



Companies House

— for the record —

363a_(ef)

Annual Return

Company Name: BARCLAYS CAPITAL SECURITIES LIMITED

Company Number: 01929333



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Company Details

Period Ending: 20/09/2001

Company Type: PRIVATE COMPANY LIMITED BY SHARES

Principle Business Activities:

SIC Codes

6523

Registered Office:
Address:

**54 LOMBARD STREET
LONDON
ENGLAND
EC3P 3AH**

Register of
Members Address:

**54 LOMBARD STREET
LONDON
ENGLAND
EC3P 3AH**

Register of Debenture
Holders Address:

**54 LOMBARD STREET
LONDON
ENGLAND
EC3P 3AH**

Details of Officers of the Company

Company Secretary:

Name: BARCOSEC LIMITED

Address: 54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH

Director 1:

Name: BAROMETERS LIMITED

Address: 54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH

Director 2:

Name: **BARCOSEC LIMITED**

Address: **54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH**

Director 3:

Name: **PATRICK ANDREW
CLACKSON**

Address: **33 LANCASTER PARK
RICHMOND
SURREY TW10 6AD**

Date of Birth: **24/04/1964**

Nationality: **BRITISH**

Occupation: **FINANCIAL CONTROLLER**

Director 4:

Name: **ROBERT EDWARD
DIAMOND JR**

Address: **25 OAKLEY GARDENS
LONDON
ENGLAND SW3 5QH**

Date of Birth: **27/07/1951**

Nationality: **AMERICAN**

Occupation: **INVESTMENT BANKING**

Director 5:

Name: **NICHOLAS JOHN
DURLACHER**

Address: **ARCHENDINES
FORDHAM
COLCHESTER
ESSEX CO6 3LT**

Date of Birth: **20/03/1946**

Nationality: **BRITISH**

Occupation: **INVESTMENT BANKER**

Director 6:

Name: **EUAN JOSEPH GEORGE
HARKNESS**

Address: **THE OLD RECTORY
OCKHAM
SURREY GU23 6NJ**

Date of Birth: **09/05/1949**

Nationality: **NEW ZEALAND**

Occupation: **MARKET MAKER**

Director 7:

Name: **JIN-SOOL JOO**

Address: **# 108 HYUNDAI VILLA
61 - 2 SAMSUNG-DONG
KANGNAM - KU
SEOUL
KOREA 135 - 090**

Date of Birth: **15/08/1948**

Nationality: **KOREAN**

Occupation: **BANK OFFICIAL**

Director 8:

Name: **NAGUIB KHERAJ**
Date of Birth: **15/07/1964**
Nationality: **BRITISH**
Occupation: **BANKER**

Address: **67 EATON PLACE
LONDON
ENGLAND SW1X 8DF**

Director 9:

Name: **SIR PETER EDWARD
MIDDLETON**
Date of Birth: **02/04/1934**
Nationality: **BRITISH**
Occupation: **BANK OFFICIAL**

Address: **17 LAUNCESTON PLACE
LONDON W8 5RL**

Director 10:

Name: **DAVID HUGH NEWTON**
Date of Birth: **18/09/1953**
Nationality: **BRITISH**
Occupation: **STOCKBROKER**

Address: **51 WESTBURY ROAD
LONDON N12 7PB**

Director 11:

Name: **ANDRIES EGBERT TEEUW**
Date of Birth: **21/08/1946**
Nationality: **DUTCH**
Occupation: **BANK OFFICIAL**

Address: **BENNEBROEKERDREEF 5
BENNEBROEK 2121 CL**

Director 12:

Name: **JOHN ANTHONY
WHITTAKER**
Date of Birth: **21/12/1960**
Nationality: **BRITISH**
Occupation: **CHIEF FINANCIAL OFFICER,
EQUITIES**

Address: **HOUSE 11
1 SHOUSON HILL ROAD EAST
HONG KONG
CHINA**

Share Capital

Issued Share Capital Details:

<i>Class of share</i>	<i>Number of shares issued</i>	<i>Aggregate nominal value of issued shares</i>
ORDINARY	88500000	GBP88500000.00
PREFERENCE	1000	GBP1000.00
REDEEMABLE PREFERENCE	490000000	GBP490000000.00
TOTALS	578501000	GBP578501000.00

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 20/09/2001, or that had ceased to be shareholders since the made up date of the previous Annual Return.

Shareholding 1:

88500000 ORDINARY Shares held as at 20/09/2001

Name: **BARCLAYS GROUP HOLDINGS LIMITED**

Address: **54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH**

Shareholding 2:

1000 PREFERENCE Shares held as at 20/09/2001

Name: **BARCLAYS BANK PLC**

Address: **54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH**

Shareholding 3:

**490000000 REDEEMABLE PREFERENCE Shares held as at
20/09/2001**

Name: **BARCLAYS BANK PLC**

Address: **54 LOMBARD STREET
LONDON
ENGLAND EC3P 3AH**

Authorisation

Authoriser Designation: **SECRETARY** *Date Authorised:* **01/11/2001** *Authenticated:* **Yes (E/W)**

Presenters Details

Presenters Reference: **00001171**

Address: **DEIRDRE PARRY, BARCLAYS BANK PLC
GROUP CORPORATE SECRETARIAT
3RD FLOOR, 54 LOMBARD STREET
LONDON EC3P 3AH**
