



*Companies House*  
— for the record —

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **21/10/2011**

**X3RHYMYKC**

*Company Name:* **NATWEST STOCKBROKERS LIMITED**

*Company Number:* **01959479**

*Date of this return:* **01/10/2011**

*SIC codes:* **64999**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **PREMIER PLACE 2 1/2 DEVONSHIRE SQUARE  
LONDON  
EC2M 4BA**

## Single Alternative Inspection Location (SAIL)

*The address for an alternative location to the company's registered office for the inspection of registers is:*

EXCHANGE COURT DUNCOMBE STREET  
LEEDS  
UNITED KINGDOM  
LS1 4AX

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*The following records have moved to the single alternative inspection location:*

Register of members (section 114)  
Register of directors (section 162)  
Register of secretaries (section 275)  
Records of resolutions and meetings (section 358)

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### Officers of the company

#### *Company Secretary 1*

Type: **Person**  
Full forename(s): MR JONATHAN

Surname: MCGILL

Former names:

*Service Address recorded as Company's registered office*

*Company Director* 1

Type: **Person**  
Full forename(s): **ANDREW IAN**

Surname: **KEY**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **08/09/1959** Nationality: **BRITISH**

Occupation: **BROKER**

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*Company Director* 2

Type: **Person**  
Full forename(s): **MR JONATHAN**

Surname: **MCGILL**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **26/04/1973** Nationality: **BRITISH**

Occupation: **ACCOUNTANT**

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*Company Director*    **3**

*Type:*                                **Person**

*Full forename(s):*                **ROY ALEXANDER**

*Surname:*                         **ROBINSON**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **05/12/1949**

*Nationality:*    **BRITISH**

*Occupation:*    **STOCKBROKER**

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*Company Director* 4

*Type:* **Person**

*Full forename(s):* **ROBERT STUART DAVID**

*Surname:* **THACKER**

*Former names:*

*Service Address:* **PREMIER PLACE 2 & A HALF DEVONSHIRE SQUARE  
LONDON  
UNITED KINGDOM  
EC2M 4BA**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **23/07/1967** *Nationality:* **BRITISH**

*Occupation:* **CHIEF EXECUTIVE OFFICER**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>12900000</b>
		<i>Aggregate nominal value</i>	<b>12900000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>NONE</b>			

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>12900000</b>
		<i>Total aggregate nominal value</i>	<b>12900000</b>

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## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 01/10/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **12900000 ORDINARY shares held as at the date of this return**  
*Name:* **NATWEST PERSONAL FINANCIAL MANAGEMENT LTD**

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## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.