

*Please complete in typescript,
or in bold black capitals.*

Annual Return

CHFP010

Company Number

2056420

Company Name in full

KLEINWORT BENSON INVESTMENT MANAGEMENT LIMITED

Date of this return

The information in this return is made up to

Day		Month		Year			
0	1	0	8	2	0	0	1

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of this return.

10 Fenchurch Street

Any change of registered office **must** be notified on form 287.

Post town

London

County / Region

UK Postcode

EC3M 3LB

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6523

If the code number cannot be determined, give a brief description of principal activity.

Investment Managers and Authorised Private Bank



When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

20 Fenchurch Street

Post town London

County / Region UK Postcode EC3P 3DB

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

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Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Name

* Style / Title

Forename(s)

Surname

Address

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Peter Leonard

Longcroft

4 Lakeside Close, Reydon

Southwold

Suffolk

UK Postcode IP18 6YA

United Kingdom

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	0	8	0	4
	1	9	4	0
Forename(s)	Timothy Gwynne			
Surname	Barker			
Address	Thorpe Morieux Hall, Thorpe Morieux			
Post town	Bury St Edmunds			
County / Region	Suffolk		UK Postcode	IP30 0NW
Country	United Kingdom		Nationality	British
Business occupation	Merchant Banker			

* Voluntary details.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	2	3	0	8
	1	9	5	7
Forename(s)	Nicolas Paul			
Surname	Contomichalos			
Address	41 Bowerdean Street			
Post town	London			
County / Region			UK Postcode	SW6 3TN
Country	United Kingdom		Nationality	Greek
Business occupation	Head of Dresdner Private Banking, L			

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____
 Day Month Year

Date of birth

2	4	1	2	1	9	5	0
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Forename(s) James Joseph

Surname Gilligan

Address Hilbre, Clos due Cordage, La Ruelle du Tertre

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Castel

County / Region Guernsey UK Postcode GY5 7JJ

Country Channel Islands Nationality British

Business occupation Banker

* Voluntary details.

Name * Style / Title _____
 Day Month Year

Date of birth

1	2	1	2	1	9	4	6
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Forename(s) Andrew Reginald

Surname Gregory

Address 17 Marlborough Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Richmond

County / Region Surrey UK Postcode TW10 6JT

Country United Kingdom Nationality British

Business occupation Chief Investment Officer - Private

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth 0 6 1 1 1 9 4 8

Forename(s) Robert David Charles

Surname Henderson

Address Battle House, Elvendon Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Goring

County / Region Berkshire UK Postcode RG8 0DR

Country United Kingdom Nationality British

Business occupation Chief Executive Private Bank

* Voluntary details.

Name * Style / Title _____

Day Month Year
Date of birth 1 5 0 8 1 9 4 8

Forename(s) Richard Frank

Surname Robins

Address 'Charleston', Rue Rouge Cul

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town St Lawrence

County / Region Jersey UK Postcode

Country Channel Islands Nationality British

Business occupation Bank Director

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	1	3	1	1
	1	9	5	6
Forename(s)	Martin Angus			
Surname	Taylor			
Address	Mayfield House, Ingatestone Road, Highwood			
Post town	Chelmsford			
County / Region	Essex		UK Postcode	CM1 3QT
Country	United Kingdom		Nationality	British
Business occupation	Banker			

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

* Voluntary details.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	0	6	0	9
	1	9	5	6
Forename(s)	Peter Llewellyn			
Surname	Walker			
Address	38 Downshire Hill			
Post town	London			
County / Region			UK Postcode	NW3 1NU
Country	United Kingdom		Nationality	British
Business occupation	Merchant Banker			

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	23,683,333	£23,683,333.00
Totals	23,683,333	23,683,333.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper

in another format

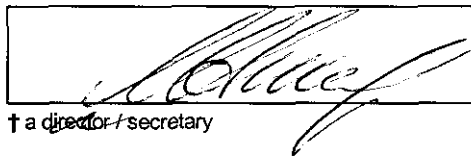
A list of changes is enclosed

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A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed


† a director / secretary

Date

9/8/2001

† Please delete as appropriate.

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Secretariat Department, Dresdner Kleinwort

Wasserstein Limited, 20 Fenchurch Street, London,

EC3P 3DB, Tel

DX number

DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 2056420

Company Name in full KLEINWORT BENSON INVESTMENT MANAGEMENT LIMITED

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name KLEINWORT BENSON INVESTMENT MANAGEMENT HOLDINGS LIMITED Address 10 Fenchurch Street, London, United Kingdom UK postcode EC3M 3LB	£1.00 ORDINARY Shares Held 23,683,333	Shares or amount of stock transferred (if appropriate)	
Name Address UK postcode			
Name Address UK postcode			