



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



A28 \*AJ37KNIS\* 436  
COMPANIES HOUSE 24/07/96

This form should be completed in black.

The information printed below is taken from Companies House records as at 05/07/96  
If this information requires amendment use the spaces opposite.

**Date of this return** (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
26	07	96

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

**Registered Office** (See note 3)

This is the address registered by Companies House.  
1 FINSBURY AVENUE  
LONDON, EC2M 2PA

**Principal business activities** (See note 4)

Trade classification is  
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

Bar  
#18  
002489

363s

**Annual Return**

of company number 02418504

X

company name  
PAINWEBBER INTERNATIONAL (U.K.) LTD.

company type  
PRIVATE COMPANY LIMITED BY SHARES

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

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If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

## Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

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 .....  
 .....

## Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

.....  
 .....  
 .....

## Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

JONATHAN  
 ROSE  
 76 BROXASH ROAD  
 LONDON  
 SW11 6AB

Day	Month	Year

Date of any change.

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 .....  
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If this person has ceased to be secretary, please state when.

Day	Month	Year

Date of resignation.

## Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

BRIAN MILLER  
 BAREFOOT  
 32 LENOX ROAD  
 SUMMIT  
 NEW JERSEY 07901  
 USA

Day	Month	Year

Date of any change.

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 .....  
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 .....

Date of Birth:- 11/04/43

Nat:AMERICAN

Occ:EXECUTIVE VICE PRESIDENT AND D

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

.....  
 .....  
 .....

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

Particulars.

BRIAN BOND  
HAVILL  
19 LINGFIELD ROAD  
LONDON  
SW19 4QD

Date of Birth:- 31/08/39  
Nat:BRITISH  
Occ:INVESTMENT BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Particulars.

GUSTAVO ADOLFO  
LARRAMENDI  
102-10 66TH ROAD  
FOREST HILLS  
NEW JERSEY  
NEW YORK 11375  
AMERICA

Date of Birth:- 21/02/54  
Nat:USA  
Occ:SECURITIES INDUSTRYEXECUTIVE

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Particulars.

IAN DAVID  
MYERS  
5 LOWNDES COURT  
LOWNDES SQUARE  
LONDON  
SW1

Date of Birth:- 03/08/54  
Nat:BRITISH  
Occ:STOCKBROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

### Particulars.

SIMON  
PHILLIPS  
21 PORTLAND ROAD  
LONDON  
W11 4LH

Date of Birth:- 26/08/49  
Nat:BRITISH  
Occ:STOCKBROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

### Particulars.

GEOFFREY  
STANLEY  
ROSE COTTAGE 1 NEW STREET  
CHEDDINGTON  
LEIGHTON BUZZARD  
BEDFORDSHIRE LU7 0RL

Date of Birth:- 08/10/48  
Nat:BRITISH  
Occ:MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

### Particulars.

WILLIAM SHERWOOD  
WATT  
35 BARNFIELD WOOD ROAD  
PARK LANGLEY  
BECKENHAM  
KENT BR3 2ST

Date of Birth:- 25/07/55  
Nat:BRITISH  
Occ:EXECUTIVE VICE PRESIDENT & DIR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

Particulars.

JOHN AUSTIN  
WIELAND  
14 ONSLOW SQUARE  
FLAT 9  
LONDON  
SW7 3NP

Date of Birth:- 12/11/55  
Nat:USA  
Occ:FINANCIAL OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

MICHAEL PETER  
WOOLF  
108 NETHER STREET  
FINCHLEY  
LONDON  
N12 8EU

Date of Birth:- 21/07/46  
Nat:BRITISH  
Occ:INVESTMENT BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

Day	Month	Year

Date of any change.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of resignation.

**Issued Share Capital** (See note 8)

02418504

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc.)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
<u>ORDINARY</u>	<u>1,410,177</u>	<u>US\$1,410,177</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
<b>Totals</b>	<b><u>1,410,177</u></b>	<b><u>US\$1,410,177</u></b>

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

Please mark the appropriate box.

A full list is required if one was not included with either of the last two returns.

There were no changes in the period



The last full members list was at 26/07/94

	on paper	not on paper
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of members is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 18.

Cheques should be made payable to Companies House.

Signed



Secretary/Director \*

\*(delete as appropriate)

Date

23/7/96

This return includes

1  
(enter number)

continuation sheets.

Please ensure that you have completed all sections on this page.

To whom should Companies House direct any enquiries about the information shown in this return?

MR JONATHAN ROSEPAINWEBBER (INTERNATIONAL) U.K. LTD.1 FINSBURY AVENUELONDONPostcode EC2M 2PATelephone 0171 422 2338 Ext (Direct)

**SCHEDULE TO FORM 363**[illegible]

**SCHEDULE TO FORM 363**

**Continued overleaf**