



Please complete in typescript,  
or in bold black capitals.

CHFP029

104066/30

363a

## Annual Return

Company Number 02156778

Company Name in full OAKES, LYMAN & CO LIMITED

### Date of this return

The information in this return is made up to

Day Month Year

3 1 / 0 3 / 2 0 0 5

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year

3 1 / 0 3 / 2 0 0 6

### Registered Office

Show here the address at the date of  
this return.

1ST FLOOR

17 HILL STREET, MAYFAIR

Any change of  
registered office  
**must** be notified  
on form 287.

Post town

LONDON

County / Region

UK Postcode

W 1 J 5 L J

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

6523

If the code number cannot be determined,  
give a brief description of principal activity.

OTHER FINANCIAL INTERMEDIATION



When you have completed and signed the form please send it to the  
Registrar of Companies at:  
**Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales  
or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland  
DX 235 Edinburgh

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

26, GROSVENOR STREET

MAYFAIR

Post town

LONDON

County / Region

UK Postcode

W 1 K 4 Q W

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

## Company Secretary

Voluntary details.

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name \* Style / Title

MR

Forename(s)

MICHAEL ANTHONY

Surname(s)

FLANAGAN

Address ††

7A HAMILTON ROAD

EALING

Post town

LONDON

County / Region

UK Postcode

W 5 2 E E

Country

ENGLAND

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address. If a partnership give the names and addresses of the partners or the name of the partnership and office address.

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	DR	
Date of birth	Day	Month	Year
	1	5	03 / 1956
Forename(s)	JAKOB		
Surname	KAMPINGA		
Address ††	RIETVELDLAAN 35		
Post town	9731MJ GRONINGEN		
County / Region			UK Postcode
Country	NETHERLANDS		Nationality
			DUTCH
Business occupation	MANAGING DIRECTOR		

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR	
Date of birth	Day	Month	Year
	2	0	09 / 1944
Forename(s)	RICHARD		
Surname	LYMAN		
Address ††	FLAT 5, 24 COLLINGHAM GARDENS		
Post town	LONDON		
County / Region			UK Postcode
Country	ENGLAND		Nationality
			USA
Business occupation	CO. DIRECTOR		

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

<b>Name</b>	<b>* Style / Title</b>											
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		<b>Day</b>	<b>Month</b>	<b>Year</b>								
		<b>Date of birth</b>	3	0 / 0	6 / 1	9	4	6				
<b>Forename(s)</b>		HERBERT LEE										
<b>Surname</b>		OAKES JNR										
<b>Address</b> <input type="checkbox"/>		32 EATON MEWS NORTH										
<b>Post town</b>		LONDON										
<b>County / Region</b>				<b>UK Postcode</b>		S	W	1	X	8	A	S
<b>Country</b>		ENGLAND		<b>Nationality</b>		USA						
<b>Business occupation</b>		INVESTMENT BANKER										

\* Voluntary details.

<b>Name</b>	<b>* Style / Title</b>											
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.		<b>Day</b>	<b>Month</b>	<b>Year</b>								
		<b>Date of birth</b>		/		/						
<b>Forename(s)</b>												
<b>Surname</b>												
<b>Address</b> <input type="checkbox"/>												
<b>Post town</b>												
<b>County / Region</b>				<b>UK Postcode</b>								
<b>Country</b>				<b>Nationality</b>								
<b>Business occupation</b>												

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY £1	461,000	£ 461,000.00
"A" PREFERENCE £1	334,216	£ 334,216.00
"B" PREFERENCE \$1	210,000	US\$ 210,000.00
Totals	795,216 210,000	GBP 795,216.00 USD 210,000.00

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed ☐

A full list of shareholders is enclosed ☒

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**



**Date**

14 / 03 / 2005

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

(enter number)

continuation sheets.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

WILTON & PARTNERS, 26 GROSVENOR STREET, MAYFAIR, LONDON, W1K 4QW

Tel (020) 7355 3525

DX number

DX exchange



## List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 02156778

Company Name in full OAKES, LYMAN & CO LIMITED

Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a "full list" of all the company shareholders on:

- The company's first annual return following incorporation;
- Every third annual return after a full list has been provided

List the company shareholders in alphabetical order or provide an index  
List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<p>Name A/C BOSPC, WATERHOUSE NOMINEES LTD</p> <p>Address 1 CANADA SQUARE, LONDON, ENGLAND</p> <p>UK Postcode E 1 4 L 5 A L</p>	<p>"A" Preference £1 25,000</p>		
<p>Name ARONSON, EDGAR</p> <p>Address SUITE 512, 551 FIFTH AVENUE, NEW YORK, NY 10017, UNITED STATES</p> <p>UK Postcode L L L L L L L L</p>	<p>"B" Preference \$1 25,000</p>		
<p>Name BOLSOVER, JOHN</p> <p>Address FLAT 7, 40 HYDE PARK GATE, LONDON, ENGLAND</p> <p>UK Postcode S W 7 L 5 D T</p>	<p>"A" Preference £1 100,000</p>		

# List of past and present shareholders (Continued)

CHFP029

Company Number 02156778

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<b>Name</b> CARSON, ROWLAND <b>Address</b> BASEMENT FLAT, 17 GROSVENOR SQUARE, LONDON, ENGLAND <b>UK Postcode</b> W 1 K 6 L B	"A" Preference £1 14,216		
<b>Name</b> CHAPMAN, ANDREW <b>Address</b> 6 EATON TERRACE, ABERAVON ROAD, LONDON, ENGLAND <b>UK Postcode</b> E 3 5 A J	"A" Preference £1 10,000		
<b>Name</b> ENGLISH, SHELDON DAVID <b>Address</b> FLAT 1, 98 REDCLIFFE GARDENS, LONDON, ENGLAND <b>UK Postcode</b> S W 1 0 9 H H	"A" Preference £1 12,500		
<b>Name</b> FOR ARTEMIS PENSION FUND, DEREK STUART, JOHN DODD, LINDSAY WHITELAW AND NORWICH UNION TRUSTEES LIMITED AS TRUSTEES <b>Address</b> 42 MELVILLE STREET, EDINBURGH, SCOTLAND <b>UK Postcode</b> E H 3 7 H A	"A" Preference £1 25,000		
<b>Name</b> KESLER, GRANT <b>Address</b> 3739 BRIGHTON POINT DRIVE, SALT LAKE CITY, UT 84121, UNITED STATES <b>UK Postcode</b>	"B" Preference \$1 10,000		

## List of past and present shareholders (Continued)

CHFP029

**Company Number** | 02156778

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred <i>(if appropriate)</i>	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<div>Name</div> <div>LONDON CAPITAL SECURITIES PLC</div> <div>Address</div> <div>THE STUDIO, 189C BALHAM HIGH STREET, LONDON,</div> <div>ENGLAND</div> <div>UK Postcode</div> <div>SW12 9BA</div>	<div>"A" Preference £1</div> <div>25,000</div>		
<div>Name</div> <div>OAKES JNR, HERBERT LEE</div> <div>Address</div> <div>32 EATON MEWS NORTH, LONDON, ENGLAND</div> <div>UK Postcode</div> <div>SW1X 8AS</div>	<div>"A" Preference £1</div> <div>10,000</div>		
<div>Name</div> <div>OAKES LYMAN CONSOLIDATED HOLDINGS LIMITED</div> <div>Address</div> <div>22 ATHOL STREET, DOUGLAS, ISLE OF MAN</div> <div>UK Postcode</div> <div>IM1 1JA</div>	<div>ORDINARY £1</div> <div>461,000</div>		
<div>Name</div> <div>OAKES SNR, HERBERT</div> <div>Address</div> <div>TALLON BUILDINGS, SUITE 901, CHATTANOOGA, TN 37402,</div> <div>UNITED STATES</div> <div>UK Postcode</div> <div>LL LL LL LL</div>	<div>"B" Preference \$1</div> <div>75,000</div>		
<div>Name</div> <div>PALAMARA, J. FRANCIS</div> <div>Address</div> <div>74 OVERSTRAND MANSIONS, PRINCE OF WALES DRIVE,</div> <div>LONDON, ENGLAND</div> <div>UK Postcode</div> <div>SW11 4EX</div>	<div>"A" Preference £1</div> <div>50,000</div>		



# List of past and present shareholders (Continued)

CHFP029

Company Number 02156778

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name SMALLMAN, JOE Address 609 GILBERT HOUSE, BARBICAN, LONDON, ENGLAND UK Postcode E C 2 Y 8 B D	"A" Preference £1 25,000		
Name STEVEN A MILLER FAMILY TRUST, LYN MILLER AS TRUSTEE OF THE Address 645 PALMERA AVENUE, PACIFIC PALISADES, CA 90272, UNITED STATES UK Postcode	"B" Preference \$1 100,000		
Name STEVENSON, SAM Address 149 PAVILLION ROAD, LONDON, ENGLAND UK Postcode S W 1 X 0 B J	"A" Preference £1 25,000		
Name YOUNG, KENNY Address LAZY MOON, UPPER BRAILES, OXON, OXFORDSHIRE, ENGLAND UK Postcode O X 1 5 5 B A	"A" Preference £1 12,500		
Name Address UK Postcode			