



Companies House

— for the record —

Company Name

CANTOR FITZGERALD EUROPE

363s Annual Return

Company Type

Private Company Unlimited With Share Capital

Company Number

2505767

Information extracted from
Companies House records on
18th January 2002

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



Section 1: Company details

Ref: 2505767/03/10

	Current details	Amended details																
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	One America Square London EC3N 2LS	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6523</td> <td>Other financial intermediation</td> </tr> </tbody> </table>	SIC Code	Description	6523	Other financial intermediation	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																		

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Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name Robert Pearce FALKNER Address 69 Huntingdon Street Islington London N1 1BX	Name Address UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Robert Pearce FALKNER ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Lee Martin AMAITIS Address 27 Chelsea Towers Chelsea Manor Gardens London SW3 5PN Date of birth 19/12/1949 Nationality American Occupation President And Ceo	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Lee Martin AMAITIS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Robert William BIRCHALL Address Rivington Holly Bank Road Woking Surrey GU22 0JW Date of birth 11/03/1950 Nationality British Occupation Chief Financial Officer	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Robert William BIRCHALL ceased to be director (if applicable)

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Director must be notified on form 288.</i>	Name Robert Pearce FALKNER Address 69 Huntingdon Street Islington London N1 1BX Date of birth 11/08/1959 Nationality New Zealander Occupation General Counsel	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Robert Pearce FALKNER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Director must be notified on form 288.</i>	Name Howard LUTNICK Address 200 East 69TH Street Apartment 32A New York New York State Usa 10021 Date of birth 14/06/1961 Nationality American Occupation Chairman	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Howard LUTNICK ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Director must be notified on form 288.</i>	Name Stephen MERKEL Address 61 Jane Street Apartment 19K New York New York U.S.A. NY10014 Date of birth 22/06/1958 Nationality American Occupation General Counsel/Senior Vice Pr	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Stephen MERKEL ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Clive TRIANCE Address 48 Primrose Drive Kingsnorth Ashford Kent TN23 3NP Date of birth 19/01/1967 Nationality British Occupation Head Of Settlements	Name Address 3 PONDMORE WAY, ORCHARD HEIGHTS ASHFORD KENT UK Postcode TN25 4LU Date of birth / / Nationality Occupation Date of change / / Date Clive TRIANCE ceased to be director (if applicable) / /
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Frederick Thomas VARACCHI Address 14 Sandy Lane Fairfield Connecticut 06891 Usa Date of birth 21/12/1965 Nationality America Occupation President & Chief Operating Of	Name Address UK Postcode / / Date of birth / / Nationality Occupation Date of change / / Date Frederick Thomas VARACCHI ceased to be director (if applicable) 11, 09, 2001

Section 3: Share Capital

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary Nominal value of each share £1.00 Number of shares issued 17,896,714 Aggregate Nominal Value of issued shares £17,896,714.00	Class of share <u>ORDINARY</u> Nominal value of each share <u>£1.00</u> Number of shares issued <u>13,407,079</u> Aggregate Nominal Value of issued shares <u>£13,407,079</u>
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 17,896,714 Total Nominal value of shares issued £17,896,714.00	Total number of shares issued <u>13,407,079</u> Total Nominal value of shares issued <u>£13,407,079</u>
> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 3333636.		

Current details		Amended details		Shares transferred	
>	<div>Shareholder Name CFI</div> <div>Address One World Trade Centre New York United States Of America</div> <div>Shares held Class Ordinary</div> <div>Number 263232</div>	<div>SEE ATTACHED PAGES</div> <div>Name CANTOR FITZGERALD INTERNATIONAL HOLDINGS, L.P.</div> <div>Address C/O UBS WARBURG 299 PARK AVENUE, 29TH FLOOR NEW YORK, NEW YORK 10171</div> <div>UK Postcode</div> <div>Shares held Class ORDINARY</div> <div>Number 263232</div>		<div>Shares transferred by CFI</div> <div>Class ORDINARY</div> <div>Number 263232</div> <div>Date of transfer 31/10/2000</div>	
>	<div>Shareholder Name CFI HLP</div> <div>Address One World Trade Centre New York United States Of America</div> <div>Shares held Class Ordinary</div> <div>Number 17515414</div>	<div>Name CANTOR FITZGERALD INTERNATIONAL HOLDINGS, L.P.</div> <div>Address C/O UBS WARBURG 299 PARK AVENUE, 29TH FLOOR NEW YORK, NEW YORK 10171 UNITED STATES OF AMERICA</div> <div>UK Postcode</div> <div>Shares held Class ORDINARY</div> <div>Number 263232</div> <div>ORDINARY 17,241,941</div> <div>LESS ORDINARY (4,192,833)</div>		<div>Shares transferred by CFI HLP, LLC</div> <div>Class ORDINARY</div> <div>Number 20,855</div> <div>Date of transfer 28/02/2001</div> <div>TOTAL ORDINARY SHARES HELD = 13,332,895</div>	
>	<div>Shareholder Name CFI HLP LLC</div> <div>Address One World Trade Centre New York United States Of America</div> <div>Shares held Class Ordinary</div> <div>Number 118068</div>	<div>Name</div> <div>Address C/O UBS WARBURG 299 PARK AVENUE, 29TH FLOOR NEW YORK, NEW YORK 10171 UNITED STATES OF AMERICA</div> <div>UK Postcode</div> <div>Shares held Class</div> <div>Number</div>		<div>Shares transferred by CFI HLP LLC</div> <div>Class</div> <div>Number</div> <div>Date of transfer</div>	

SECTIONS 4 AND 5

SHAREHOLDER	SHARES CURRENTLY HELD	SUMMARY OF TRANSFERS
Cantor Fitzgerald International Holdings, L.P. 299 Park Avenue, 29 th Floor New York, New York 10171 USA	0	As at 05.02.01 17,505,173 ordinary shares 28.02.01 4,192,833 ordinary shares cancelled due to capital reduction 28.02.01 20,555 ordinary shares transferred from CFIHLP, LLC 23.03.01 13,332,895 transferred to CF & Co Holdings, L.P.
CFIHLP, LLC 299 Park Avenue, 29 th Floor New York, New York 10171 USA	0	As at 05.02.01 118,068 ordinary shares 28.02.01 23,329 ordinary shares cancelled due to capital reduction. 28.02.01 20,555 ordinary shares transferred to Cantor Fitzgerald International Holdings, L.P. 23.03.01 74,184 ordinary shares transferred to CF & Co, LLC
CF & Co, LLC 299 Park Avenue, 29 th Floor New York, New York 10171 USA	Ordinary 74,184	23.03.01 74,184 ordinary shares transferred from CFIHLP, LLC

CF & Co Holdings, L.P. 299 Park Avenue, 29 th Floor New York, New York 10171 USA	Ordinary 13,332,895	23.03.01 13,332,895 transferred from Cantor Fitzgerald International Holdings, LP
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Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name SEE ATTACHED SHEETS _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House

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363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director / Secretary)

Date

29 / 04 / 2002

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to 4/2/2002 If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than 4th February 2003 please give the new date here:

__ / __ / ____

4. Where to send this form

- ☐ Please return this form to:
- | | | |
|------------------------|----|----------------------------------|
| Registrar of Companies | | For members of the Hays Document |
| Companies House | | Exchange service |
| Crown Way | OR | DX 33050 Cardiff |
| Cardiff CF14 3UZ | | |

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque ☒ Postal Order ☐ Cheque / ~~Postal Order~~
Number 004387

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

MARVIA DOWNIE

Telephone number inc code

0207 8948557

Address

CANTOR FITZGERALD

DX number if applicable

ONE AMERICA SQUARE

DX exchange

LONDON

Postcode

EC3N 2LS