

BLUEPRINT

2000

016515/30

363a<sup>9</sup>

Please complete in typescript,  
or in bold black capitals.

**Annual Return**

CHFP010

**Company Number**

2418504

**Company Name in full**

UBS INTERNATIONAL UK LIMITED

**Date of this return**

The information in this return is made up  
to

Day	Month	Year
08	04	2009

**Date of next return**

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.

Companies House will then send a form at  
the appropriate time.

Day	Month	Year

**Registered Office**

Show here the address at the date of  
this return.

1 Finsbury Avenue

Any change of  
registered office must  
be notified on form  
287.

Post town

London

County / Region

UK Postcode

EC2M 2PP

**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

6712

If the code number cannot be determined,  
give a brief description of principal activity.

When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ****DX 33050 Cardiff**

for companies registered in England and Wales or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX ED235 Edinburgh 1  
or LP-4 Edinburgh 2**

WEDNESDAY



\*AX76S9F0\*

A57

29/04/2009

50

COMPANIES HOUSE

10/08

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

**Company Secretary**

\* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Miss

Forename(s)

HARRIET HELEN LUCINDA

Surname

CHARLES

Address ††

100 LIVERPOOL STREET

Post town

LONDON

County / Region

UK Postcode

EC2M 2RH

Country

UNITED KINGDOM

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

X

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 2 1 1 1 9 6 2

Forename(s) AMY

Surname FAINSBERT

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.



Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality American

Business occupation FINANCE DIRECTOR

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 7 0 6 1 9 6 6

Forename(s) ANNA MARIE INGEBORG

Surname HAEMMERLI

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.



Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality SWISS

Business occupation INVESTMENT BANKER

**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

**Details of new directors must be notified on form 288a**

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 4 0 8 1 9 4 8

Forename(s) RICHARD WILLIAM JOHN

Surname HARDIE



Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality BRITISH

Business occupation INVESTMENT BANKER

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**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

Mr

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 0 5 0 7 1 9 6 6

Forename(s) ALASTAIR SCOTT

Surname HOLMES



Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality BRITISH

Business occupation LAWYER

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**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

**Details of new directors must be notified on form 288a**

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 0 5 0 6 1 9 6 8

Forename(s) JOHN

Surname QUARMBY

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Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality BRITISH

Business occupation CHARTERED TAX ADVISER

**Directors**

Please list directors in alphabetical order.

\* Voluntary details.

**Name**

\* Style / Title

**Details of new directors must be notified on form 288a**

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 0 6 0 2 1 9 6 4

Forename(s) SEAN ENDA

Surname RYAN

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality IRISH

Business occupation DIRECTOR

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 1 0 3 1 9 6 4

Forename(s) GREGORY PAUL

Surname SIMPSON

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality BRITISH

Business occupation ACCOUNTANT

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

\* Voluntary details.

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 4 1 0 1 9 6 7

Forename(s) ANDREW DAVID

Surname WILLIAMS

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Address †† 100 LIVERPOOL STREET

Post town LONDON

County / Region UK Postcode EC2M 2RH

Country UNITED KINGDOM Nationality British / Australian

Business occupation Investment Banker

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**Issue share capital**

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	1,410,177	US\$1,410,177.00
<b>Totals</b>	1,410,177	1,410,177.00

**Traded public companies**

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below:

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**on paper ☒ in another format ☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**☐

A list containing shareholder changes is enclosed

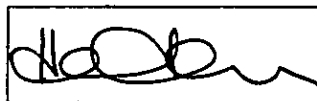
☐→ For private or non-traded public companies, please complete **Schedule A**→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

23.04.09

† Please delete as appropriate.

† (director / secretary)

When you have signed the return send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

1

continuation sheets.

(enter number)

JOHN S MITCHELL-HEWSON, UBS INVESTMENT BANK,

100 LIVERPOOL STREET, LONDON, EC2M 2RH

Tel

BLUEPRINT

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DX number

DX exchange

### Schedule A for private or non-traded public companies List of past and present shareholders

(Please use a continuation sheet if required)

CHFP010

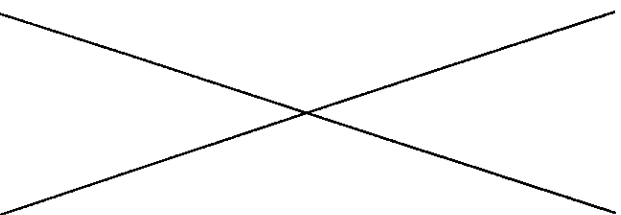
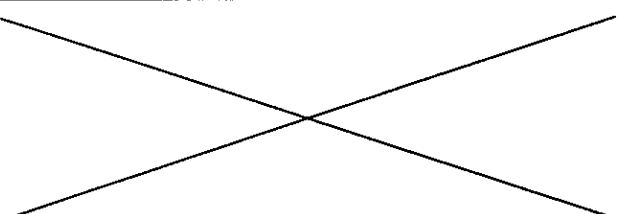
Company Number | 2418504

Company Name in full | UBS INTERNATIONAL UK LIMITED

This must only be completed by private and public limited companies that have not traded on a regulated market

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- List joint shareholders consecutively

**Do not give shareholder address information**

Shareholder's name only	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer
Name PAINEWEBBER UK HOLDINGS 	US\$1.00 ORDINARY  Shares Held 1,410,176		
Name PAINEWEBBER INTERNATIONAL INC. AND PAINEWEBBER UK HOLDINGS 	US\$1.00 ORDINARY  Shares Held 1		
Name 