



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3U



A21 \*APSPGHGT\* 558  
COMPANIES HOUSE 24/06/99

This form should be completed in black.

The information printed below is taken from Companies House records as at 27/05/99

If this information requires amendment use the spaces opposite.

#### Date of this return *(See note 1)*

The information in this return should be made up to a date not later than

Day	Month	Year
11	06	99

#### Date of next return *(See note 2)*

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

#### Registered Office *(See note 3)*

This is the address registered by Companies House.

46 NEW BROAD STREET  
LONDON  
EC2M 1JD

#### Principal business activities *(See note 4)*

Trade classification is  
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

115  
120012-  
MB

363s

## Annual Return

of company number 01978621

A

company name  
JEFFERIES INTERNATIONAL LIMITED

company type  
PRIVATE COMPANY LIMITED BY SHARES

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

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If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change:

## Register of members *(See note 5)*

The register is kept at

AQUIS COURT  
31 FISHPOOL ST.  
ST. ALBANS  
HERTS AL3 4RF

## Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

## Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

JANET MARY-ANN  
LEWIS  
28 DEIRDRE CLOSE  
WICKFORD  
ESSEX SS12 0AZ

Day Month Year

Day	Month	Year
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Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

Day	Month	Year
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Date of resignation.

## Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

FRANK EDWARD  
BAXTER  
519 OCAMPO DRIVE  
PACIFIC PALISADES  
LOS ANGELES  
CALIFORNIA 90292  
USA

Day Month Year

Day	Month	Year
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Date of any change.

Date of Birth:- 20/11/36

Nat: AMERICAN

Occ: US STOCKBROKER

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year
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Date of resignation.

Show any relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

Particulars.

DAVID  
FOWLER  
53 BLENDON DRIVE  
BEXLEY  
KENT DA5 3AA

Date of Birth:- 10/12/55  
Nat:BRITISH  
Occ:FINANCIAL CONTROLLER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

EDWARD BREWSTER  
GALE  
THE RISE 17 COTTESMORE ROAD  
ASHWELL  
RUTLAND LE15 7LJ

Date of Birth:- 01/11/61  
Nat:AMERICAN  
Occ:STOCKBROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

JAMES FRANCIS  
GRAHAM  
SUMMERLEY  
BENTWORTH  
ALTON  
HAMPSHIRE GU34 5QT

Date of Birth:- 25/05/58  
Nat:BRITISH  
Occ:STOCKBROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

Particulars.

J FRANCIS ---PLEASE SUPPLY FULL FORENAME  
PALAMARA  
74 OVERSTRAND MANSIONS  
LONDON  
SW11 4EX

Date of Birth:- 22/05/50  
Nat:AMERICAN  
Occ:MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

CLIFFORD ALAN  
SIEGEL  
28 CLARENDON ROAD  
LONDON  
W11 3AD

Date of Birth:- 31/03/57  
Nat:AMERICAN  
Occ:CHIEF EXECUTIVE OFFICER/MD

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

NATHANIEL  
SOLOMON  
4 PEMBROKE WALK  
KENSINGTON  
LONDON  
W8 6PQ

Date of Birth:- 20/11/25  
Nat:BRITISH  
Occ:FINANCIAL CONSULTANT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year
26	05	99

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

## Directors - continued

Particulars.

Day Month Year

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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

**Issued Share Capital** (See note 8)

01978621

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc.)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
ORDINARY	1,600,400	£1,600,400
Totals	1,600,400	£1,600,400

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

Please mark the appropriate box.

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

The last full members list was at 11/06/98

	on paper	not on paper
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of members is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 15.

Cheques should be made payable  
to **Companies House**.

Signed

Secretary/Director \*

\*(delete as appropriate)

Date 11 June 1999

This return includes continuation sheets.

(enter number)

Please ensure that you have completed  
all sections on this page.

To whom should Companies House direct any enquiries  
about the information shown in this return?

KPMG, AQUIS COURT

31 FISHPOOL STREET

ST ALBANS

HERTFORDSHIRE

Postcode

AL3 4RF

Telephone 01727 733031

Ext -

## LIST OF PAST AND PRESENT MEMBERS

## SCHEDULE TO FORM 363

Company Number: 01978621	Account of Shares			Remarks
Company Name: JEFFERIES INTERNATIONAL LIMITED	Number of shares or amount of stock held by existing members at date of this return.	Particulars of shares transferred since the date of the last return, or, in the case of the first return, since the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members.		
Name and address				
JEF HOLDING COMPANY INC	1,600,400			
11100 SANTA MONICA BOULEVARD				
LOS ANGELES				
CALIFORNIA 90025				
USA				
JEFFERIES GROUP INC		1,600,400	20.4.99	
11100 SANTA MONICA BOULEVARD				
LOS ANGELES				
CALIFORNIA 90025				
USA				

Continued overleaf

### LIST OF PAST AND PRESENT MEMBERS (continued)

**SCHEDULE TO FORM 363**[illegible]