



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



LL/B 120x8  
363s  
009786

## Annual Return

of company number 02174139

S

company name

RIT CAPITAL PARTNERS SECURITIES LIMITED

company type

PRIVATE COMPANY LIMITED BY SHARES

This form should be completed in black.

The information printed below is taken from Companies House records as at 13/05/97

If this information requires amendment use the spaces opposite.

### Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
27	05	97

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

### Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

### Registered Office (See note 3)

This is the address registered by Companies House.

27 ST JAMES'S PLACE  
LONDON  
SW1A 1NR

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### Principal business activities (See note 4)

Trade classification is  
6523 OTHER FINANCIAL INTERMEDIATION

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If the code cannot be determined from the notes, give a brief description of principal activity.

02174139

**Register of members** (See note 5)

The register is kept at  
REGISTERED OFFICE

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

**Register of debenture holders** (See note 6)

Any register of debenture holders (or duplicate) is kept at

**Company Secretary** (See note 7)

Particulars of a new secretary **must** be notified on form 288.

Day	Month	Year

Date of any change.

J ROTHSCHILD CAPITAL MANAGEMENT LIMITED  
27 ST JAMESS PLACE  
LONDON  
SW1A 1NR

If this person has ceased to be secretary, please state when.

Day	Month	Year

Date of resignation.

**Directors** (See note 7)

Particulars of a new director **must** be notified on form 288.

Day	Month	Year

Date of any change.

DUNCAN WILLIAM ALLAN  
BUDGE  
11 BROOKFIELD PARK  
LONDON  
NW5 1ES

Date of Birth:- 25/10/55  
Nat:BRITISH  
Occ:COMPANY EXECUTIVE

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

See attached list

02174139

## Directors - continued

Particulars.

DANIEL FRANCIS  
CONNOR  
ELMHURST DUNDLE ROAD  
MATFIELD  
TONBRIDGE  
KENT TN12 7HD

Date of Birth:- 23/02/53

Nat:BRITISH

Occ:CHARTERED ACCOUNTANT

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment,  
give details below and the date of any change.

Day	Month	Year

Date of any change.

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Day	Month	Year

Date of resignation.

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See attached list

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Particulars.

STEPHEN ROBIN  
SANDERS  
4 JUNIPER CLOSE  
BADGER FARM  
WINCHESTER  
HAMPSHIRE SO22 4LU

Date of Birth:- 29/07/59

Nat:BRITISH

Occ:COMPLIANCE OFFICER

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

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Day	Month	Year
09	05	97

Date of resignation.

Form 288b already filed

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Particulars.

DAVID NEIL  
WOOD  
UPLANDS 65 THE RIDGEWAY  
TONBRIDGE  
KENT TN10 4NL

Date of Birth:- 02/09/48

Nat:BRITISH

Occ:ACCOUNTANT

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

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Day	Month	Year

Date of resignation.

.....

See attached list

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**Issued Share Capital** (See note 8)

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
Ordinary	200,000	£200,000
<b>Totals</b>	<b>200,000</b>	<b>£200,000</b>

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the  
appropriate box.

There were no changes in the period ☐

The last full members list was at 27/05/96

on paper not on paper

A list of changes is enclosed ☐ ☐

A full list of members is enclosed ☒ ☐

**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box. ☐

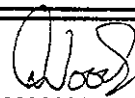
If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box. ☐

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of **£15**.

Cheques should be made payable  
to **Companies House**.

**Signed**


Secretary/Director\*  
(delete as appropriate)

Date 2.6.97

This return includes continuation sheets.  
(enter number)

**Please ensure that you have completed  
all sections on this page.**

To whom should Companies House direct any  
enquiries about the information shown in this  
return?----->

D N Wood

RIT Capital Partners plc

27 St James's Place

London

Postcode SW1A 1NR

Telephone 0171-514 1944 Ext

## LIST OF PAST AND PRESENT MEMBERS

**SCHEDULE TO FORM 363**[illegible]

**Continued overleaf**

### **LIST OF PAST AND PRESENT MEMBERS** (continued)

**SCHEDULE TO FORM 363**[illegible]

Duncan William Allan Budge

(CH)

UK Directorships

	<u>Date Appointed</u>	<u>Date Resigned</u>
<u>Current</u>		
Atlantic and General Investment Trust Ltd	23.04.97	
Cellcom Limited	28.02.97	
Competition, Choice and Quality Ltd (formerly CCQ Holdings Ltd)	03.03.89	
Home Counties Newspapers Holdings plc	12.09.96	
J Rothschild Administration Ltd	20.02.95	
J Rothschild Capital Management Ltd (formerly J Rothschild Development Capital (Management) Ltd)	09.02.88	
RIT Capital Partners Associates Ltd	25.01.93	
RIT Capital Partners Enterprises Ltd (formerly Competition, Choice and Quality Ltd)	03.03.89	
RIT Capital Partners plc	18.08.95	
RIT Capital Partners Properties Ltd	23.04.97	
RIT Capital Partners Securities Ltd	25.01.93	
RIT Capital Partners Trading Ltd	25.01.93	
Spencer House Ltd	31.08.95	
The St James's Venture Capital Fund Ltd	25.01.93	
<u>Past</u>		
Kilda Investments Ltd	25.01.93	30.08.94
Kilda Investments Ltd	07.02.95	30.06.95
Lydd Airport Group Ltd	26.10.89	31.05.96
RITCP Futures Ltd	25.01.93	14.09.95
Varicare Ltd	11.05.92	22.08.95

23.04.97

Daniel Francis Connon

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(CH)

<u>UK Directorships</u>	<u>Date Appointed</u>	<u>Date Resigned</u>
<u>Current</u>		
Atlantic and General Investment Trust Ltd	01.07.93	
Coleman Street Nominees Ltd (formerly SJPC 1990 Ltd)	21.07.93	
Competition, Choice and Quality Ltd	23.04.97	
DDH Ltd	01.07.93	
Entellus Ltd (formerly Ensemble Ltd)	30.06.92	
Floreat Investments Ltd	03.09.93	
Gladecore Ltd	18.07.96	
Groundside Ltd	18.07.96	
Hume Investment Trust Company Ltd	01.07.93	
J Rothschild Administration Ltd	05.09.94	
J Rothschild and Partners Ltd	01.07.93	
J Rothschild & Company Ltd	04.01.93	
J Rothschild Capital Management Ltd	04.01.93	
J Rothschild Corporate Investments Ltd	04.03.94	
J Rothschild Group Ltd	01.07.93	
J Rothschild Holdings plc	04.01.93	
J Rothschild Investment Management Ltd	29.05.96	
J Rothschild Investments Ltd	01.07.93	
J Rothschild Securities Ltd	01.07.93	
Life Assurance Holding Corporation Ltd	12.02.96	
Lopsystem Ltd	01.07.93	
MHS Investments Ltd	18.07.96	
Precis Ninety Seven Ltd	18.07.96	
RIT and Northern Trustees Ltd	30.06.92	
RIT Capital Partners Associates Ltd	24.08.95	
RIT Capital Partners Enterprises Ltd	01.07.93	
RIT Capital Partners Properties Ltd	01.07.93	
RIT Capital Partners Securities Ltd	24.08.95	
RIT Capital Partners Trading Ltd	24.08.95	
RIT Exploration Ltd	01.07.93	
Sheldon Investment Company Ltd	01.07.93	
SJPC 2 Ltd	01.07.93	
SJPC 7 Limited (formerly Anglo Group Ltd)	01.07.93	
SJPC 1990 plc	01.12.93	
SJPC 1993 plc	22.08.96	
SJPC 2000 plc (formerly RIT and Northern plc)	01.07.93	
St James's Place Corporate Investments Ltd	01.07.93	
St James's Place Development Investments Ltd	01.07.93	
St James's Place Group Ltd	01.07.93	
St James's Place Holdings Ltd	01.07.93	
St James's Place Investment Management Ltd	15.03.94	
St James's Place Investment Trust Ltd	01.07.93	
St James's Place Ltd	30.06.92	
St James's Place Properties Ltd (formerly SJPC 3 Ltd)	01.07.93	
St James's Place Services Ltd	01.07.93	
St James's Properties Ltd	01.07.93	



The New Investment Company Ltd (formerly SJPC 5 Ltd)	21.07.93
The St James's Venture Capital Fund Ltd	04.03.94
VRT Securities Ltd	04.07.96

Past

Agentclear Ltd	09.03.94	06.05.94
Creditlesson Ltd	09.03.94	06.05.94
IDS Distribution Holdings Ltd	30.06.92	(In Members Voluntary Liquidation w.e.f. 29.3.93)
IFM Holdings Ltd (formerly SJPC 4 Ltd)	01.07.93	06.10.93
Lensonfern Ltd	01.07.93	13.12.94
Life Assurance Holding Corporation Ltd	30.11.94	22.12.94
Liquidationco No 3 Limited (formerly St James's Place Properties Ltd)	01.07.93	(In Members' Voluntary Liquidation w.e.f. 15.11.94)
SJPC 5 Limited (formerly The New Investment Company Ltd)	30.06.92	13.12.94
SJPC 1996 Ltd	30.04.96	(In Liquidation August 1996)
SJPC Newco plc (formerly New SJPC Ltd)	11.04.96	24.06.96
St James's Place Capital plc (formerly Coleman Street Nominees Ltd)	29.04.93	22.07.93
Value Realisation Trust plc	30.04.96	24.06.96

23.04.97

<u>UK Directorships</u>	<u>Date appointed</u>	<u>Date resigned</u>
<u>Current</u>		
Atlantic and General Investment Trust Ltd	31.03.92	
Coleman Street Nominees Ltd (formerly SJPC 1990 Ltd)	21.07.93	
Competition, Choice and Quality Ltd	16.09.92	
DDH Ltd	31.03.92	
Entellus Ltd (formerly Ensemble Ltd)	31.03.92	
Floreat Investments Ltd	03.09.93	
Gladecore Ltd	01.07.93	
Groundside Ltd	01.07.93	
Hume Investment Trust Company Ltd	31.03.92	
J Rothschild Administration Ltd	31.03.92	
J Rothschild & Company Ltd	26.06.96	
J Rothschild and Partners Ltd	30.06.92	
J Rothschild Capital Management Ltd	29.05.96	
J Rothschild Corporate Investments Ltd	16.09.92	
J Rothschild Group Ltd	31.03.92	
J Rothschild Holdings plc	22.08.95	
J Rothschild Investment Management Ltd	29.05.96	
J Rothschild Investments Ltd	01.07.93	
J Rothschild Securities Ltd	25.05.95	
Lopsystem Ltd	31.03.92	
MHS Investments Ltd	29.10.92	
Precis Ninety Seven Ltd	12.10.92	
RIT and Northern Trustees Ltd	31.03.92	
RIT Capital Partners Associates Ltd	04.03.94	
RIT Capital Partners Enterprises Ltd	01.07.93	
RIT Capital Partners Properties Ltd	01.07.93	
RIT Capital Partners Securities Ltd	04.03.94	
RIT Capital Partners Trading Ltd	04.03.94	
RIT Exploration Ltd	31.03.92	
Sheldon Investment Company Ltd	31.03.92	
SJPC 2 Ltd	01.07.93	
SJPC 7 Limited (formerly Anglo Group Ltd)	05.02.93	
SJPC 1990 plc	01.12.93	
SJPC 1993 plc	22.08.96	
SJPC 2000 plc (formerly RIT and Northern plc)	31.03.92	
Spencer House Limited	28.01.97	
St James's Place Corporate Investments Ltd	31.03.92	
St James's Place Development Investments Ltd	31.03.92	
St James's Place Group Ltd	31.03.92	
St James's Place Holdings Ltd	30.06.92	

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(CH)

St James's Place Investment Management Ltd	15.03.94
St James's Place Investment Trust Ltd	04.03.94
St James's Place Ltd	31.03.92
St James's Place Properties Ltd (formerly SJPC 3 Ltd)	22.05.92
St James's Place Services Ltd	31.03.92
St James's Properties Ltd	31.03.92
The New Investment Company Ltd (formerly SJPC 5 Ltd)	21.07.93
The St James's Venture Capital Fund Ltd	30.06.92
VRT Securities Ltd	04.07.96

Past

Agentclear Ltd	09.03.94	06.05.94
Creditlesson Ltd	09.03.94	06.05.94
IDS Distribution Holdings Ltd	31.03.92	(In Members' Voluntary Liquidation wef 29.3.93)
IFM Holdings Ltd (formerly SJPC 4 Ltd)	22.05.92	06.10.93
Lenonfern Ltd	31.03.92	(In Members' Voluntary Liquidation wef 6.1.95)
Life Assurance Holding Corporation Ltd	30.11.94	22.12.94
Liquidationco No 2 Ltd	31.03.92	(In Members' Voluntary Liquidation wef 29.3.93)
Liquidationco No 3 Ltd (formerly St James's Place Properties Ltd)	31.03.92	(In Members' Voluntary Liquidation wef 15.11.94)
RITCP Futures Ltd	04.03.94	(In Members' Voluntary Liquidation wef 18.10.95)
SJPC 5 Ltd (formerly The New Investment Company Ltd)	31.03.92	(In Members' Voluntary Liquidation wef 6.1.95)
SJPC 1996 Ltd	30.04.96	(In Liquidation August 1996)
SJPC Newco plc (formerly New SJPC Ltd)	11.04.96	24.06.96
St James's Place Capital plc (formerly Coleman Street Nominees Ltd)	31.03.92	22.07.93
Value Realisation Trust plc	30.04.96	24.06.96

28.1.97