

262365-30 264375-10

In accordance with  
Section 854 of the  
Companies Act 2006

AR01  
Annual Return

BULK CD-ROM  
13 PAGES TO PAPER  
5%

BLUEPRINT  
OneWorld

A fee is payable with this form  
Please see 'How to pay' on the  
last page

You can use the WebFiling service to file this form online  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ What this form is for  
You may use this form to confirm  
that the company information is  
correct as at the date of this return  
You must file an Annual Return at  
least once every year

☒ What this form is NOT for  
You cannot use this form to give  
notice of changes to the company  
officers, registered office address,  
company type or information  
relating to the company records

WEDNESDAY



\*A22W0V7C\*

A22

22/06/2011

318

COMPANIES HOUSE

## Part 1 Company details

The section must be completed by all companies

→ Filling in this form  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

### A1 Company details

Company number 0 2 4 3 1 1 4 3

Company name in full <sup>1</sup> JPMORGAN EUROPEAN SMALLER COMPANIES TRUST PLC

<sup>1</sup> Company name change  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return

### A2 Return date

Please give the annual return made up date The return date must not be a future  
date The annual return must be delivered within 28 days of the date given below

Date of this return <sup>2</sup> d0 d3 m0 m5 y2 y0 y1 y1

<sup>2</sup> Date of this return  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House You may choose  
an earlier return date but it must not  
be a later date

### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities <sup>3</sup>

Classification code 1	6	5	2	3
Classification code 2				
Classification code 3				
Classification code 4				

If you cannot determine a code, please give a brief description of your  
business activity below

Principal activity  
description 6523

<sup>3</sup> Principal business activity  
You must provide a trade  
classification code (SIC code) or a  
description of your company's main  
business in this section

A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

AR01  
Annual Return

**A4** Company type<sup>1</sup>

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company
- ☐ Private company limited by shares
- ☐ Private company limited by guarantee
- ☐ Private company limited by shares exempt under section 60
- ☐ Private company limited by guarantee exempt under section 60
- ☐ Private unlimited company with share capital
- ☐ Private unlimited company without share capital

**1 Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**A5** Registered office address<sup>2</sup>

Building name/number Finsbury Dials, 20 Finsbury Street

Street

Post town London

County/Region

Postcode E C 2 Y 9 A Q

**2 Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

**A6** Single alternative inspection location (SAIL) of the company records (if applicable)<sup>3</sup>

Building name/number Equiniti Limited

Street Holm Oak Business Park

Martlets Way

Post town Goring by Sea, Worthing

County/Region West Sussex

Postcode B N 1 2 4 Q Y

**3 SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

**A7** Location of company records<sup>4</sup>

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members
- ☐ Register of directors
- ☐ Directors' service contracts
- ☐ Directors' indemnities
- ☐ Register of secretaries
- ☐ Records of resolutions etc
- ☐ Contracts relating to purchase of own shares
- ☐ Documents relating to redemption or purchase of own share out of capital by private company
- ☐ Register of debenture holders
- ☐ Report to members of outcome of investigation by public company into interests in its shares
- ☒ Register of interests in shares disclosed to public company
- ☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
- ☐ Instruments creating charges and register of charges Scotland

**4 Location of company records**

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

## Part 2 Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a secretary who is an individual, go to Section B1
- For a corporate secretary, go to Section C1
- For a director who is an individual, go to Section D1
- For a corporate director, go to Section E1

### Continuation pages

Please use a continuation page if you need to enter more officer details

### Secretary

#### B1 Secretary's details <sup>①</sup>

Please use this section to list all the secretaries of the company  
For a corporate secretary, complete Section C1-C4

Title*	
Full forename(s)	
Surname	
Former name(s) <sup>②</sup>	

#### <sup>①</sup> Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

#### Corporate details

Please use Section C1-C4 to enter corporate secretary details.

#### Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

#### <sup>②</sup> Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

#### B2 Secretary's service address <sup>③</sup>

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

#### <sup>③</sup> Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01  
Annual Return

Corporate secretary

<b>C1</b>		<b>Corporate secretary's details ①</b>	
		Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name	JPMORGAN ASSET MANAGEMENT (UK) LIMITED		
Building name/number	125		
Street	LONDON WALL		
Post town	LONDON		
County/Region			
Postcode	E C 2 Y 5 A J		
Country	ENGLAND		
		<b>① Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.  This information will appear on the public record.	
<b>C2</b>		<b>Location of the registry of the corporate body or firm</b>	
		Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only	
<b>C3</b>		<b>EEA companies ②</b>	
		Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered ②	United Kingdom		
Registration number	1161446		
		<b>② EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)	
<b>C4</b>		<b>Non-EEA companies</b>	
		Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm			
Governing law			
If applicable, where the company/firm is registered ④			
If applicable, the registration number			
		<b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.	

# AR01

## Annual Return

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	Ms	
Full forename(s)	ELISABETH PATRICIA	
Surname	AIREY	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	BRITISH	
Date of birth	<div> <div>d2</div> <div>d8</div> <div>m0</div> <div>m1</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y9</div> </div>	
Business occupation (if any)	DIRECTOR	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>③</sup></b>	
Building name/number	20	
Street	ST JAMES' S WALK	
Post town	LONDON	
County/Region		
Postcode	<div> <div>E</div> <div>C</div> <div>1</div> <div></div> <div>0</div> <div>A</div> <div>P</div> <div></div> </div>	
Country		

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

AR01  
Annual Return

Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	MR	
Full forename(s)	ANTHONY BEVERLEY	
Surname	DAVIDSON	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	BRITISH	
Date of birth	<div> <div>d</div> <div>2</div> <div>d</div> <div>5</div> <div>m</div> <div>1</div> <div>m</div> <div>2</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>7</div> </div>	
Business occupation (if any)	COMPANY DIRECTOR	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>③</sup></b>	
Building name/number	PINNWOOD ESTATE	
Street	CASTLETON ROAD	
	AUCHTERARDER	
Post town	PERTHSHIRE	
County/Region		
Postcode	<div> <div>P</div> <div>H</div> <div>3</div> <div></div> <div>1</div> <div>J</div> <div>S</div> <div></div> </div>	
Country		

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	CAROLAN	
Surname	DOBSON	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>4</div> <div>m</div> <div>1</div> <div>m</div> <div>2</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>5</div> <div>y</div> <div>4</div> </div>	
Business occupation (if any)	Company Director	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>①</sup></b>	
Building name/number	Finsbury Dials	
Street	20 Finsbury Street	
Post town	London	
County/Region		
Postcode	<div> <div>E</div> <div>C</div> <div>2</div> <div>Y</div> <div></div> <div>9</div> <div>A</div> <div>Q</div> </div>	
Country		

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page Annual Return

## Director

D1 Director's details <sup>1</sup>	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	
Full forename(s)	PAUL VICTOR FALZON SANT
Surname	MANDUCA
Former name(s) <sup>2</sup>	
Country/State of residence	United Kingdom
Nationality	BRITISH
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>5</div> <div>m</div> <div>1</div> <div>m</div> <div>1</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>5</div> <div>y</div> <div>1</div> </div>
Business occupation (if any)	Company Director

**1 Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**2 Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address <sup>1</sup>	
Building name/number	22
Street	RUTLAND GATE
Post town	LONDON
County/Region	
Postcode	<div> <div>S</div> <div>W</div> <div>7</div> <div></div> <div>1</div> <div>B</div> <div>B</div> <div></div> </div>
Country	

**1 Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



# AR01 - continuation page

## Annual Return

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>																	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4																	
Title*																		
Full forename(s)	FEDERICO																	
Surname	MARESCOTTI																	
Former name(s) <sup>②</sup>																		
Country/State of residence	SWITZERLAND																	
Nationality	ITALIAN																	
Date of birth	<table><tr><td>d</td><td>1</td><td>d</td><td>2</td><td>m</td><td>1</td><td>m</td><td>2</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>5</td><td>y</td><td>6</td></tr></table>		d	1	d	2	m	1	m	2	y	1	y	9	y	5	y	6
d	1	d	2	m	1	m	2	y	1	y	9	y	5	y	6			
Business occupation (if any)	COMPANY DIRECTOR																	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>①</sup></b>	
Building name/number	CHALET ALEXANDRINE	
Street		
Post town	VERBIER CH-1936	
County/Region		
Postcode		
Country	SWITZERLAND	

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	MICHAEL ALEKSANDER	
Surname	WROBEL	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	d 1 d 8 m 0 m 6 y 1 y 9 y 5 y 5	
Business occupation (if any)	DIRECTOR	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>③</sup></b>	
Building name/number	SAXONBURY	
Street	MAYFIELD LANE	
Post town	WADHURST	
County/Region	EAST SUSSEX	
Postcode	T N 5 6 J E	
Country		

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01

## Annual Return

### Corporate director

<b>E1</b>	<b>Corporate director's details <sup>1</sup></b>	
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		<p><b><sup>1</sup> Corporate director appointments</b> You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.</p> <p><b>Corporate director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.</p> <p>This information will appear on the public record.</p>
Building name/number		
Street		
Post town		
County/Region		
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Country		
<b>E2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section E3 only → No Complete Section E4 only	
<b>E3</b>	<b>EEA companies <sup>2</sup></b>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered <sup>2</sup>		<p><b><sup>2</sup> EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a></p> <p><b><sup>3</sup></b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)</p>
Registration number		
<b>E4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		<p><b><sup>3</sup> Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
Governing law		
If applicable, where the company/firm is registered <sup>3</sup>		
If applicable, the registration number		

AR01  
Annual Return

**Part 3 Statement of capital**

Does your company have share capital?

- Yes Complete the sections below and the following Part 4  
→ No Go to Part 5 (Signature)

① This should reflect the company's capital status at the made up date of this annual return

**F1 Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling  
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
ORDINARY	0.28	0.00	43,208,739	£10,802,184.75
				£
				£
				£
Totals			43,208,739	£10,802,184.75

**F2 Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies  
Please complete a separate table for each currency

Currency	Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals					

Currency	Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals					

**F3 Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares	43,208,739
Total aggregate nominal value ⑤	£10,802,184.75

⑤ Total aggregate nominal value  
Please list total aggregate values in different currencies separately For example £100 + €100 + \$10 etc

② Including both the nominal value and any share premium

③ Number of shares issued multiplied by nominal value of each share

④ Total number of issued shares in this class.

Continuation Pages  
Please use a Statement of Capital continuation page if necessary

AR01  
Annual Return

**F4** Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2

Class of share	£0 25 ORDINARY
----------------	----------------

Voting rights	The shares have attached to them full voting, dividend and capital distribution (including on winding up) rights, they do not confer any rights of redemption
---------------	---

Class of share	
----------------	--

Voting rights	
---------------	--

Class of share	
----------------	--

Voting rights	
---------------	--

Class of share	
----------------	--

Voting rights	
---------------	--

## Part 4 Shareholders

Does your company have share capital?  
→ Yes Complete the sections below  
→ No Go to Part 5 (Signature)

① This should reflect the shareholder details at the made up date of this annual return

G1

### Traded public companies ②

☒ Please tick the box if your company was a traded public company at any time during the period of this return

② Traded company definition  
A traded company means a company any of whose shares are admitted to trading on a regulated market

G2

### List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

- ☐ A full list of shareholders for a private or non-traded public company is enclosed Please complete Section G3, or
- ☒ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed Please complete Section G4
- ☐ A list of shareholder changes is enclosed  
→ For private or non-traded public companies, please complete Section G3  
→ For traded public companies, please complete Section G4
- ☐ There were no shareholder changes in this period  
→ Go to Part 5 (Signature)

Please tick the appropriate box below to indicate the format of your shareholder details

- ☐ The list of shareholders is enclosed on paper
- ☒ The list of shareholders is enclosed in another format

AR01  
Annual Return

G3

**Private or non-traded public companies – list of past and present shareholders**

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

**Further shareholders**

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

AR01  
Annual Return

G4

Traded public companies – list of past and present shareholders

This section should show the shareholders that hold at **least 5%** of any class of share(s) of the company at the date of this return. It should only be completed by public companies that have traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year.

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order.

Joint shareholders should be listed consecutively.

Further shareholders  
Please use a 'Traded public companies – list of past and present shareholders' continuation page if necessary.

Shareholder's details		Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /



AR01  
Annual Return

Part 5

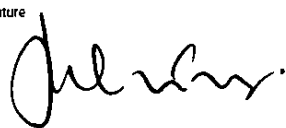
Signature

This must be completed by all companies

I am signing this form on behalf of the company

Signature

Signature

X 

For and behalf of  
JPMorgan Asset Management (UK) Limited X  
- Secretary

This form may be signed by  
Director ❶, Secretary, Person authorised ❷, Charity commission receiver and  
manager, CIC manager, Judicial factor

❶ Societas Europaea  
If the form is being filed on behalf  
of a Societas Europaea (SE) please  
delete 'director' and insert details  
of which organ of the SE the person  
signing has membership

❷ Person authorised  
Under either section 270 or 274 of  
the Companies Act 2006

# AR01

## Annual Return



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name

Company name Jonathan Latter

Address JPMorgan Asset Management  
(UK) Ltd

Finsbury Dials,

20 Finsbury Street

Post town London

County/Region

Postcode

E

C

2

Y

9

A

Q

Country

DX

Telephone



### Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



### Important information

Please note that all information on this form will appear on the public record



### How to pay

A fee of £30 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

For companies registered in Scotland  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 NR Belfast 1



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

Run Date: 17-May-2011 17:09 05

ANNUAL RETURN  
-----

European Smaller Companies Trust Plc

Reg no: 2431143 Client: JPMorgan  
-----

-----  
03-May-2011

Report Between 04-May-2010 to

PRESENT MEMBERS, SINCE  
ORDER, AS REQUIRED UNDER

FULL LIST AND SHARE PARTICULARS OF PAST AND  
THE DATE OF LAST RETURN, IN ALPHABETICAL  
SECTION 854 OF COMPANIES ACT 2006

the Holder(s) now

An asterisk in the final column denotes that  
re-appears elsewhere in the register.

STOCK CODE      FULL STOCK TITLE  
4793            Ordinary 25p Shares

NAME AND STOCK ADDRESS CODE	BALANCE OF HOLDING	STOCK TRANSFERRED	DATE REGISTERED	DESIGNATION NAME
CHASE NOMINEES LIMITED 4793 P O BOX 18171 125 LONDON WALL LONDON EC2Y 5AJ	4,697,269			JPMEPML
-2,813	04-May-2010			
-4,548	05-May-2010			
-2,177	06-May-2010			
-7,121	07-May-2010			
-386	10-May-2010			
-1,191	11-May-2010			
1,710	12-May-2010			
-537	13-May-2010			
-519	14-May-2010			
-1,426	17-May-2010			
-1,753	18-May-2010			
-353	19-May-2010			

---

149	20-May-2010
2,092	20-May-2010
2,712	21-May-2010
-6,721	24-May-2010
-1,764	25-May-2010
-3,525	26-May-2010
-9,346	27-May-2010
-1,564	28-May-2010
294	01-Jun-2010
629	02-Jun-2010
-11,367	03-Jun-2010
596	04-Jun-2010
-690	07-Jun-2010
-2,246	08-Jun-2010
-1,466	09-Jun-2010
628	10-Jun-2010
-4,206	10-Jun-2010
-617	11-Jun-2010
-8,023	14-Jun-2010
-2,523	15-Jun-2010
-693	15-Jun-2010
-188	16-Jun-2010
180	16-Jun-2010
-2,190	17-Jun-2010
-6,768	18-Jun-2010
3,871	21-Jun-2010
-1,291	21-Jun-2010
-1,605	22-Jun-2010
-4,713	23-Jun-2010
-2,420	24-Jun-2010

---

-1,981	25-Jun-2010
324	28-Jun-2010
-509	28-Jun-2010
-920	29-Jun-2010
10,762	29-Jun-2010
-3,403	30-Jun-2010
-472	02-Jul-2010
-1,093	05-Jul-2010
-885	05-Jul-2010
231	06-Jul-2010
-782	07-Jul-2010
-1,790	07-Jul-2010
-3,711	09-Jul-2010
40	12-Jul-2010
-166	13-Jul-2010
-4,685	14-Jul-2010
-1,249	15-Jul-2010
-10,197	16-Jul-2010
-53	16-Jul-2010
-1,927	19-Jul-2010
-1,751	20-Jul-2010
-702	20-Jul-2010
2,972	20-Jul-2010
-410	21-Jul-2010
2,459	21-Jul-2010
-1,000	22-Jul-2010
2,943	22-Jul-2010
1,527	22-Jul-2010
-9,135	23-Jul-2010
6,840	23-Jul-2010

---

-2,255	26-Jul-2010
-42	27-Jul-2010
-186	28-Jul-2010
-2,181	29-Jul-2010
-1,067	30-Jul-2010
4,890	30-Jul-2010
-326	02-Aug-2010
-4,270	03-Aug-2010
-2,454	03-Aug-2010
-1,476	03-Aug-2010
-176	04-Aug-2010
-3,865	05-Aug-2010
-1,722	06-Aug-2010
-175	09-Aug-2010
-2,037	09-Aug-2010
-287	09-Aug-2010
-1,091	10-Aug-2010
-436	11-Aug-2010
-388	12-Aug-2010
-6,599	12-Aug-2010
-849	13-Aug-2010
-847	16-Aug-2010
-2,566	16-Aug-2010
-299	17-Aug-2010
-126	18-Aug-2010
-266	19-Aug-2010
4,738	19-Aug-2010
380	20-Aug-2010
-182	23-Aug-2010
13	24-Aug-2010

---

-2,639	24-Aug-2010
-467	25-Aug-2010
-261	26-Aug-2010
261	26-Aug-2010
-2,640	27-Aug-2010
-3,222	31-Aug-2010
245	01-Sep-2010
-468	03-Sep-2010
5,380	03-Sep-2010
-88	06-Sep-2010
-39	07-Sep-2010
51	08-Sep-2010
-555	08-Sep-2010
-2,125	09-Sep-2010
-2,031	10-Sep-2010
-3,244	13-Sep-2010
-1,688	14-Sep-2010
-521	15-Sep-2010
-5,978	16-Sep-2010
-1,072	17-Sep-2010
2,659	17-Sep-2010
-1,705	20-Sep-2010
-29	20-Sep-2010
1,389	21-Sep-2010
3,192	21-Sep-2010
425	22-Sep-2010
-822	23-Sep-2010
275	24-Sep-2010
-2,179	24-Sep-2010
-636	27-Sep-2010

---

-892	27-Sep-2010
-259	28-Sep-2010
-4,197	29-Sep-2010
34	30-Sep-2010
-2,825	01-Oct-2010
-601	01-Oct-2010
-2,624	04-Oct-2010
-310	05-Oct-2010
-1,470	06-Oct-2010
-2,479	07-Oct-2010
-2,572	07-Oct-2010
-3,970	08-Oct-2010
-1,363	11-Oct-2010
-1,803	11-Oct-2010
-1,171	12-Oct-2010
155	13-Oct-2010
-1,469	13-Oct-2010
-14,038	14-Oct-2010
-2,588	15-Oct-2010
-1,176	18-Oct-2010
-2,528	19-Oct-2010
163	19-Oct-2010
-5,287	20-Oct-2010
3,470	20-Oct-2010
-2,055	21-Oct-2010
230	21-Oct-2010
151	22-Oct-2010
-1,740	25-Oct-2010
-5,390	26-Oct-2010
-2,160	26-Oct-2010

---



-496	27-Oct-2010
-400	28-Oct-2010
-5,115	29-Oct-2010
-1,726	01-Nov-2010
-396	02-Nov-2010
-13	02-Nov-2010
-956	02-Nov-2010
1,187	03-Nov-2010
-843	04-Nov-2010
-22	05-Nov-2010
-2,559	08-Nov-2010
-873	08-Nov-2010
42	09-Nov-2010
-4,121	09-Nov-2010
-655	10-Nov-2010
-1,324	10-Nov-2010
764	11-Nov-2010
-3,853	12-Nov-2010
-622	15-Nov-2010
-1,268	16-Nov-2010
190	16-Nov-2010
516	16-Nov-2010
-5,233	17-Nov-2010
-1,624	18-Nov-2010
-1,924	18-Nov-2010
-72	19-Nov-2010
5,201	19-Nov-2010
533	22-Nov-2010
-1,689	22-Nov-2010
-5,290	23-Nov-2010

---

2,151	23-Nov-2010
-218	24-Nov-2010
977	25-Nov-2010
-1,172	26-Nov-2010
-191	29-Nov-2010
-206	30-Nov-2010
-490	30-Nov-2010
-879	01-Dec-2010
-894	02-Dec-2010
207	02-Dec-2010
-570	03-Dec-2010
-5,600	06-Dec-2010
-1,381	07-Dec-2010
-428	08-Dec-2010
-7	08-Dec-2010
-5	10-Dec-2010
-2,254	10-Dec-2010
-2,139	13-Dec-2010
3,026	14-Dec-2010
2,886	14-Dec-2010
-466	16-Dec-2010
994	16-Dec-2010
-1,232	17-Dec-2010
1,363	17-Dec-2010
-435	17-Dec-2010
-2,228	20-Dec-2010
367	20-Dec-2010
-121	21-Dec-2010
3,283	21-Dec-2010
510	22-Dec-2010

---

184	22-Dec-2010
-596	22-Dec-2010
360	23-Dec-2010
-1,347	23-Dec-2010
116	24-Dec-2010
-633	24-Dec-2010
-945	29-Dec-2010
656	30-Dec-2010
-859	31-Dec-2010
-117	04-Jan-2011
-668	05-Jan-2011
-58	06-Jan-2011
-2,647	07-Jan-2011
218	07-Jan-2011
-3,046	10-Jan-2011
1	11-Jan-2011
-1,642	11-Jan-2011
-6,787	12-Jan-2011
-119	13-Jan-2011
1,563	13-Jan-2011
-456	14-Jan-2011
-819	17-Jan-2011
-16,968	18-Jan-2011
-231	18-Jan-2011
306	18-Jan-2011
-1,338	19-Jan-2011
-1,199	20-Jan-2011
1,350	20-Jan-2011
2,778	20-Jan-2011
-2,062	21-Jan-2011

---

-1,379	24-Jan-2011
-930	25-Jan-2011
688	25-Jan-2011
6,457	25-Jan-2011
-763	26-Jan-2011
-6,142	27-Jan-2011
-1,170	28-Jan-2011
1,178	31-Jan-2011
-590	01-Feb-2011
-2,146	02-Feb-2011
-53	03-Feb-2011
372	04-Feb-2011
-353	07-Feb-2011
-658	08-Feb-2011
-685	08-Feb-2011
-1,134	09-Feb-2011
-4,814	10-Feb-2011
2,344	10-Feb-2011
-1,124	11-Feb-2011
-260	15-Feb-2011
-605	15-Feb-2011
-1,033	16-Feb-2011
344	17-Feb-2011
113	17-Feb-2011
-1,503	18-Feb-2011
-5,992	22-Feb-2011
3,568	22-Feb-2011
1,688	23-Feb-2011
-11,102	24-Feb-2011
11	25-Feb-2011

---

-318	28-Feb-2011
-409	01-Mar-2011
139	01-Mar-2011
-3,336	02-Mar-2011
-2,398	03-Mar-2011
-3,126	04-Mar-2011
610	04-Mar-2011
-1,708	07-Mar-2011
-3,036	08-Mar-2011
-2,633	09-Mar-2011
410	10-Mar-2011
-3,723	11-Mar-2011
-1,350	11-Mar-2011
577	11-Mar-2011
-89	14-Mar-2011
-5,852	15-Mar-2011
-362	15-Mar-2011
-1,922	16-Mar-2011
449	17-Mar-2011
-555	17-Mar-2011
-3,813	18-Mar-2011
256	21-Mar-2011
524	22-Mar-2011
-915	23-Mar-2011
-2,947	24-Mar-2011
523	25-Mar-2011
115	28-Mar-2011
-1,170	29-Mar-2011
-2,291	30-Mar-2011
-866	31-Mar-2011

---

-2,853	01-Apr-2011
867	01-Apr-2011
-1,983	04-Apr-2011
-3,897	05-Apr-2011
-889	06-Apr-2011
277	06-Apr-2011
-6,490	07-Apr-2011
947	07-Apr-2011
446	08-Apr-2011
-2,847	11-Apr-2011
182	11-Apr-2011
-857	12-Apr-2011
1,007	12-Apr-2011
432	12-Apr-2011
-1,918	13-Apr-2011
1,011	13-Apr-2011
-607	14-Apr-2011
687	14-Apr-2011
-816	15-Apr-2011
-152	18-Apr-2011
733	18-Apr-2011
3,228	18-Apr-2011
77	20-Apr-2011
-5,610	21-Apr-2011
633	21-Apr-2011
3,773	21-Apr-2011
-84	26-Apr-2011
-11,799	27-Apr-2011
2,133	27-Apr-2011
737	28-Apr-2011

---

-172 03-May-2011

847 03-May-2011

NORTRUST NOMINEES LIMITED  
4793 2,852,879  
50 BANK STREET  
CANARY WHARF  
LONDON  
E14 5NT

SGLEND

2,852,879 14-Dec-2010

STARGAS NOMINEES LIMITED  
4793 CLOSED  
ONE PICCADILLY GARDENS  
MANCHESTER  
M1 1RN

-2,852,879 14-Dec-2010

STOCK CODE	ISSUED CAPITAL
4793	43,208,739

The information contained in this report is strictly confidential and is intended for the addressee(s) only. If you have received this report in error or there are any problems, please notify the originator immediately. The unauthorised use, disclosure, copying or alteration of this report is strictly forbidden. Equiniti will not be liable for direct, special, indirect or consequential damages, losses or expenses arising from the unauthorised use, disclosure, copying or alteration of the contents of this report by a third party. To find out more about Equiniti, visit our web site at <http://www.equiniti.com>. Equiniti Limited and Equiniti Financial Services Limited are part of the Equiniti group of companies and whose registered offices are Aspect House, Spencer Road, Lancing Business Park, Lancing, West Sussex BN99 6DA. Company share registration, employee scheme and pension administration services are provided through Equiniti Limited, which is registered in England & Wales with No. 6226088. Investment and general insurance services are provided through Equiniti Financial Services Limited, which is registered in England & Wales with No. 6208699 and is authorised and regulated by the UK Financial Services Authority.