



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



This form should be completed in black.

The information printed below is taken from Companies House records as at 20/01/99
If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
04	02	99

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Registered Office (See note 3)

This is the address registered by Companies House.
ONE AMERICA SQUARE
LONDON
EC3N 2LT

Principal business activities (See note 4)

Trade classification is
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

NW
020614
EIS-∞.

363s

Annual Return

of company number 01976691

C

company name
CANTOR FITZGERALD INTERNATIONAL

company type
PRIVATE UNLIMITED COMPANY WITH SHARE CAPITAL

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

EC3N 2LT

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If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

ROBERT PEARCE
FALKNER
6 THORNHILL GROVE
LONDON
N1 1JG

Day	Month	Year

Date of any change.

If this person has ceased to be secretary, please state when.

Day	Month	Year

Date of resignation.

Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

LEE MARTIN
AMAITIS
56 CHELSEA TOWER
WEST CHELSEA MANOR GARDENS
LONDON
SW3 5PN

Day	Month	Year

Date of any change.

Date of Birth:- 19/12/49

Nat:AMERICAN

Occ:SENIOR MAN DIR

If this person has ceased to be director, please state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

01976691

If the information shown needs amendment, give details below and the date of any change.

Directors - continued**Particulars.**

ANDREW
ANDREOU
46 MEADWAY
HAMPSTEAD GARDENS SUBERB
LONDON
NW11 6PR

Date of Birth:- 20/03/59
Nat:BRITISH
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Particulars.

DAVID GRAHAM
CLARKE
3 WOODSIDE ROAD
NEW MALDEN
SURREY KT3 3AH

Date of Birth:- 30/07/60
Nat:BRITISH
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

ROBERT PEARCE
FALKNER
6 THORNHILL GROVE
LONDON
N1 1JG

Date of Birth:- 11/08/59
Nat:NEW ZEALAND
Occ:GENERAL COUNSEL

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued**Particulars.**

STUART
FRASER
18 MAPLE WAY
ARMONK
NEW YORK 10504
U.S.A

Date of Birth:- 28/02/61
Nat:AMERICAN
Occ:EXECUTIVE VICE PRESIDENT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

DAVID TERENCE
GARDNER
THE FIRS
APPLEDORE
KENT TN26 2BT

Date of Birth:- 25/07/48
Nat:ENGLISH
Occ:BOND BROKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

Particulars.

DOUGLAS BENJAMIN
GARDNER
75 CENTRAL PARK WEST
NO 2B
NEW YORK
NY 10023
U.S.A

Date of Birth:- 05/10/61
Nat:AMERICAN
Occ:CHIEF ADMINISTRATIVE OFFICER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

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Date of any change.

Day Month Year

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Date of resignation.

01976691

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

PHILIP MYRON
GINSBERG
24412 VOLTARA COURT
CALABASAS PARK
CALIFORNIA 91302
USA

Date of Birth:- 26/04/39
Nat:AMERICAN
Occ:SENIOR VICE PRESIDENT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

Particulars.

GLENN
GROSSMAN
280 WEST 246TH STREET
BRONX NEW YORK 10471
USA

Date of Birth:- 26/04/49
Nat:AMERICAN
Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

Particulars.

HOWARD
LUTNICK
200 EAST 69TH STREET
APARTMENT 32A
NEW YORK
NEW YORK STATE 10021
USA

Date of Birth:- 14/06/61
Nat:AMERICAN
Occ:CHIEF EXECUTIVE OFFICER & PRES

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

01976691

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

STEPHEN
MERKEL
61 JANE STREET
APARTMENT 19K
NEW YORK
NEW YORK NY10014
U.S.A.

Date of Birth:- 22/06/58
Nat:AMERICAN
Occ:GENERAL COUNSEL

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

DENNIS GERARD
MORONEY
5 CHEAPSIDE ROAD
ASCOT
BERKSHIRE SL5 7QR

Date of Birth:- 07/11/61
Nat:AMERICAN
Occ:ACCOUNTANT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

Particulars.

CLIVE
TRIANCE
48 PRIMROSE DRIVE
KINGSNORTH
ASHFORD
KENT TN23 3NP

Date of Birth:- 19/01/67
Nat:BRITISH
Occ:HEAD OF SETTLEMENT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

Day Month Year

Day	Month	Year
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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year
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Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

Day	Month	Year
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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year
-----	-------	------

Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

Day	Month	Year
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Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year
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Date of resignation.

Show any relevant current and previous directorships.

Issued Share Capital (See note 8)

01976691

Enter details of all shares in issue at the date of this return.

Class
(eg Ordinary/
Preference etc.)

Number of
shares issued

Aggregate
nominal value

(ie Number of shares
issued multiplied by
nominal value per share)

ORDINARY	68,943,147	£68,943,147
_____	_____	_____
_____	_____	_____
_____	_____	_____
Totals	68,943,147	£68,943,147

List of past and present members

(See note 9)

(Use attached schedule where appropriate)

Please mark the
appropriate box.

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

The last full members list was at 04/02/98

on paper not on
paper

A list of changes is enclosed

☐☐

A full list of members is enclosed

☒☐**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 15.

Cheques should be made payable
to **Companies House**.

Signed

Secretary/Director *

*(delete as appropriate)

Date 23 FEBRUARY 1999

This return includes _____ continuation sheets.

(enter number)
Please ensure that you have completed
all sections on this page.

To whom should Companies House direct any enquiries
about the information shown in this return?

MARK SNELLING CIO CANTOR FITZGERALD
INTERNATIONAL ONE AMERICA SQ
LONDON

Postcode EC3N 2LS

Telephone 0171 894 7000 Ext _____

SCHEDULE TO FORM 363[illegible]

Continued overleaf

LIST OF PAST AND PRESENT MEMBERS (continued)

SCHEDULE TO FORM 363[illegible]