

001309/30

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

2056420

Company Name in full

KLEINWORT BENSON PRIVATE BANK LIMITED

Date of this return

The information in this return is made up
to

Day		Month		Year			
0	1	0	8	2	0	0	7

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here
Companies House will then send a form at
the appropriate time

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

30 Gresham Street

Any change of
registered office **must**
be notified on form
287

Post town

London

County / Region

UK Postcode

EC2V 7PG

Principal business activities

Show trade classification code number(s)
for the principal activity or activities

6523

If the code number cannot be determined,
give a brief description of principal
activity

Investment Managers and Authorised Private Bank

THURSDAY



A41 *A17W9SCR* 134
23/08/2007
COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept

30 Gresham Street

Post town London

County / Region

UK Postcode EC2V 7PG

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept

Post town

County / Region

UK Postcode

Company type

Public limited company

☐

Private company limited by shares

☒

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries)

Name

* Style / Title Mrs

Forename(s) Joanna Catherine

Surname Boait

* Voluntary details

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Address

Wayside, Wyncombe Close, Fittleworth

Usual residential address must be given in the case of a corporation, give the registered or principal office address

Post town Pulborough

County / Region West Sussex

UK Postcode RH20 1HW

Country United Kingdom

Directors*Please list directors in alphabetical order***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name

Name * Style / Title _____

Day Month Year
 Date of birth 0 6 0 1 1 9 4 4

Forename(s) Jeremy Ian MacaulaySurname HamiltonAddress Medstead Grange, Trinity Hill, Bentworth

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town AltonCounty / Region Hants UK Postcode GU34 5NLCountry United Kingdom Nationality BritishBusiness occupation Stockbroker

* Voluntary details

Name * Style / Title _____

Day Month Year
 Date of birth 0 6 1 1 1 9 4 8

Forename(s) Robert David CharlesSurname HendersonAddress North Ecchinswell Farm

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town Near NewburyCounty / Region Berkshire UK Postcode RG20 4UJCountry _____ Nationality BritishBusiness occupation Company Director

Directors*Please list directors in alphabetical order***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name

Name

* Style / Title

Mr

Day Month Year

Date of birth

2 7 0 8 1 9 4 5

Forename(s)

John Phillip

Surname

Henwood

Address

Les Carrieres, La Route du Mont Malo

Usual residential address must be given in the case of a corporation, give the registered or principal office address

Post town

St John

County / Region

UK Postcode JE3 4DN

Country

Jersey

Nationality British

Business occupation

Company Director

* Voluntary details

Name

* Style / Title

Day Month Year

Date of birth

0 8 1 0 1 9 4 9

Forename(s)

Laurence Peter

Surname

Jopp

Address

Blue Tiles, Portsmouth Road

Usual residential address must be given in the case of a corporation, give the registered or principal office address

Post town

Esher

County / Region

Surrey

UK Postcode KT10 9PQ

Country

United Kingdom

Nationality British

Business occupation

Finance Director

Directors*Please list directors in alphabetical order***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name

Name

* Style / Title

Day Month Year

Date of birth

2 1 0 7 1 9 4 8

Forename(s)

Philip Strone Stewart

Surname

Macpherson

Address

Armsworth Park House

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town

Old Alresford

County / Region

Hampshire

UK Postcode

SO24 9RH

Country

United Kingdom

Nationality

British

Business occupation

Company Director

* Voluntary details

Name

* Style / Title

Mr

Day Month Year

Date of birth

0 2 0 4 1 9 6 5

Forename(s)

Anton Jacob

Surname

Simonet

Address

Santisstrasse 3B

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town

CH - 8123 Ebmatingen/ZH

County / Region

UK Postcode

Country

Switzerland

Nationality

Swiss

Business occupation

Company Director

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name

Name

* Style / Title

Date of birth

Day		Month		Year			
1	3	1	1	1	9	5	6

Forename(s) | Martin Angus

Surname | Taylor

Address

| South Sound, Les Ruisseaux Estate, La Route des Genets

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town | St Brelade ✓

County / Region | Jersey

UK Postcode | JE3 8DD

Country |

Nationality | British

Business occupation | Company Director

* Voluntary details

Name

* Style / Title

Date of birth

Day		Month		Year			
0	4	0	1	1	9	6	0

Forename(s) | Robert Bruce

Surname | Taylor

Address

| 31 Hyde Park Square

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town | London ✓

County / Region |

UK Postcode | W2 2NW

Country |

Nationality | British

Business occupation | Chief Executive

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name

Name * Style / Title

Day Month Year
Date of birth 0 8 0 6 1 9 6 5

Forename(s) Holger

Surname Boschke

Address Schweizer Strasse 24

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town 60594 Frankfurt am Main

County / Region UK Postcode

Country Germany Nationality German

Business occupation Company Director

* Voluntary details

Name * Style / Title

Day Month Year
Date of birth

Forename(s)

Surname

Address

Usual residential address must be given In the case of a corporation, give the registered or principal office address

Post town

County / Region UK Postcode

Country Nationality

Business occupation

Issued share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	23,683,333	£23,683,333 00
Totals	23,683,333	23,683,333 00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed



Date

22/8/07

† Please delete as appropriate

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies
Cheques should be made payable to Companies House.

This return includes

1

continuation sheets

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query

Kleinwort Benson Secretariat Department, 30 Gresham

Street, London, EC2V 7PG, United Kingdom

Tel

DX number

DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 2056420

Company Name in full KLEINWORT BENSON PRIVATE BANK LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
 - The company's first annual return following the incorporation,
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name DRESDNER BANK AG Address Jurgen Ponto-Platz 1, Frankfurt am Main, Germany UK postcode <u>60329</u>	£1 00 ORDINARY Shares Held 23,683,333		
Name Address UK postcode <u> </u>			
Name Address UK postcode <u> </u>			