



Please complete in typescript,
or in bold black capitals.

N.W.
FIS
Road 0808

363a

Annual Return

Company Number

2085532

Company Name in full

Ethos Independent Financial Services



* F363AD40 *

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

11 10 1996

Date of next return (See note 2)

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

11 10 1997

Registered Office (See note 3)

Show here the address at the date of
this return.

Any change of
registered office
must be notified
on form 287.

Post town

County / Region

Postcode

NATIONWIDE HOUSE

PIPERS WAY

SWINDON

SN38 1NW

Principal business activities

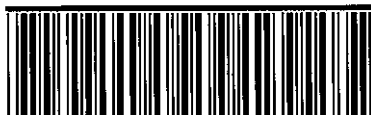
(See note 4)

Show trade classification code number(s)
for the principal activity or activities.

~~8800~~ 6523

INDEPENDENT FINANCIAL SERVICES

If the code number cannot be determined,
give a brief description of principal activity.



A23 *ASWU2Q8S* 124
COMPANIES HOUSE 30/10/96

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members (See note 5)
If the register of members is not kept at the registered office, state here where it is kept.

Post town
County / Region

NATIONWIDE HOUSE	
PIPERS WAY	
SWINDON	
	Postcode SN38 1NW

Register of Debenture holders
(See note 6)
If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town
County / Region

NATIONWIDE HOUSE	
PIPERS WAY	
SWINDON	
	Postcode SN38 1NW

Company type (See note 7)

Public limited company

☐

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☒

Private unlimited company without share capital

☐

Please mark the appropriate box

Company Secretary (see notes 1-5)

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name * Style / Title

*Honours etc

Forename(s)

JANICE WILLMOTT

Surname

BANKS

* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

VIRGINIA HOUSE

THE GREEN, CHRISTIAN MALFORD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

CHIPPENHAM

County / Region

WILTSHIRE

Postcode

SN15 4BQ

Country

Directors (see notes 1 to 5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	MR			Day	Month	Year	
	* Honours etc				Date of birth	15	08	1951
	Forename(s)	STUART DAVID MURRAY						
	Surname	BERNAU						
	Previous forename(s)							
	Previous surname(s)							
Address	MIDDLESEX HOUSE, HIGH STREET							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	PITSFORD						
	County / Region	NORTHAMPTONSHIRE	Postcode	NN6 9AD				
	Country		Nationality	BRITISH				
Business occupation	DIVISIONAL DIRECTOR							
Other directorships	See attached list							
* Voluntary details.								

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	19	08	1962
	Forename(s)	MARK RADCLYFFE						
	Surname	JENKINS						
	Previous forename(s)							
	Previous surname(s)							
Address	12 SHEPHERDS MEAD							
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	TETBURY						
	County / Region	GLOUCESTERSHIRE	Postcode	GL8 8RB				
	Country		Nationality	BRITISH				
Business occupation	CORPORATE FINANCE MANAGER							
Other directorships	NO OTHER DIRECTORSHIPS							

Directors (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	24	06	1955
Forename(s)	IAN JOHN							
Surname	THORNEYCROFT							
Previous forename(s)								
Previous surname								
Address	22 PALMERSTON WAY							
Post town	BIDDULPH							
County / Region	STAFFS			Postcode				
Country								
Nationality	BRITISH							
Business occupation	MANAGING DIRECTOR							
Other directorships	NO OTHER DIRECTORSHIPS							

Usual residential

address must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	20	06	1957
Forename(s)	WILLIAM PETER							
Surname	TONKS							
Previous forename(s)								
Previous surname								
Address	4 LANESFIELD PARK, GREENHILL							
Post town	EVESHAM							
County / Region	WORCS			Postcode	WR11 4NU			
Country								
Nationality	BRITISH							
Business occupation	HEAD OF GROUP COMPLIANCE							
Other directorships	NATIONWIDE UNIT TRUST MANAGERS LIMITED							
	NATIONWIDE BES FUND MANAGERS LIMITED							

* Voluntary details.

Other relevant directorships

Company Number **2085532**

Company Name **Ethos Independent Financial Services**

Directors Name **BERNAU STUART DAVID MURRAY**

† Directors only.

† Other directorships

NATIONWIDE ESTATE AGENTS

NATIONWIDE HOUSING TRUST LTD

NATIONWIDE RENTED HOUSING LTD

NATIONWIDE SYNDICATIONS LTD

NEA HOLDINGS LIMITED

NORTHAMPTON RUGBY FOOTBALL CLUB LTD

NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

Issued share capital (see note 9)
Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
ORD	10,000	£ 10,000.00
Totals	10,000	£ 10,000.00

List of past and present members
(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐

Elective resolutions
(Private companies only)

(See note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☒

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☒

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

AS ATTORNEY FOR J W BANKS

Signed

K. Alford

Date

29/10/96

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

MISS K ALFORD, GROUP SECRETARIAT, NATIONWIDE HOUSE, PIPERS WAY, SWINDON, SN38 1ST	
Tel	
DX number	DX exchange



Please complete in typescript,
or in bold black capitals.

List of past and present members Schedule to form 363a, 363b

Company Number

2085532

Company Name in full

Ethos Independent Financial Services

Number of shares
or amount of
stock held by
existing members
at date of this
return.

Particulars of shares or stock transferred since
the date of the last return (or in the case of the
first return, since the incorporation of the
company) by
(a) persons who are still members, and
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
NATIONWIDE BUILDING SOCIETY NATIONWIDE HOUSE, PIPERS WAY, SWINDON, SN38 1NW	ord 9,999			
CHARLES RICHARD LORIMER WILSON 2 COURT GARDENS, BATHEASTON, BATH, AVON, BA1 7PH	ord 1			