



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

NW £15
000547
363a

Annual Return

Company Number 1970853

Company Name in full Lazard Fund Managers Limited

Date of this return

The information in this return is made up to

| Day | | Month | | Year | | | |
|-----|---|-------|---|------|---|---|---|
| 2 | 0 | 0 | 3 | 2 | 0 | 0 | 1 |

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

| Day | | Month | | Year | | | |
|-----|--|-------|--|------|--|--|--|
| | | | | | | | |

Registered Office

Show here the address at the date of
this return.

21 Moorfields

Any change of
registered office
must be notified
on form 287.

Post town London

County / Region

UK Postcode EC2P 2HT

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal activity.

Unit Trust Management



Form revised September 1999

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

21 Moorfields

Post town London

County / Region UK Postcode EC2P 2HT

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

| |
|-------------------------------------|
| <input type="checkbox"/> |
| <input checked="" type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |
| <input type="checkbox"/> |

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title Mr

Forename(s) Thomas Edmund

* Voluntary details.

Surname Rice

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

12 Julian Hill

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Weybridge

County / Region Surrey UK Postcode KT13 0RA

Country

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title Mr

Date of birth Day Month Year
0 4 0 2 1 9 4 6

Forename(s) Michael Roger

Surname Chapman

Address

Lawn House

Holly Hill

Post town Colemans Hatch

County / Region East Sussex

UK Postcode TN7 4EP

Country United Kingdom

Nationality British

Business occupation Head of Unit Trust Administration

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
0 8 0 6 1 9 5 9

Forename(s) Andrew David

Surname Hanson

Address

Norbury, 112 Ilkley Road

Manor Park

Burley in Wharfedale

Post town Ilkley

County / Region

UK Postcode LS29 7HH

Country United Kingdom

Nationality British

Business occupation Regional Manager

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

| | | |
|-----------------|--------|-------------|
| Ordinary Shares | 50,000 | £50,000.000 |
| | | |
| | | |
| | | |
| | | |
| Totals | 50,000 | £50,000.000 |

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

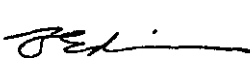
☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

21-03-01

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House.**

This return includes continuation sheets.
(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

<Insert Presenter Details Here>

Tel
DX number DX exchange

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
2 6 0 6 1 9 6 3

Forename(s) Martin Alan David

Surname Holden

Address

10 Rushington Avenue

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Maidenhead

County / Region Berkshire UK Postcode SL6 1BZ

Country England Nationality British

Business occupation Marketing Director

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
1 7 0 3 1 9 5 8

Forename(s) Alan Robert

Surname Jupp

Address

Itchen House

Southdown Road

Shawford

Post town Winchester

County / Region Hampshire UK Postcode SO21 2BY

Country United Kingdom Nationality British

Business occupation Regional Sales Manager

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

| | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------|-------|------|-------------|---------|---|---|---|
| Name | * Style / Title | Mr | | | | | | | |
| Directors | | Day | Month | Year | | | | | |
| In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | 1 | 8 | 0 | 7 | 1 | 9 | 4 | 8 |
| | Forename(s) | Richard Fenwick | | | | | | | |
| | Surname | Smith | | | | | | | |
| | | | | | | | | | |
| Address | | 'Sundial' | | | | | | | |
| Usual residential address | | 27 Longdon Wood | | | | | | | |
| must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Keston | | | | | | | |
| | County / Region | Kent | | | UK Postcode | BR2 6EN | | | |
| | Country | United Kingdom | | | Nationality | British | | | |
| | Business occupation | Investment Manager | | | | | | | |

* Voluntary details.

| | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------|-------|------|-------------|---------|---|---|---|
| Name | * Style / Title | Mr | | | | | | | |
| Directors | | Day | Month | Year | | | | | |
| In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name. | Date of birth | 1 | 7 | 0 | 1 | 1 | 9 | 6 | 3 |
| | Forename(s) | Rupert Peregrine Austin | | | | | | | |
| | Surname | Tyer | | | | | | | |
| | | | | | | | | | |
| Address | | Duart | | | | | | | |
| Usual residential address | | Bulstrode Lane | | | | | | | |
| must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address. | Post town | Felden | | | | | | | |
| | County / Region | Herts | | | UK Postcode | HP3 0BP | | | |
| | Country | United Kingdom | | | Nationality | British | | | |
| | Business occupation | Director | | | | | | | |

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
2 2 0 5 1 9 6 9

Forename(s) Charles Winfield

Surname Wilson

Address

Stable Cottage

Hay Lane

Fulmer

Post town

County / Region Buckinghamshire

UK Postcode SL3 6HJ

Country

Nationality British

Business occupation Unit Trust Manager

* Voluntary details.

Name * Style / Title

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
| | | | | | | |

Forename(s)

Surname

Address

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

CHFP055

List of past and present shareholders Schedule to form 363a

Company Number 1970853

Company Name in full Lazard Fund Managers Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

| Shareholders' details | Class and number of shares or amount of stock held | Shares or amount of stock transferred (if appropriate) | |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|-----------------------------------------------------------|----------------------------------|
| | | Class and number of shares or amount of stock transferred | Date of registration of transfer |
| Name Lazard Asset Management Limited <hr/> Address 21 Moorfields, London, United Kingdom. <hr/> UK Postcode EC2P 2HT | Ordinary Shares 50,000 | | |
| Name <hr/> Address <hr/> UK Postcode | | | |
| Name <hr/> Address <hr/> UK Postcode | | | |