

Mtd
BIS
12/19/14

363a

*Please complete in typescript,
or in bold black capitals.*

Annual Return

CHFP010

Company Number

1978621

Company Name in full

Jefferies International Limited

Date of this return

The information in this return is made up
to

Day		Month		Year			
1	1	0	6	2	0	0	0

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.
Companies House will then send a form at
the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

Bracken House, One Friday Street

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

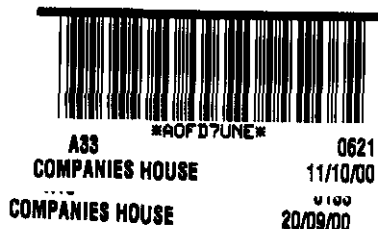
EC4M 9JA

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal
activity.



When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales
or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Aquis Court, 31 Fishpool Street

Post town St Albans

County / Region Hertfordshire

UK Postcode AL3 4RF

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

☐

Private company limited by shares

☒

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Name

* Style / Title

Mrs

Forename(s)

Janet Mary-Ann

Surname

Lewis

Address

28 Deirdre Close

Post town

Wickford

County / Region

Essex

UK Postcode

SS12 0AZ

Country

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	2	0	1
	1	1	1
	9	3	6
Forename(s)	Frank Edward		
Surname	Baxter		
Address	519 Ocampo Drive, Los Angeles		
Post town	California 90292		
County / Region			UK Postcode
Country	USA	Nationality	American
Business occupation	Stockbroker		

* Voluntary details.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	1	0	1
	2	1	9
	5	5	
Forename(s)	David		
Surname	Fowler		
Address	53 Blendon Drive		
Post town	Bexley		
County / Region	Kent	UK Postcode	DA5 3AA
Country	England	Nationality	British
Business occupation	Financial Controller		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title

Mr

Day Month Year

Date of birth

0 1 1 1 1 9 6 1

Forename(s)

Edward Brewster

Surname

Gale

Address

102 Regents Park Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

London

County / Region

UK Postcode

NW1 8UG

Country

Nationality

American

Business occupation

Stockbroker

* Voluntary details.

Name

* Style / Title

Mr

Day Month Year

Date of birth

2 5 0 5 1 9 5 8

Forename(s)

James Francis

Surname

Graham

Address

Summerley, Bentworth

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

Near Alton

County / Region

Hampshire

UK Postcode

GU34 5QT

Country

England

Nationality

British

Business occupation

Stockbroker

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	0	1	0 4 1 9 6 1
Forename(s)	Stefan		
Surname	Hiestand		
Address	Rosenwiese 3, Nuolen		
Post town	CH- 8855 Wangen		
County / Region		UK Postcode	
Country	Switzerland	Nationality	Swiss
Business occupation	Director		

* Voluntary details.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	1	6	1 2 1 9 5 8
Forename(s)	Adrian John		
Surname	Hope		
Address	54 Brunswick Gardens		
Post town	London		
County / Region		UK Postcode	W8 4AN
Country	England	Nationality	British
Business occupation	Research Manager		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	01	04	1961
Forename(s)	Stefan		
Surname	Hiestand		
Address	Rosenwiese 3, Nuolen		
Post town	CH- 8855 Wangen		
County / Region		UK Postcode	
Country	Switzerland	Nationality	British
Business occupation	Director		

* Voluntary details.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	16	12	1958
Forename(s)	Adrian John		
Surname	Hope		
Address	54 Brunswick Gardens		
Post town	London		
County / Region		UK Postcode	W8 4AN
Country	England	Nationality	British
Business occupation	Research Manager		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title

Mr

Day Month Year

Date of birth

3 1 0 3 1 9 5 7

Forename(s)

Clifford Alan

Surname

Siegel

Address

28 Clarendon Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

London

County / Region

UK Postcode

W11 3AD

Country

Nationality

American

Business occupation

Chief Executive Officer

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

Forename(s)

Surname

Address

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**
(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary	5,350,400	5,350,400.00
Totals	5,350,400	5,350,400.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper

in another format

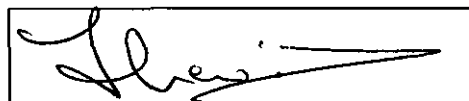
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

24 July 2000

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Aquis Court, 31 Fishpool Street, St Albans,

Hertfordshire, AL3 4RF, England

Tel

DX number DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 1978621

Company Name in full | Jefferies International Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Jefferies Group Inc Address 11100 Santa Monica Boulevard, Los Angeles, California UK postcode 90025	£1.00 Ordinary Shares Held 5,350,400		
Name Jefferies Group Inc Address 11100 Santa Monica Boulevard, Los Angeles, California UK postcode 90025	£1.00 Ordinary Shares Held 0	1,600,400	20/04/1999
Name Address UK postcode			