Nominal value of shares issued</b> £13,407,079.00	<b>Total number of shares issued</b> <u>16,785,362</u> <b>Total Nominal value of shares issued</b> <u>£16,785,362</u>
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 3333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column
- > Please give details of any other shareholders in Section 5

Current details		Amended details	Shares transferred		
<b>&gt; Shareholder Name</b> CF & CO HOLDINGS L P		<b>Name</b> _____	<b>Shares transferred by</b> CF & CO HOLDINGS L P		
<b>Address</b> 135 East 57TH Street New York New York 10022		<b>Address</b> 110 EAST 59TH STREET NEW YORK NY 10022			
<b>Shares held</b> Class Ordinary		<b>UK Postcode</b> L L L L L L L <b>Shares held</b> Class Ordinary			
Number 13332895	Number 16525372	<b>Class</b> <b>Number</b> <b>Date of transfer</b> _____    _____    __/__/____ _____    _____    __/__/____			
<b>&gt; Shareholder Name</b> CF & CO LLC		<b>Name</b> _____	<b>Shares transferred by</b> CF & CO LLC		
<b>Address</b> 135 East 57TH Street New York New York 10022		<b>Address</b> 110 EAST 59TH STREET NEW YORK NY 10022			
<b>Shares held</b> Class Ordinary		<b>UK Postcode</b> L L L L L L L <b>Shares held</b> Class Ordinary			
Number 74184	Number 259990	<b>Class</b> <b>Number</b> <b>Date of transfer</b> _____    _____    __/__/____ _____    _____    __/__/____			

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately
- > Please copy this page if there is not enough space to enter all the company's other shareholders

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			





Companies House

— for the record —

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below
- > If you want to change the made up date of this annual return, please complete 2 below

### 1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below) I enclose the filing fee of £30

Signature

[Signature]  
(Director / Secretary)

Date

17, 04, 2007

*This date must not be earlier than the return date at 2 below*

#### What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below

### 2. Date of this return

- ☒ This AR is made up to  
4/2/2007

If you are making this return up to an earlier date, please give the date here

\_\_ / \_\_ / \_\_\_\_

*Note The form must be delivered to CH within 28 days of this date*

### 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **4th February 2008** please give the new date here

\_\_ / \_\_ / \_\_\_\_

### 4. Where to send this form

- ☒ Please return this form to

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

### Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Contact Name

DAVIDELLA PIAZZINI

Telephone number *inc code*

02001 8547531

Address

BEL INTERNATIONAL

DX number *if applicable*

\_\_\_\_\_

ONE CHURCHILL PLACE

DX exchange

CARNEY WAY, LONDON

Postcode

E11 5 5D