



Please complete in typescript,  
or in bold black capitals.

## Annual Return

Company Number

2152949

Company Name in full

Norwich Union Investment Management Limited



\* F363AD40 \*

**Date of this return** (See note 1)

The information on this return is made up to

Day Month Year

14 02 97

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

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**Registered Office** (See note 3)

Show here the address at the date of this return.

8 Surrey Street

Any change of registered office must be notified on form 287.

Post town

NORWICH

County / Region

Norfolk

Postcode

NR1 3NG

**Principal business activities**

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

6 5 2 3

If the code number cannot be determined, give a brief description of principal activity.

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF4 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh



**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

(the registered office)

Postcode

**Register of Debenture holders**

(see note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town  
County / Region

(the registered office)

Postcode

**Company type** (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please mark the appropriate box

**Company Secretary** (see notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name \* Style / Title

Forename(s)

Surname

Previous forename(s)

Previous surname(s)

Address

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Mr

\*Honours etc

ACIS FCII

David Ernest

Parker

4 Orchard Bank

Drayton

NORWICH

Norfolk

Postcode

NR8 6RN

England

**Directors** (see notes 1-5)*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

<b>Name</b>	<b>* Style / Title</b>	Mr								
	<b>* Honours etc</b>	BA FCA ACT	<b>Date of birth</b>	<table><tr><td>Day</td><td>Month</td><td>Year</td></tr><tr><td>21</td><td>12</td><td>53</td></tr></table>	Day	Month	Year	21	12	53
Day	Month	Year								
21	12	53								
	<b>Forename(s)</b>	Peter Frederick								
	<b>Surname</b>	Baynham								
	<b>Previous forename(s)</b>									
	<b>Previous surname(s)</b>									
<b>Address</b>	Saxthorpe House									
	14 Town Close Road									
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>	NORWICH								
	<b>County / Region</b>	Norfolk	<b>Postcode</b>	NR2 2NB						
	<b>Country</b>	England	<b>Nationality</b>	British						
<b>Business occupation</b>	Insurance Company Official									
<b>Other directorships</b>	None									
<b>* Voluntary details.</b>										

<b>Name</b>	<b>* Style / Title</b>	Mrs								
	<b>* Honours etc</b>	BA(Hons)	<b>Date of birth</b>	<table><tr><td>Day</td><td>Month</td><td>Year</td></tr><tr><td>28</td><td>08</td><td>46</td></tr></table>	Day	Month	Year	28	08	46
Day	Month	Year								
28	08	46								
	<b>Forename(s)</b>	Emily Cherry								
	<b>Surname</b>	Bishop								
	<b>Previous forename(s)</b>									
	<b>Previous surname(s)</b>									
<b>Address</b>	Meadow Farm									
	Silver Green, Hempnall									
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>	NORWICH								
	<b>County / Region</b>	Norfolk	<b>Postcode</b>	NR15 2NL						
	<b>Country</b>	England	<b>Nationality</b>	British						
<b>Business occupation</b>	Insurance Company Official									
<b>Other directorships</b>	None									

**Directors** (see notes 1-5)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order

<b>Name</b>	<b>* Style / Title</b>	Mr			
	<b>* Honours etc</b>	FIA			
		<b>Date of birth</b>	<b>Day</b>	<b>Month</b>	<b>Year</b>
			28	11	39
	<b>Forename(s)</b>	Adrian John			
	<b>Surname</b>	Gunson			
	<b>Previous forename(s)</b>				
	<b>Previous surname</b>				
	<b>Address</b>	Wood Barn Farm			
		Bedingham Green, Nr.Woodton			
<b>Usual residential address</b>	<b>Post town</b>	BUNGAY			
must be given. In the case of a corporation, give the registered or principal office address.	<b>County / Region</b>	Suffolk	<b>Postcode</b>	NR35 2DB	
	<b>Country</b>				
	<b>Nationality</b>	British			
	<b>Business occupation</b>	Actuary, Ins. Co. Official			
	<b>Other directorships</b>	See attached list			

<b>Name</b>	<b>* Style / Title</b>	Mr			
	<b>* Honours etc</b>				
		<b>Date of birth</b>	<b>Day</b>	<b>Month</b>	<b>Year</b>
			11	07	50
	<b>Forename(s)</b>	Richard John			
	<b>Surname</b>	Harvey			
	<b>Previous forename(s)</b>				
	<b>Previous surname</b>				
	<b>Address</b>	"Hawthorns"			
		Church Road, Yelverton			
<b>Usual residential address</b>	<b>Post town</b>	NORWICH			
must be given. In the case of a corporation, give the registered or principal office address.	<b>County / Region</b>	Norfolk	<b>Postcode</b>	NR14 7PB	
	<b>Country</b>	England			
	<b>Nationality</b>	British			
	<b>Business occupation</b>	Actuary/Ins. Co. Official			
	<b>Other directorships</b>	See attached list			

\* Voluntary details.

**Directors** (see notes 1-5)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order

Name	* Style / Title	Mr		
	* Honours etc			
	Date of birth	Day	Month	Year
		12	04	44
Forename(s)	James Anthony			
Surname	Heyworth-Dunne			
Previous forename(s)				
Previous surname				
Address	Smallburgh Hall			
	Smallburgh			
	NORWICH			
	Post town			
	County / Region	Postcode	NR12 9NW	
	Country	England		
Nationality	British			
Business occupation	Insurance Company Official			
Other directorships	See attached list			

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title	Mr		
	* Honours etc	FRICS		
	Date of birth	Day	Month	Year
		12	12	43
Forename(s)	Nicholas John			
Surname	Price			
Previous forename(s)				
Previous surname				
Address	The Beeches			
	Norwich Road, Strumpshaw			
	NORWICH			
	Post town			
	County / Region	Postcode	NR13 4AG	
	Country	England		
Nationality	British			
Business occupation	Insurance Company Official			
Other directorships	See attached list			

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

\* Voluntary details.

**Directors** (see notes 1-5)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order

<b>Name</b>	* Style / Title	Mr	<b>Date of birth</b>	Day	Month	Year
	* Honours etc	BCom FCCA		27	03	57
	Forename(s)	David				
	Surname	Rowlinson				
	Previous forename(s)					
	Previous surname					
<b>Address</b>	23 Padgate					
	Thorpe End					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Post town	NORWICH				
	County / Region	Norfolk	Postcode	NR13 5DG		
	Country					
	Nationality	British				
	Business occupation	Ins Co Official				
	Other directorships	None				

<b>Name</b>	* Style / Title	Mr	<b>Date of birth</b>	Day	Month	Year
	* Honours etc	BA(Hons)		16	09	54
	Forename(s)	Michael James				
	Surname	Wentworth Waites				
	Previous forename(s)					
	Previous surname					
<b>Address</b>	Nowton Hall					
	Nowton					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Post town	BURY ST EDMUNDS				
	County / Region	Suffolk	Postcode	IP29 5NA		
	Country	England				
	Nationality	British				
	Business occupation	Insurance Company Official				
	Other directorships	None				

\* Voluntary details.

# Other relevant directorships

**Company Number**

2152949

**Company Name**

Norwich Union Investment Management Limited

**Directors Name**

Adrian John Gunson

† Directors only.

† Other directorships

Collmain Customer Installations Limited

Collmain PLC

## NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:

- for a married woman, the name by which she was known before marriage need not be given.
- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

The Portsmouth Finance Corporation Limited (resigned 23/05/96)

The Whitlingham Charitable Trust

## Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.

Company Number

2152949

Company Name

Norwich Union Investment Management Limited

Directors Name

Richard John Harvey

† Directors only.

† Other directorships

Fileco (No.1) Limited

Fileco (No.4) Limited

Fileco (No.5) plc

Fileco (No.6) Limited

Norwich Union Annuity Limited

Norwich Union Life &amp; Pensions Limited

**NOTES**

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:

- for a married woman, the name by which she was known before marriage need not be given.

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A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

**Other directorships.**

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.



# Other relevant directorships

Company Number

2152949

Company Name

Norwich Union Investment Management Limited

Directors Name

James Anthony Heyworth-Dunne

† Directors only.

† Other directorships

H D International Limited (resigned 30/06/93)

Mercury Asset Management plc (resigned 31/10/95)

## NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:

- for a married woman, the name by which she was known before marriage need not be given.

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## Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

Company Number

2152949

Company Name

Norwich Union Investment Management Limited

Directors Name

Nicholas John Price

† Directors only.

† Other directorships

Investment Property Databank

**NOTES**

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:

- for a married woman, the name by which she was known before marriage need not be given.
- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

**Other directorships.**

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.

**Issued share capital** (see note 9)  
Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
£1 Ordinary	10,000,000	£10,000,000.00
Totals	10,000,000	£10,000,000.00

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐

**Elective resolutions**

(Private companies only)

(See note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

*D E Parker*

Date

17-2-97

† Please delete as appropriate

† ~~director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

D E Parker	
Norwich Union Insurance Group 8 Surrey Street	
NORWICH NR1 3NG	Tel (01603) 622200
DX number	DX exchange



Please complete in typescript,  
or in bold black capitals

## List of past and present members Schedule to form 363a, 363b

Company Number

2152949

Company Name in full

Norwich Union Investment Management Limited

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
Baynham, Peter Frederick Saxthorpe House, 14 Town Close Road NORWICH Norfolk NR2 2NB	£1 Ordinary 1			
Bishop, Emily Cherry Meadow Farm, Silver Green, Hemnall NORWICH Norfolk NR15 2NL	£1 Ordinary 1			
Gunson, Adrian John Wood Barn Farm, Bedingham Green, Nr. Woodton BUNGAY Suffolk NR35 2DB	£1 Ordinary 1			
Harvey, Richard John "Hawthorns", Church Road, Yelverton NORWICH Norfolk NR14 7PB	£1 Ordinary 1			
Heyworth-Dunne, James Anthony Smallburgh Hall, Smallburgh NORWICH NR12 9NW	£1 Ordinary 1			
Norwich Union Holdings Public Limited Company 8 Surrey Street, NORWICH Norfolk NR1 3NG	£1 Ordinary 9,999,992	9,999,992	12/12/96	Trans from NULIS
Price, Nicholas John The Beeches, Norwich Road, Strumpshaw NORWICH Norfolk NR13 4AG	£1 Ordinary 1			
Rowlinson, David 23 Padgate, Thorpe End NORWICH Norfolk NR13 5DG	£1 Ordinary 1	1	16/05/96	Transferred from NULIS

### Schedule to form 363a, 363b

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since the date of the last return (or in the case of the first return, since the incorporation of the company) by

- (a) persons who are still members, and
- (b) persons who have ceased to be members.

[illegible]