



**Companies House**

— for the record —

Company Name

**BERKELEY INDEPENDENT  
ADVISERS LIMITED**

Company Type

**Private Company Limited By  
Shares**

Company Number

**2472302**

Information extracted from  
Companies House records on  
**19th December 2000**

## 363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



A37  
COMPANIES HOUSE

\*AG9C6XEE\*

0472  
18/01/01

### Section 1: Company details

Ref: 2472302/03/10

	Current details	Amended details																						
<b>&gt; Registered Office Address</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Eaton House</b> <b>1 Eaton Road</b> <b>Coventry</b> <b>West Midlands CV1 2FJ</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																						
<b>&gt; Register of Members</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held</b>  <b>At Registered Office</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																						
<b>&gt; Register of Debenture Holders</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Not Applicable</b>	<b>Address</b>     <b>UK Postcode</b> _ _ _ _ _																						
<b>&gt; Principal Business Activities</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6601</td> <td>Life insurance</td> </tr> <tr> <td>6523</td> <td>Other financial intermediation</td> </tr> <tr> <td>7414</td> <td>Business &amp; management consultancy</td> </tr> <tr> <td>7484</td> <td>Other business activities</td> </tr> </tbody> </table>	SIC Code	Description	6601	Life insurance	6523	Other financial intermediation	7414	Business & management consultancy	7484	Other business activities	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
SIC Code	Description																							
6601	Life insurance																							
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<b>&gt;</b> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																								

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Company Secretary must be notified on form 288.</i>	<b>Name</b> <b>Michael Trevor BROMWICH</b>  <b>Address</b> <b>Badgers Retreat</b> <b>School Lane, Gaulby</b> <b>Leicester</b> <b>Leicestershire</b> <b>LE7 9BX</b>	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Michael Trevor BROMWICH</b> <b>ceased to be secretary (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Martin James BANBURY</b>  <b>Address</b> <b>54 Stoneleigh Avenue</b> <b>Earlsdon</b> <b>Coventry</b> <b>West Midlands</b> <b>CV5 6BZ</b>  <b>Date of birth</b> 11/04/1953  <b>Nationality</b> British  <b>Occupation</b> Financial Planner	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Martin James BANBURY</b> <b>ceased to be director (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Craig Nicholas BUTCHER</b>  <b>Address</b> <b>Kempsey Lodge</b> <b>Kempsey</b> <b>Worcester</b> <b>Worcestershire</b> <b>WR5 3PR</b>  <b>Date of birth</b> 02/06/1965  <b>Nationality</b> British  <b>Occupation</b> Finance Director	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Craig Nicholas BUTCHER</b> <b>ceased to be director (if applicable)</b> _ _ / _ _ / _ _ _ _

### Amended details

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

*Particulars of a new Director  
must be notified on form  
288.*

**Address**  
7 Cloudbridge Drive  
Solihull  
West Midlands  
B92 0PY

**Date of birth** 28/08/1939

**Nationality** British

**Occupation** Independent Financial Adviser

Address

UK Postcode    \_ \_ \_ \_    \_ \_ \_ \_

Date of birth       /    /   

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change    /    /   

Date Maurice HOWSE ceased to be director (if applicable)

01, 04, 2000

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

*Particulars of a new Director  
must be notified on form  
288.*

**Name**  
**Clifford Philip LOCKYER**

**Address**  
8 Manor Road  
Coventry  
Warwickshire  
CV1 2LH

**Date of birth** 04/09/1949

**Nationality** British

**Occupation** Corporate Planner

Name \_\_\_\_\_

Address

UK Postcode    \_ \_ \_ \_ \_

Date of birth    \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change    /    /   

Date Clifford Philip LOCKYER ceased to be director (if applicable)

           /            /           

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

*Particulars of a new Director must be notified on form 288.*

**Name**  
**Brian WHITE**

**Address**  
29 Pinehurst  
Cublington  
Leamington Spa  
Warwickshire  
CV32 7XA

**Date of birth** 30/08/1945

**Nationality** British

**Occupation** Fund Manager

Name

Address

UK Postcode \_\_\_\_\_

Date of birth    \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change    /    /

Date Brian WHITE ceased to be director  
(if applicable)

— 1 — / — 2 — / — 3 — 4 — 5 —

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> Ordinary <b>Nominal value of each share</b> £0.10 <b>Number of shares issued</b> 1,083,500 <b>Aggregate Nominal Value of issued shares</b> £108,350.00	<b>Class of share</b> _____ <b>Nominal value of each share</b> _____ <b>Number of shares issued</b> _____ <b>Aggregate Nominal Value of issued shares</b> _____
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> 1,083,500 <b>Total Nominal value of shares issued</b> £108,350.00	<b>Total number of shares issued</b> _____ <b>Total Nominal value of shares issued</b> _____
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 029 2038 0801.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
<b>&gt; Shareholder Name</b> Martin James BANBURY		<b>Name</b> _____		<b>Shares transferred by</b> Martin James BANBURY		
		<b>Address</b> _____				
<b>Address</b> 9 Little Cryfield Cryfield Coventry West Midlands CV4 7LB		_____				
		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> Class Ordinary	<b>Number</b> 55000	<b>Shares held</b> Class	<b>Number</b>	<b>Class</b>	<b>Number</b>	<b>Date of transfer</b> ____/____/____
		_____		_____	_____	____/____/____
		_____		_____	_____	____/____/____
<b>&gt; Shareholder Name</b> David HEATON		<b>Name</b> _____		<b>Shares transferred by</b> David HEATON		
		<b>Address</b> _____				
<b>Address</b> 9 Porlock Grove Stoke-On-Trent ST4 8TN		_____				
		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> Class Ordinary	<b>Number</b> 11000	<b>Shares held</b> Class	<b>Number</b>	<b>Class</b>	<b>Number</b>	<b>Date of transfer</b> ____/____/____
		_____		_____	_____	____/____/____
		_____		_____	_____	____/____/____
<b>&gt; Shareholder Name</b> Clifford Philip LOCKYER		<b>Name</b> _____		<b>Shares transferred by</b> Clifford Philip LOCKYER		
		<b>Address</b> _____				
<b>Address</b> 8 Manor Road Coventry Warwickshire CV1 2LH		_____				
		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> Class Ordinary	<b>Number</b> 962500	<b>Shares held</b> Class	<b>Number</b>	<b>Class</b>	<b>Number</b>	<b>Date of transfer</b> ____/____/____
		Ordinary Shares of 1p    935413		Ordinary	27087	22/09/2000
		_____		_____	_____	____/____/____

Current details		Amended details		Shares transferred		
>	<b>Shareholder Name</b> Brian WHITE	<b>Name</b> _____		<b>Shares transferred by</b> Brian WHITE		
		<b>Address</b> _____				
	<b>Address</b> 29 Pinehurst Cubbington Leamington Spa Warwickshire CV32 7XA	_____				
		<b>UK Postcode</b> _ _ _ _ _				
	<b>Shares held</b> <b>Class</b> Ordinary	<b>Number</b> 55000	<b>Shares held</b> <b>Class</b> _____	<b>Number</b> _____	<b>Class</b> _____	<b>Date of transfer</b> ____/____/____
			_____	_____	_____	____/____/____

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>IAN SWINDALE AND IAN DAVE</u> <u>TRUSTEES OF THE FALLISER TRUST</u> <u>OF ATLAS TRUST COMPANY (JERSEY) LIMITED</u> Address <u>PO BOX 246</u> <u>SUITE 1, 17 QUEEN ST, ST HELENS</u> <u>JERSEY</u> <u>CHANNEL ISLANDS</u> UK Postcode <u>JE 4 5 PP</u>	<u>27087 ORDINARY</u> <u>SHARES OF 10p</u>	<u>27087 ORDINARY</u> <u>SHARES OF 10p</u>	<u>22/9/2000</u>
Name _____ Address _____ _____ _____ UK Postcode _____			
Name _____ Address _____ _____ _____ UK Postcode _____			
Name _____ Address _____ _____ _____ UK Postcode _____			



**Companies House**  
— for the record —

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

### 1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

M.T. Brennan  
(Director / Secretary)

Date

17 / 01 / 2002

*This date must not be earlier than the return date at 2 below*

#### What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

### 2. Date of this return

- ☐ This AR is made up to 5/1/2001 If you are making this return up to an earlier date, please give the date here

\_\_ / \_\_ / \_\_\_\_

*Note: The form must be delivered to CH within 28 days of this date*

### 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **5th January 2002** please give the new date here:

\_\_ / \_\_ / \_\_\_\_

### 4. Where to send this form

- ☐ Please return this form to:  
Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ
- OR
- For members of the Hays Document Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

Cheque ☒ Postal Order ☐ Cheque / Postal Order  
Number 007851

*(Please complete as appropriate)*

### Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

M.T. Brennan

Telephone number *inc code*

\_\_\_\_\_

Address

SAME AS R.O.

DX number *if applicable*

\_\_\_\_\_

DX exchange

Postcode

\_\_\_\_\_