

03 - 03 - 95



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



002 ATCZFSAC 513  
COMPANIES HOUSE 28/02/95

N/A 72x4  
000615

363s

## Annual Return

of company number 02505767

J

company name  
CANTOR FITZGERALD GILTS

company type  
PRIVATE UNLIMITED COMPANY WITH SHARE  
CAPITAL

This form should be completed in black.

The information printed below is taken from Companies  
House records as at 14/01/95  
If this information requires amendment use the spaces  
opposite.

## Date of this return (See note 1)

The information in this return should be made up to a  
date not later than:

Day	Month	Year
04	02	95

If you are making the return up to an earlier date,  
show the date here. Please note that the form must be  
delivered to Companies House within 28 days of this  
earlier date.

Day	Month	Year

## Date of next return (See note 2)

If you wish to make your next return to a date earlier  
than the anniversary of this return please show the date  
here. Companies House will then send a form at the  
appropriate time.

Day	Month	Year

## Registered Office (See note 3)

This is the address registered by Companies House.

PARK HOUSE  
16 FINSBURY CIRCUS  
LONDON  
EC2M 7DU

## Principal business activities (See note 4)

Trade classification is  
8621 STOCKBROKERS

--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--

If the code cannot be determined from the notes, give a  
brief description of principal activity.

03 - 03 - 95

**Register of members** (See note 5)

02505767

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

The register is kept at

REGISTERED OFFICE

**Register of debenture holders** (See note 6)

Any register of debenture holders (or duplicate) is kept at

**Company Secretary** (See note 7)

Particulars of a new secretary must be notified on form 288.

JOHN  
KIDDY  
35 OLD PARK VIEW  
ENFIELD  
MIDDLESEX EN2 7EG

Day Month Year

--	--	--

Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

--	--	--

Date of resignation.

**Directors** (See note 7)

Particulars of a new director must be notified on form 288.

DAVID TERENCE  
GARDNER  
THE FIRS  
APPLEDORE  
KENT TN26 2BT

Day Month Year

--	--	--

Date of any change.

Date of Birth:- 25/07/48  
Nat: ENGLISH  
Occ: BOND BROKER

If this person has ceased to be director, please state when.

Day Month Year

--	--	--

Date of resignation.

Show any relevant current and previous directorships.

03 - 03 - 95

## Directors - continued

02505767

Particulars.

ALAN FRANKLIN  
GOLDMAN  
166 NICKI DRIVE  
MORGANVILLE  
NEW JERSEY 07751  
U S A

Date of Birth:- 03/09/52

Nat:AMERICAN

Occ:FIRST VICE PRESIDENT CANTOR FITZGERALD S

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Particulars.

GLENN  
GROSSMAN  
166 BANK STREET  
NEW YORK NY 10014  
USA

Date of Birth:- 26/04/49

Nat:USA

Occ:EXECUTIVE VICE PRESIDENT/SENIOR MANAGING

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Particulars.

JEFFREY JOHN  
HOGAN  
23 HOLNE CHASE  
LONDON  
N2 0QL

Date of Birth:- 25/03/55

Nat:AMERICAN

Occ:MANAGING DIRECTOR

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give  
details below and the date of any change.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

# 03 - 03 - 95

## Directors - continued

02505767

Particulars.

JOHN  
KIDBY  
35 OLD PARK VIEW  
ENFIELD  
MIDDLESEX EN2 7EG

Date of Birth:- 17/06/52  
Nat:BRITISH  
Occ:CHIEF FINANCIAL OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

Day Month Year

--	--	--	--

Date of any change.

Day Month Year

--	--	--	--

Date of resignation.

Particulars.

HOWARD  
LUTNICK  
200 EAST 69TH STREET  
APT 32A  
NEW YORK  
NEW YORK 10021  
USA

Date of Birth:- 14/07/61  
Nat:AMERICAN  
Occ:EXECUTIVE MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--	--

Date of any change.

Day Month Year

--	--	--	--

Date of resignation.

Particulars.

ASHLEY  
POVER  
QUARRY HILL HOUSE  
48 GATTON ROAD  
REIGATE  
SURREY RH2 0HL

Date of Birth:- 23/12/59  
Nat:ENGLISH  
Occ:MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--	--

Date of any change.

Day Month Year

--	--	--	--

Date of resignation.

# 03 - 03 - 95

## Directors - continued

02505767

Particulars,

ROBERT LESLIE  
TURNER  
23 DALWOOD  
SHOEBURNESS  
SOUTHEND ON SEA  
ESSEX SS3 0UP

Date of Birth:- 29/02/52  
Nat: ENGLISH  
Occ: OPERATIONS MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

03 - 03 - 95

**Issued Share Capital** (See note 8)

02505767

Class  
(eg Ordinary,  
Preference etc.)Number of  
shares issuedAggregate  
nominal value(ie Number of shares  
issued multiplied by  
nominal value per share)Enter details of all shares in issue at the date of this  
return.

ORDINARY

2,500,000

2,500,000

Totals

2,500,000

2,500,000

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either  
of the last two returns.Please mark the  
appropriate box.

There were no changes in the period



The last full members list was at 04/02/93

on paper

not on  
paper

A list of changes is enclosed

☐☐

A full list of members is enclosed

☐☐**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this  
return to dispense with annual general meetings, mark  
this box.☐If an elective resolution is in force at the date of this  
return to dispense with laying accounts in general  
meetings, mark this box.☐**Certificate**I certify that the information given in this return is true to  
the best of my knowledge and belief.

Signed

Secretary/Director\*

\*(delete as appropriate)

I enclose the fee of £18 .

Date 14 FEB 1995

Cheques should be made payable  
to Companies House.This return includes 0 continuation sheets.  
(enter number)Please ensure that you have completed  
all sections on this page.To whom should Companies House direct any enquiries  
about the information shown in this return?

SANDRA HURLEY

CANTER FITZGERALD INTERNATIONAL

PARK HOUSE, 16 FINSBURY CIRC.

LONDON Postcode EC2M 7BJ