

AR01

Annual Return

BLUEPRINT

OneWorld

412894/280

A fee is payable with this form
Please see 'How to pay' on the last
page

You can use the WebFiling service to file this form online.
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to confirm that
the company information is correct as
at the date of this return. You must file
an Annual Return at least once every
year

☒ **What this form is NOT for**
You cannot use this form to give
notice of changes to the company
officers, registered office address,
company type or information relating
to the company records.

WEDNESDAY



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A10

20/07/2011

190

COMPANIES HOUSE

Part 1

Company details

The section must be completed by all companies.

→ Filing in this form

Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

A1

Company details

Company number 2 2 9 9 2 9 2
Company name in full ① MAN INVESTMENTS HOLDINGS LIMITED

① Company name change

If your company has recently changed
its name, please provide the company
name as at the date of this return

A2

Return date

Please give the annual return made up date. The return date must not be a future date.
The annual return must be delivered within 28 days of the date given below

Date of this return ② d3 d1 m0 m5 y2 y0 y1 y1

② Date of this return

Your company's return date is usually
the anniversary of incorporation or the
anniversary of the last annual return
filed at Companies House. You may
choose an earlier return date but it
must not be a later date.

A3

Principal business activity

Please show the trade classification code number(s) for the principal activity
or activities. ③

Classification code 1 7 4 8 7
Classification code 2
Classification code 3
Classification code 4

③ Principal business activity

You must provide a trade classification
code (SIC code) or a description of
your company's main business in this
section.

A full list of the trade classification
codes are available on our website:
www.companieshouse.gov.uk

If you cannot determine a code, please give a brief description of your
business activity below

Principal activity
description

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A4**Company type ^①**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company
☒ Private company limited by shares
☐ Private company limited by guarantee
☐ Private company limited by shares exempt under section 60
☐ Private company limited by guarantee exempt under section 60
☐ Private unlimited company with share capital
☐ Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website.

www.companieshouse.gov.uk

A5**Registered office address ^②**

Building name/number SUGAR QUAY

Street LOWER THAMES STREET

Post town LONDON

County/Region England

Postcode E C 3 R 6 D U

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return.

If the registered office address has changed, you should complete form **AD01** and submit it together with this annual return.

A6**Single alternative inspection location (SAIL) of the company records (if applicable) ^③**

Building name/number

Street

Post town

County/Region

Postcode

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return.

If the address has changed, you should complete form **AD02** and submit it together with this annual return.

A7**Location of company records ^④**Please tick the appropriate box to indicate which records are kept at the SAIL address in **Section A6**

- ☐ Register of members
☐ Register of directors
☐ Directors' service contracts.
☐ Directors' indemnities.
☐ Register of secretaries
☐ Records of resolutions etc.
☐ Contracts relating to purchase of own shares.
☐ Documents relating to redemption or purchase of own share out of capital by private company
☐ Register of debenture holders.
☐ Report to members of outcome of investigation by public company into interests in its shares.
☐ Register of interests in shares disclosed to public company
☐ Instruments creating charges and register of charges. England and Wales or Northern Ireland.
☐ Instruments creating charges and register of charges. Scotland.

④ Location of company records

If the company records are held at the registered office address, **do not** tick any of the boxes in this section.

Certain records must be kept by every company while other records are only kept by certain company types where appropriate.

If the records are not kept at the SAIL address, they must be available at the registered office.

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form **AD03** and submit it together with this annual return.

Part 2 Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details.

Secretary

B1

Secretary's details ^①

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4.

Title*	
Full forename(s)	LISA JANE
Surname	DANIELS
Former name(s) ^②	

① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form **AP03** and submit it together with this annual return.

Corporate details

Please use **Section C1-C4** to enter corporate secretary details.

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH03**.

Former name(s)

- ② Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

B2

Secretary's service address ^③

Building name/number	The Company's Registered Office
Street	
Post town	
County/Region	
Postcode	
Country	

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Corporate secretary

C1	Corporate secretary's details ①	
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name		① Corporate secretary appointments You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return. Corporate secretary details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04 . This information will appear on the public record.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
C2	Location of the registry of the corporate body or firm	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only	
C3	EEA companies ②	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	② EEA A full list of countries of the EEA can be found in our guidance. www.companieshouse.gov.uk ③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Where the company/firm is registered ③		
Registration number		
C4	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

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Director

D1	Director's details ①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*		① Director appointments You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return. Corporate details Please use Section E1-E4 to enter corporate director details. Director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01. ② Former name(s) Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.
Full forename(s)	PETER LAWRENCE	
Surname	CLARKE	
Former name(s)②		
Country/State of residence	ENGLAND	
Nationality	BRITISH	
Date of birth	d ² d ⁸ m ¹ m ⁰ y ¹ y ⁹ y ⁵ y ⁹	
Business occupation (if any)	SOLICITOR	

D2	Director's service address ①	
Building name/number	The Company's Registered Office	① Service address If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address. This information will appear on the public record.
Street		
Post town		
County/Region		
Postcode		
Country		

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Director**D1****Director's details ①**

	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4.</i>															
Title*																
Full forename(s)	STEPHEN ANTHONY															
Surname	CLOUGH															
Former name(s)②																
Country/State of residence	United Kingdom															
Nationality	BRITISH															
Date of birth	d	2	d	7	m	0	m	1	y	1	y	9	y	6	y	3
Business occupation (if any)	Manager															

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

Corporate details

Please use **Section E1-E4** to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2**Director's service address ③**

Building name/number	The Company's Registered Office											
Street												
Post town												
County/Region												
Postcode												
Country												

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1	Director's details ①	
	Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4.</i>	
Title*		
Full forename(s)	KEVIN JAMES PATRICK	
Surname	HAYES	
Former name(s)②		
Country/State of residence	United Kingdom	
Nationality	BRITISH	
Date of birth	<div> <div>d2</div> <div>d7</div> <div>m0</div> <div>m2</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y0</div> </div>	
Business occupation (if any)	MANAGER	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

Corporate details
Please use **Section E1-E4** to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ①	
Building name/number	The Company's Registered Office	
Street		
Post town		
County/Region		
Postcode	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>	
Country		

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1	Director's details ①		<p>① Director appointments You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.</p> <p>Corporate details Please use Section E1-E4 to enter corporate director details.</p> <p>Director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.</p> <p>② Former name(s) Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.</p>
Please use this section to list all the directors of the company <i>For a corporate director, complete Section E1-E4.</i>			
Title*			
Full forename(s)	GEORGE EDMUND RICHARD		
Surname	WOOD		
Former name(s)②			
Country/State of residence	United Kingdom		
Nationality	British		
Date of birth	<div>d1</div> <div>d6</div> <div>m0</div> <div>m9</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y6</div>		
Business occupation (if any)	Manager		

D2	Director's service address ①		<p>① Service address If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.</p> <p>This information will appear on the public record</p>
Building name/number	The Company's Registered Office		
Street			
Post town			
County/Region			
Postcode	<div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div>		
Country			

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Corporate director

E1	Corporate director's details ①	
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		① Corporate director appointments You cannot use this form to appoint a corporate director. To do this, please complete form AR02 and submit it together with this annual return. Corporate director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02 . This information will appear on the public record
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
E2	Location of the registry of the corporate body or firm	
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section E3 only → No Complete Section E4 only	
E3	EEA companies ②	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	② EEA A full list of countries of the EEA can be found in our guidance: www.companieshouse.gov.uk ③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Where the company/firm is registered ③		
Registration number		
E4	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ③		
If applicable, the registration number		

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Part 3**Statement of capital** ^①

Does your company have share capital?

→ Yes Complete the sections below and the following **Part 4**→ No Go to **Part 5 (Signature)**^① This should reflect the company's capital status at the made up date of this annual return.**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling

If all your issued capital is in sterling, only complete **Section F1** and then go to **Section F4**

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ^②	Amount (if any) unpaid on each share ^②	Number of shares ^③	Aggregate nominal value ^④
ORDINARY 10P	0 10	0 00	12,488,314	£ 1,248,831 40
				£
				£
				£
Totals			12,488,314	£ 1,248,831 40

F2**Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency	US Dollar			
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ^②	Amount (if any) unpaid on each share ^②	Number of shares ^③	Aggregate nominal value ^④
ORDINARY	1 00	0 00	13,882,750	US\$13,882,750 00
Totals			13,882,750	US\$13,882,750 00

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ^②	Amount (if any) unpaid on each share ^②	Number of shares ^③	Aggregate nominal value ^④
Totals				

F3**Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares	26,371,064
Total aggregate nominal value ^⑤	£1,248,831 40 + US\$13,882,750 00

^⑤ Total aggregate nominal value
Please list total aggregate values in different currencies separately. For example £100 + €100 + \$10 etc.^② Including both the nominal value and any share premium.^③ Number of shares issued multiplied by nominal value of each share**Continuation Pages**

Please use a Statement of Capital continuation page if necessary

^④ Total number of issued shares in this class

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F4**Statement of capital (Voting rights)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in *Sections F1 and F2*.

Class of share	£0 10 ORDINARY 10P
----------------	--------------------

Voting rights	The shares have attached to them full voting rights
---------------	---

Class of share	US\$1 00 ORDINARY
----------------	-------------------

Voting rights	The shares have attached to them full voting rights
---------------	---

Class of share	
----------------	--

Voting rights	
---------------	--

Class of share	
----------------	--

Voting rights	
---------------	--

Part 4

Shareholders

Does your company have share capital?

- Yes Complete the sections below
- No Go to **Part 5 (Signature)**

① This should reflect the shareholder details at the made up date of this annual return.

G1

Traded public companies ②

- ☐ Please tick the box if your company was a traded public company at any time during the period of this return.

② **Traded company definition**
A traded company means a company any of whose shares are admitted to trading on a regulated market.

G2

List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns.

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

- ☒ A full list of shareholders for a private or non-traded public company is enclosed. Please complete **Section G3**, or
- ☐ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. Please complete **Section G4**
- ☐ A list of shareholder changes is enclosed
 - For private or non-traded public companies, please complete **Section G3**
 - For traded public companies, please complete **Section G4**
- ☐ There were no shareholder changes in this period
 - Go to **Part 5 (Signature)**

Please tick the appropriate box below to indicate the format of your shareholder details

- ☒ The list of shareholders is enclosed on paper
- ☐ The list of shareholders is enclosed in another format.

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Private or non-traded public companies – list of past and present shareholders

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
MAN ULTRAVIOLET LIMITED	£0 10 ORDINARY 10P	0	12,488,314	12 / 07 / 2010
E D & F MAN INVESTMENTS LIMITED	£0 10 ORDINARY 10P	12,488,314		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

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C3

Private or non-traded public companies – list of past and present shareholders

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

		Shares or stock currently held	Shares or stock transferred (if appropriate)	
Shareholder's Name (Address not required)	Class of share	Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
MAN ULTRAVIOLET LIMITED	US\$1 00 ORDINARY	0	13,882,750	12 / 07 / 2010
E D & F MAN INVESTMENTS LIMITED	US\$1 00 ORDINARY	13,882,750		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

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G4

Traded public companies – list of past and present shareholders

This section should show the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return. It should only be completed by public companies that have traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Traded public companies – list of past and present shareholders' continuation page if necessary

Shareholder's details		Shares or stock currently held	Shares or stock transferred (if appropriate)	
Name	Class of share	Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /

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Part 5

Signature


This must be completed by all companies.

I am signing this form on behalf of the company

Signature

Signature

X



X

This form may be signed by
Director ^①, Secretary, Person authorised ^②, Charitable commission receiver and
manager, CIC manager, Judicial factor

① Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

② Person authorised

Under either section 270 or 274 of the Companies Act 2006.

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name

Company name

Man Group plc

Address

Group Secretariat

Sugar Quay, Lower Thames

Street

Post town

London

County/Region

England

Postcode

E C 3 R 6 D U

Country

United Kingdom

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address.
- ☐ You have not used this form to make changes to secretary and director details.
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record.



How to pay

A fee of £30 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House.'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales.

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

MAN INVESTMENTS HOLDINGS LIMITED (2299292)

List of Subsidiary and Associated Undertakings pursuant to s410 of the Companies Act 2006
as at **31 March 2011**

SUBSIDIARY UNDERTAKINGS

COMPANY	CLASS OF SHARES	COUNTRY OF INCORPORATION IF OUTSIDE GB	% HOLDING	
			DIRECT	INDIRECT
Man Bluesky Limited	Ordinary		100	
Man Investments Limited	Ordinary		100	
Man Investments (Luxembourg) S A	Ordinary	Luxembourg	100	
Man Investments Nederland B V	Ordinary	Netherlands	51	
Man Investments SGR SpA	Ordinary	Italy	100	
Man Investments (UK) Limited	Ordinary		100	
Man Fund Management Netherlands BV	Ordinary	Netherlands	100	
Man Fund Management Limited	Ordinary	Ireland	100	
Man Valuation Services Limited	Ordinary		100	
Man-AHL (USA) Limited	Ordinary + Redeem Prefs		100	
Man Mash Limited	Ordinary		100	
Man Corporate Services (Ireland) Limited	Ordinary	Ireland	100	

Associated Undertakings

There are no disclosable interests in associated undertakings as at 31 March 2011