



**Companies House**

— for the record —

**363a<sub>(ef)</sub>**

**Annual Return**

**Company Name:** BARCLAYS CAPITAL SECURITIES LIMITED

**Company Number:** 01929333



X1PQ5U2E

*Received for filing in Electronic Format on the:* 20/09/2000

**Company Details**

*Period Ending:* 20/09/2000

*Company Type:* PRIVATE COMPANY LIMITED BY SHARES

*Principle Business Activities:*

*SIC Codes*

6523

*Requested Next Return Date:* 20/09/2001

*Elective Resolution to Dispense with AGM:* Yes

*Elective Resolution to Dispense with Laying Accounts at AGM:* Yes

*Registered Office:*

*Address:*

54 LOMBARD STREET  
LONDON  
EC3P 3AH

*Register of*

*Members Address:*

54 LOMBARD STREET  
LONDON  
EC3P 3AH

*Register of Debenture*

*Holders Address:*

54 LOMBARD STREET  
LONDON  
EC3P 3AH

**Details of Officers of the Company**

*Company Secretary:*

*Name:* BARCOSEC LIMITED

*Address:*

54 LOMBARD STREET  
LONDON  
ENGLAND EC3P 3AH

-----  
*Director 1:*

*Name:* **BARCOSEC LIMITED**

*Address:* **54 LOMBARD STREET  
LONDON  
ENGLAND EC3P 3AH**

*Other Directorships:*

273408	SURETY TRUST LIMITED
995150	MERVEST (SLOANE) LIMITED
949256	MERVEST (MAIDENHEAD) LIMITED
947778	MERVEST (CHISLEHURST) LIMITED
290277	MERCANTILE CREDIT COMPANY LIMITED
671495	GS COMMERCIAL LIMITED
892996	ADAM ONE LIMITED
04001656	FIGUREFILE LIMITED
04001456	EASYMAZE LIMITED
04000456	FIGUREDOME LIMITED
04002470	FIGUREFULL LIMITED
04001661	GLASSDEFINE LIMITED
04001451	GLASSEVERY LIMITED
0400458	EASYDEFINE LIMITED
04001449	HIGHTIP LIMITED
04002517	HIGHTYRE LIMITED
3866441	REGENT PROPERTY PARTNERS (RESIDENTIAL) LIMITED
1860708	PRECIS (338) LIMITED
2136048	LIMBOMONO LIMITED
2123774	ASHFORD GREAT PARK (PHASE 1) LIMITED
2350295	NORTH AUDLEY STREET DEVELOPMENTS LIMITED
1673195	JUMPCLOUD LIMITED
983040	INSTANT CLEANERS LIMITED
1799775	CMD (HEMEL HEMPSTEAD) LIMITED
03859383	FOSPV LIMITED
03479278	WESTFERRY INVESTMENTS LIMITED
03479275	MUDCHUTE INVESTMENTS LIMITED
2788001	GRACECHURCH BES NO. 5 LIMITED
2788015	GRACECHURCH BES NO. 4 LIMITED
2788084	GRACECHURCH BES NO. 3 LIMITED
2788097	GRACECHURCH BES NO. 2 LIMITED
2788103	GRACECHURCH BES NO. 1 LIMITED
2661983	BESSA BLUE CHIP III LIMITED
02661981	BESSA BLUE CHIP II LIMITED
02682918	BESSA BLUE CHIP LIMITED
219528	WATER STREET INVESTMENTS LIMITED
2223148	NORTH COLONNADE INVESTMENTS LIMITED
1363893	GEORGE YARD BLACKFRIARS LIMITED
2530163	BARCLAYCARD FUNDING PLC
547606	BARFRANC LIMITED
358323	MARTINS BANK STAFF TRUSTS LIMITED
2510159	BARCLAYS MERCANTILE CUSTOMER SERVICES LIMITED
2532430	BARCLAYS AIRCRAFT FINANCE LIMITED
2222899	BARCLAYS SAFE CUSTODY LIMITED

*Other Directorships:* 949580 ZANTON SERVICE COMPANY  
 2102606 BARCLAYS SERVICES (JAPAN) LIMITED  
 1767980 BARCLAYS CAPITAL SERVICES LIMITED  
 2793075 BARCLAYS CAPITAL PROJECTS LIMITED  
 2239504 BARCLAYS CAPITAL OVERSEAS LIMITED  
 2199573 BARCLAYS CAPITAL (FUEL AND POWER) LIMITED  
 393012 WEDD JEFFERSON (NOMINEES) LIMITED  
 1764681 GORTON NOMINEES LIMITED  
 460867 FINPART NOMINEES LIMITED  
 300897 DURLACHER NOMINEES LIMITED  
 1756745 BEVAN NOMINEES LIMITED  
 1957772 BARSEC NOMINEES LIMITED

-----  
*Director 2:*

*Name:* BAROMETERS LIMITED *Address:* 54 LOMBARD STREET  
 LONDON  
 ENGLAND EC3P 3AH

*Other Directorships:* 273408 SURETY TRUST LIMITED  
 995150 MERVEST (SLOANE) LIMITED  
 949256 MERVEST (MAIDENHEAD) LIMITED  
 947778 MERVEST (CHISLEHURST) LIMITED  
 290277 MERCANTILE CREDIT COMPANY LIMITED  
 671495 GS COMMERCIAL LIMITED  
 892996 ADAM ONE LIMITED  
 04001656 FIGUREFILE LIMITED  
 04001456 EASYMAZE LIMITED  
 04000456 FIGUREDOME LIMITED  
 04002470 FIGUREFULL LIMITED  
 04001661 GLASSDEFINE LIMITED  
 04001451 GLASSEVERY LIMITED  
 0400458 EASYDEFINE LIMITED  
 04001449 HIGHTIP LIMITED  
 04002517 HIGHTYRE LIMITED  
 3866441 REGENT PROPERTY PARTNERS (RESIDENTIAL) LIMITED  
 1860708 PRECIS (338) LIMITED  
 2136048 LIMBOMONO LIMITED  
 2123774 ASHFORD GREAT PARK (PHASE I) LIMITED  
 2350295 NORTH AUDLEY STREET DEVELOPMENTS LIMITED  
 1673195 JUMPCLOUD LIMITED  
 983040 INSTANT CLEANERS LIMITED  
 1799775 CMD (HEMEL HEMPSTEAD) LIMITED  
 03859383 FOSPV LIMITED  
 03479278 WESTFERRY INVESTMENTS LIMITED  
 03479275 MUDCHUTE INVESTMENTS LIMITED  
 2788001 GRACECHURCH BES NO. 5 LIMITED  
 2788015 GRACECHURCH BES NO. 4 LIMITED  
 2788084 GRACECHURCH BES NO. 3 LIMITED  
 2788097 GRACECHURCH BES NO. 2 LIMITED

Other Directorships: 2788103 GRACECHURCH BES NO. 1 LIMITED  
 2661983 BESSA BLUE CHIP III LIMITED  
 02661981 BESSA BLUE CHIP II LIMITED  
 02682918 BESSA BLUE CHIP LIMITED  
 547606 BARFRANC LIMITED  
 219528 WATER STREET INVESTMENTS LIMITED  
 2223148 NORTH COLONNADE INVESTMENTS LIMITED  
 1363893 GEORGE YARD BLACKFRIARS LIMITED  
 2530163 BARCLAYCARD FUNDING PLC  
 358323 MARTINS BANK STAFF TRUSTS LIMITED  
 2510159 BARCLAYS MERCANTILE CUSTOMER SERVICES LIMITED  
 2532430 BARCLAYS AIRCRAFT FINANCE LIMITED  
 2222899 BARCLAYS SAFE CUSTODY LIMITED  
 949580 ZANTON SERVICE COMPANY  
 2102606 BARCLAYS SERVICES (JAPAN) LIMITED  
 1767980 BARCLAYS CAPITAL SERVICES LIMITED  
 2793075 BARCLAYS CAPITAL PROJECTS LIMITED  
 2239504 BARCLAYS CAPITAL OVERSEAS LIMITED  
 2199573 BARCLAYS CAPITAL (FUEL AND POWER) LIMITED  
 393012 WEDD JEFFERSON (NOMINEES) LIMITED  
 1764681 GORTON NOMINEES LIMITED  
 460867 FINPART NOMINEES LIMITED  
 300897 DURLACHER NOMINEES LIMITED  
 1756745 BEVAN NOMINEES LIMITED  
 1957772 BARSEC NOMINEES LIMITED

-----  
 Director 3:

Name:	NICHOLAS JOHN DURLACHER CBE	Address:	ARCHENDINES FORDHAM COLCHESTER ESSEX CO6 3LT
Date of Birth:	20/03/1946		
Nationality:	BRITISH		
Occupation:	INVESTMENT BANKER		

Other Directorships: INVESTORS COMPENSATION SCHEME LIMITED  
 LIFFE BENEFIT  
 LIFFE HOLDINGS PLC  
 LIFFE ADMINISTRATION & MANAGEMENT  
 1998622 SECURITIES AND FUTURES AUTHORITY  
 LIFFE TRUSTEES LIMITED

-----  
 Director 4:

Name:	EUAN JOSEPH GEORGE HARKNESS	Address:	THE OLD RECTORY OCKHAM SURREY GU23 6NJ
Date of Birth:	09/05/1949		
Nationality:	NEW ZEALAND		
Occupation:	MARKET MAKER		

-----  
*Director 5:*

*Name:* **ANDRIES EGBERT TEEUW**      *Address:* **BENNEBROEKERDREEF 5**  
*Date of Birth:* **21/08/1946**      **BENNEBROEK 2121 CL**  
*Nationality:* **DUTCH**  
*Occupation:* **BANK OFFICIAL**

-----

*Director 6:*

*Name:* **JIN-SOOL JOO**      *Address:* **# 108 HYUNDAI VILLA**  
*Date of Birth:* **15/08/1948**      **61 - 2 SAMSUNG-DONG**  
*Nationality:* **KOREAN**      **KANGNAM - KU**  
*Occupation:* **BANK OFFICIAL**      **SEOUL**  
      **KOREA 135 - 090**

-----

*Director 7:*

*Name:* **DAVID HUGH NEWTON**      *Address:* **51 WESTBURY ROAD**  
*Date of Birth:* **18/09/1953**      **LONDON N12 7PB**  
*Nationality:* **BRITISH**  
*Occupation:* **STOCKBROKER**

-----

*Director 8:*

*Name:* **SIR PETER EDWARD**      *Address:* **17 LAUNCESTON PLACE**  
      **MIDDLETON GCB**      **LONDON W8 5RL**  
*Date of Birth:* **02/04/1934**  
*Nationality:* **BRITISH**  
*Occupation:* **BANK OFFICIAL**

-----

*Other Directorships:*

**CGU**  
**SHEFFIELD UNIVERSITY ENTERPRISES LIMITED**  
**NATIONAL INSTITUTE OF ECONOMIC AND SOCIAL**  
**RESEARCH**  
**BEACON MILLENNIUM INTERNATIONAL TRUST**  
**UNITED UTILITIES PLC**  
**INSTITUTE OF CONTEMPORARY BRITISH HISTORY, THE**  
**ENGLISH CHAMBER ORCHESTRA AND MUSIC SOCIETY**  
**GENERAL ACCIDENT P.L.C.**  
**BASS PLC**  
**SHEFFIELD UNIVERSITY**  
**CENTRE FOR ECONOMIC POLICY RESEARCH, THE**

-----

*Director 9:*

*Name:* **JOHN ANTHONY**      *Address:* **42 LONGDON WOOD**  
      **WHITTAKER**      **KESTON PARK**  
*Date of Birth:* **21/12/1960**      **KESTON**  
*Nationality:* **BRITISH**      **KENT**  
*Occupation:* **CHIEF FINANCIAL OFFICER,**      **ENGLAND**  
      **EQUITIES**

-----

-----  
*Director 10:*

*Name:* **ROBERT EDWARD  
DIAMOND JR** *Address:* **25 OAKLEY GARDENS  
LONDON  
ENGLAND SW3 5QH**  
*Date of Birth:* **27/07/1951**  
*Nationality:* **AMERICAN**  
*Occupation:* **INVESTMENT BANKING**

*Other Directorships:* **1767980 BARCLAYS CAPITAL SERVICES LIMITED**

-----  
*Director 11:*

*Name:* **NAGUIB KHERAJ** *Address:* **67 EATON PLACE  
LONDON SW1X 8DF**  
*Date of Birth:* **15/07/1964**  
*Nationality:* **BRITISH**  
*Occupation:* **BANKER**

*Other Directorships:* **1767980 BARCLAYS CAPITAL SERVICES LIMITED  
ROBERT FLEMING & CO. LIMITED  
THE INSTITUTE OF ISMAILI STUDIES  
ISLAMIC PUBLICATIONS LIMITED  
SERENE CARE LIMITED  
THE RESIDENTIAL MORTGAGE CORPORATION PLC  
TMC INSURANCES LIMITED  
TMC PORTFOLIO SERVICES LIMITED  
THE MORTGAGE CORPORATION GROUP LIMITED  
TMC PRIVATE PLACEMENTS NO.1 LIMITED  
TMC PIMBS THIRD FINANCING PLC  
TMC PIMBS SIXTH FINANCING PLC  
TMC PIMBS SEVENTH FINANCING PLC  
TMC PIMBS SECOND FINANCING PLC  
TMC PIMBS PLC  
TMC PIMBS FOURTH FINANCING PLC  
TMC PIMBS FIRST FINANCING PLC  
TMC PIMBS FIFTH FINANCING PLC  
TMC MORTGAGE SECURITIES NO.9 PLC  
TMC MORTGAGE SECURITIES NO.8 PLC  
TMC MORTGAGE SECURITIES NO.8 PLC  
TMC MORTGAGE SECURITIES NO.7 PLC  
TMC MORTGAGE SECURITIES NO.6 PLC  
TMC MORTGAGE SECURITIES NO.5 PLC  
TMC MORTGAGE SECURITIES NO.24 PLC  
TMC MORTGAGE SECURITIES NO.23 PLC  
TMC MORTGAGE SECURITIES NO.22 PLC  
TMC MORTGAGE SECURITIES NO.21 PLC  
TMC MORTGAGE SECURITIES NO.11 PLC  
TMC MORTGAGE SECURITIES NO.10 PLC  
TMC MORTGAGE SECURITIES NO.4 PLC  
TMC MORTGAGE SECURITIES NO.3 PLC  
TMC MORTGAGE SECURITIES NO.2 PLC**

*Other Directorships:*

TMC MORTGAGE SECURITIES NO.1 PLC  
TMC FUNDING NO.3 LIMITED  
TMC FUNDING NO.2 LIMITED  
TMC FUNDING NO.1 LIMITED  
TMC FINANCE PLC  
THE SAVINGS AND MORTGAGE CORPORATION LIMITED  
THE MORTGAGE CORPORATION LIMITED  
THE MORTGAGE CORPORATION DIRECT LIMITED  
HOLMES PLACEMENT NO.2 LIMITED  
HOLMES PLACEMENT NO.1 LIMITED  
SALOMON INTERNATIONAL LIMITED

-----  
*Director 12:*

*Name:* MICHAEL JOSEPH KEEGAN *Address:* THE TERRACE  
*Date of Birth:* 26/04/1956 12 REDINGTON ROAD  
*Nationality:* AMERICAN LONDON  
*Occupation:* BANKER ENGLAND NW3 7RG

*Other Directorships:* 2955746 THAMESBANK DEVELOPMENTS LIMITED  
1767980 BARCLAYS CAPITAL SERVICES LIMITED

-----  
*Director 13:*

*Name:* PATRICK ANDREW *Address:* 33 LANCASTER PARK  
CLACKSON RICHMOND  
*Date of Birth:* 24/04/1964 SURREY TW10 6AD  
*Nationality:* BRITISH  
*Occupation:* FINANCIAL CONTROLLER  
*Previous Surname:* CLACKSON  
*Previous Forename(s):* PATRICK

*Other Directorships:* 2239504 BARCLAYS CAPITAL OVERSEAS LIMITED  
949580 ZANTON SERVICE COMPANY  
2955746 THAMESBANK DEVELOPMENTS LIMITED  
2102606 BARCLAYS SERVICES (JAPAN) LIMITED  
1767980 BARCLAYS CAPITAL SERVICES LIMITED  
2199573 BARCLAYS CAPITAL (FUEL AND POWER) LIMITED

-----  
*Share Capital*

*Issued Share Capital Details:*

<i>Class of share</i>	<i>Number of shares issued</i>	<i>Aggregate nominal value of issued shares</i>
<b>ORDINARY SHARES</b>	<b>88500000</b>	<b>GBP88500000</b>
<b>PREFERENCE SHARES</b>	<b>1000</b>	<b>GBP1000</b>
<b>REDEEMABLE PREFERENCE SHARES</b>	<b>490000000</b>	<b>GBP490000000</b>
<b>TOTALS</b>	<b>578501000</b>	<b>GBP578501000</b>

---

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 20/09/2000, or that had ceased to be shareholders since the made up date of the previous Annual Return.

### *Shareholding 1:*

**1000 PREFERENCE SHARES Shares held as at 20/09/2000**

*Name:* **BARCLAYS BANK PLC**

*Address:* **54 LOMBARD STREET  
LONDON  
ENGLAND EC3P 3AH**

---

### *Shareholding 2:*

**490000000 REDEEMABLE PREFERENCE SHARES Shares held as at  
20/09/2000**

*Name:* **BARCLAYS BANK PLC**

*Address:* **54 LOMBARD STREET  
LONDON  
ENGLAND EC3P 3AH**

---

### *Shareholding 3:*

**88500000 ORDINARY SHARES Shares held as at 20/09/2000**

*Name:* **BARCLAYS GROUP HOLDINGS LIMITED**

*Address:* **54 LOMBARD STREET  
LONDON  
ENGLAND EC3P 3AH**

---

## *Authorisation*

*Authoriser Designation:* **SECRETARY** *Date Authorised:* **20/09/2000** *Authenticated:* **Yes(E/W)**

---

## *Presenters Details*

*Presenters Reference:* **00003621**

*Name:* **JOHN MILLS**

*Address:* **BARCLAYS PLC  
GROUP SECRETARY'S OFFICE  
54 LOMBARD STREET  
LONDON EC3P 3AH**

*Tel:* **0171 699 2462**