



Companies House

— for the record —

Company Name

CANTOR FITZGERALD
INTERNATIONAL

Company Type

Private Company Unlimited With
Share Capital

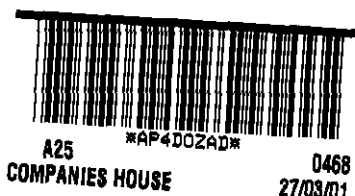
Company Number

1976691

Information extracted from
Companies House records on
19th January 2001

363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



Section 1: Company details

Ref: 1976691/03/10

Current details

Amended details

- > Registered Office Address
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

One America Square
London
EC3N 2LS

Address

UK Postcode

- > Register of Members
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

Address where the Register is held

At Registered Office

Address

UK Postcode

- > Register of Debenture Holders
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

Not Applicable

Address

UK Postcode

- > Principal Business Activities
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

SIC Code

Description

6523

Other financial
intermediation

SIC CODE

Description

- > Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.

Section 2: Details of Officers of the Company

Current details

Amended details

> Company Secretary

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Robert Pearce FALKNER

Address

6 Thornhill Grove
London
N1 1JG

Name

Address

UK Postcode

Date of change

Date Robert Pearce FALKNER
ceased to be secretary (if applicable)

Particulars of a new
Company Secretary must
be notified on form 288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Lee Martin AMAITIS

Address

56 Chelsea Tower
West Chelsea Manor Gardens
London
SW3 5PN

Date of birth 19/12/1949

Nationality American

Occupation Senior Man Dir

Name

LEE MARTIN AMAITIS

Address

27 CHELSEA TOWERS
CHELSEA MANOR GARDENS
LONDON

UK Postcode SW3 5PN

Date of birth 19/12/1949

Nationality AMERICAN

Occupation RESIDENT + C.E.O.

Date of change 22/03/2009

Date Lee Martin AMAITIS ceased to be
director (if applicable)

Particulars of a new Director
must be notified on form
288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Robert Pearce FALKNER

Address

6 Thornhill Grove
London
N1 1JG

Date of birth 11/08/1959

Nationality British

Occupation General Counsel

Name

Address

UK Postcode

Date of birth

Nationality NEW ZEALANDER

Occupation

Date of change

Date Robert Pearce FALKNER ceased
to be director (if applicable)

Particulars of a new Director
must be notified on form
288.

Current details

Amended details

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Stuart FRASER

Name

Address

Address

18 Maple Way
Armonk
New York
U.S.A
10504

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Stuart FRASER ceased to be
director (if applicable)

Date of birth 28/02/1961

Nationality American

Occupation Executive Vice
President

Particulars of a new Director
must be notified on form
288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Douglas Benjamin GARDNER

Name

Address

Address

75 Central Park West
No 2B
New York
Ny
U.S.A
10023

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Douglas Benjamin GARDNER
ceased to be director (if applicable)

Date of birth 05/10/1961

Nationality American

Occupation Chief Administrative
Officer

Particulars of a new Director
must be notified on form
288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Philip Myron GINSBERG

Name

Address

Address

24412 Voltara Court
Calabasas Park
California
Usa
91302

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Philip Myron GINSBERG ceased
to be director (if applicable)

Date of birth 26/04/1939

Nationality American

Occupation Senior Vice President

Particulars of a new Director
must be notified on form
288.

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Mark HANNEY Address 9 Kingsdown Road Epsom Surrey KT17 3PU Date of birth 27/09/1968 Nationality British Occupation Accountant	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation <u>FINANCE DIRECTOR</u> Date of change <u>12 / 10 / 1998</u> Date Mark HANNEY ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Howard LUTNICK Address 200 East 69TH Street Apartment 32A New York New York State Usa 10021 Date of birth 14/06/1961 Nationality American Occupation Chief Executive Officer & Pres	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation <u>CHAIRMAN</u> Date of change <u>13 / 08 / 1999</u> Date Howard LUTNICK ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Stephen MERKEL Address 61 Jane Street Apartment 19K New York New York U.S.A. NY10014 Date of birth 22/06/1958 Nationality American Occupation General Counsel	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Stephen MERKEL ceased to be director (if applicable) ____ / ____ / ____

Current details

Amended details

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name

Clive TRIANCE

Name

Address

Address

48 Primrose Drive
Kingsnorth
Ashford
Kent
TN23 3NP

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date of birth 19/01/1967

Nationality British

Occupation Head Of Settlement

Particulars of a new Director must be notified on form 288.

Date Clive TRIANCE ceased to be director (if applicable)

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary Nominal value of each share £1.00 Number of shares issued 71,310,823 Aggregate Nominal Value of issued shares £71,310,823.00	Class of share <u>ORDINARY</u> Nominal value of each share <u>£1.00</u> Number of shares issued <u>61,723,086</u> Aggregate Nominal Value of issued shares <u>£61,723,086.00</u>
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 71,310,823 Total Nominal value of shares issued £71,310,823.00	Total number of shares issued <u>61,723,086</u> Total Nominal value of shares issued <u>£61,723,086.00</u>

- > At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 029 2038 0801.

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred	
> Shareholder Name CANTOR FITZGERALD INTERNATIONAL		Name CANTOR FITZGERALD INTERNATIONAL HOLDINGS L.P.			
Address One World Trade Centre New York United States Of America		Address ONE WORLD TRADE CENTER NEW YORK NY 10048			
Shares held Class Ordinary		Shares held Class ORDINARY		Shares transferred by CANTOR FITZGERALD INTERNATIONAL HO	
Number 70835012		Number 61,381,560		Number Date of transfer ---/---/--- ---/---/---	
> Shareholder Name CF IHLP LLC		Name CF IHLP, LLC			
Address One World Trade Centre New York United States Of America		Address 			
Shares held Class Ordinary		Shares held Class ORDINARY		Shares transferred by CF IHLP LLC	
Number 475811		Number 341,526		Number Date of transfer ---/---/--- ---/---/---	

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House
— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Handwritten Signature]
(Director / Secretary)

Date

22 / 03 / 2001

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to 4/2/2001 If you are making this return up to an earlier date, please give the date here

— / — / —

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **4th February 2002** please give the new date here:

— / — / —

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque ☒ Postal Order ☐ Cheque / Postal Order
Number _____

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

MDOWNIE

Telephone number *inc code*

0207 8948557

Address

CANTOR FITZGERALD
ONE AMERICA SQUARE
LONDON

DX number *if applicable*

DX exchange

Postcode

EC3N 2LS