



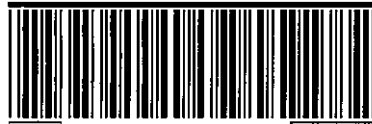
COMPANIES HOUSE

NW
009063
£72k4

363s

Annual Return

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



A30 *A1962K0C* 251

COMPANIES HOUSE 20/03/96

COMPANIES HOUSE 04/03/96

of company number 02505767

J

company name
CANTOR FITZGERALD GILTS

company type
PRIVATE UNLIMITED COMPANY WITH SHARE
CAPITAL

This form should be completed in black.

The information printed below is taken from Companies House records as at 13/01/96
If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
04	02	96

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

Registered Office (See note 3)

This is the address registered by Companies House.

PARK HOUSE
16 FINSBURY CIRCUS
LONDON
EC2M 7DJ

Principal business activities (See note 4)

Trade classification is
8621 STOCKBROKERS

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If the code cannot be determined from the notes, give a brief description of principal activity.

Register of members (See note 5)

02505767

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

The register is kept at

REGISTERED OFFICE

Register of debenture holders (See note 6)

Any register of debenture holders (or duplicate) is kept at

Company Secretary (See note 7)

Particulars of a new secretary **must** be notified on form 288.

JOHN
KIDDY
35 OLD PARK VIEW
ENFIELD
MIDDLESEX EN2 7EG

Day Month Year

3	1	0	1	9	6
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Date of any change.

MR ROBERT PEARCE FALKNER
6 THORNHILL GROVE
LONDON N1 1JG

APPOINTED 31/01/96

previously notified on 288a.

If this person has ceased to be secretary, please state when.

Day Month Year

3	1	0	1	9	6
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Date of resignation.

Directors (See note 7)

Particulars of a new director **must** be notified on form 288.

DAVID TERENCE
GARDNER
THE FIRS
APPLEDORE
KENT TN26 2BT

Day Month Year

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Date of any change.

Date of Birth:- 25/07/48

Nat: ENGLISH

Occ: BOND BROKER

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

Directors - continued

02505767

If the information shown needs amendment, give details below and the date of any change.

Particulars.

DOUGLAS
GARDNER
161 WEST 15TH STREET NO 6B
NEW YORK
NY 10011
U.S.A

Date of Birth:- 05/10/65
Nat:BRITISH
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Nationality should be USA.

Day	Month	Year

Date of resignation.

Particulars.

GLENN
GROSSMAN
166 BANK STREET
NEW YORK NY 10014
USA

Date of Birth:- 26/04/49
Nat:USA
Occ:EXECUTIVE VICE PRESIDENT/SENIOR MANAGING

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

JOHN
KIDDY
35 OLD PARK VIEW
ENFIELD
MIDDLESEX EN2 7EG

Date of Birth:- 17/06/52
Nat:BRITISH
Occ:CHIEF FINANCIAL OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Directors - continued

02505767

If the information shown needs amendment, give details below and the date of any change.

Particulars.

HOWARD
LUTNICK
200 EAST 69TH STREET
APT 32A
NEW YORK
NEW YORK 10021
USA

Date of Birth:- 14/07/61
Nat:AMERICAN
Occ:EXECUTIVE MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

ASHLEY
POVER
QUARRY HILL HOUSE
48 GATTON ROAD
REIGATE
SURREY RH2 0HL

Date of Birth:- 23/12/59
Nat:ENGLISH
Occ:MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

ROBERT LESLIE
TURNER
23 DALWOOD
SHOEBURYNESSE
SOUTHEND ON SEA
ESSEX SS3 8UP

Date of Birth:- 29/02/52
Nat:ENGLISH
Occ:OPERATIONS MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Directors - continued

02505767

Particulars.

If the information shown needs amendment, give details below and the date of any change.

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Issued Share Capital (See note 8)

02505767

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value, (ie Number of shares issued, multiplied by nominal value per share)
ORDINARY	2,500,000	£2,500,000
Totals	2,500,000	£2,500,000

List of past and present members

(See note 9)

(Use attached schedule where appropriate)

Please mark the appropriate box.

A full list is required.

A full list of members is enclosed

on paper

not on
paper☒☐**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £18.

Cheques should be made payable to Companies House.

Signed



Secretary/Director*

*(delete as appropriate)

Date 28 FEBRUARY 1996

This return includes 0 continuation sheets.
(enter number)

Please ensure that you have completed all sections on this page.

To whom should Companies House direct any enquiries about the information shown in this return?

Postcode

Telephone

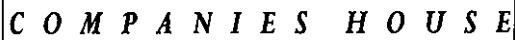
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List of past and present members Schedule to form 363a, 363b

Particulars of shares transferred since the date of the last return or stock (or in the case of the first return, since the incorporation of the company) by

- (a) persons who are still members, and
- (b) persons who have ceased to be members.

[illegible]



List of past and present members Schedule to form 363a, 363b

Particulars of shares or stock transferred since the date of the last return (or in the case of the first return, since the incorporation of the company) by

- (a) persons who are still members, and
- (b) persons who have ceased to be members.

[illegible]