

MG01

Particulars of a mortgage or charge



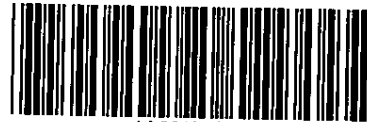
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A fee is payable with this form
We will not accept this form unless you send the correct fee
Please see 'How to pay' on the last page

☒ What this form is for
You may use this form to register
particulars of a mortgage or charge
in England and Wales or Northern
Ireland

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WEDNESDAY



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A16

10/04/2013

#341

COMPANIES HOUSE

For more information, please
visit
gov.uk

1 Company details

Company number 01929333

Company name in full Barclays Capital Securities Limited (the Chargor)

For official use

→ Filling in this form
Please complete in typescript or in
bold black capitals
All fields are mandatory unless
specified or indicated by *

2 Date of creation of charge

Date of creation 03/04/2013

3 Description

Please give a description of the instrument (if any) creating or evidencing the
charge, e.g. 'Trust Deed', 'Debenture', 'Mortgage', or 'Legal charge'

Description A nominee agreement dated 3 April 2013 between the Appointed Nominee (as defined
below) and the Chargor (the Agreement)

4 Amount secured

Please give us details of the amount secured by the mortgage or charge

Amount secured Any fees payable by the Chargor (in accordance with Clause
12.1 of the Agreement) to the Appointed Nominee for the
provision of the Services (as defined below) for such amount as
may be prescribed as at the date of the Agreement by the
Appointed Nominee in the fee schedule attached to this Form
MG01 as Annex 1, as revised from time to time by the
Appointed Nominee in its full and absolute discretion and
notified to the Chargor, together with all other costs and
expenses payable by the Chargor (in accordance with Clause
12.2 of the Agreement) incurred by the Appointed Nominee

Continuation page
Please use a continuation page if
you need to enter more details

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Mortgagee(s) or person(s) entitled to the charge (if any)

Please give the name and address of the mortgagee(s) or person(s) entitled to the charge

Continuation page

Please use a continuation page if you need to enter more details

Name AMSEC Nominees (Asing) Sdr Bhd (**Appointed Nominee**)

Address 22nd Floor, Bangunan AmBank Group, 55 Jalan Raja

Chulan, Kuala Lumpur

Postcode 5 0 2 0 0

Name

Address

Postcode

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Short particulars of all the property mortgaged or charged

Please give the short particulars of the property mortgaged or charged

Continuation page

Please use a continuation page if you need to enter more details

Short particulars

12 4 If any of the fees and expenses referred to in Clauses 12.1 and 12 2 of the Agreement remain outstanding after they have become due and/or reimbursable and payable, unless otherwise prohibited by law, the Appointed Nominee shall, in addition to any other rights conferred by law or in the Agreement

12 4 1 be entitled to debit automatically and/or set off against any cash in the account of the Chargor with the Appointed Nominee in or towards settlement of such outstanding amount(s) and

12 4 2 have a lien over the Securities in the Securities Account with the power to (acting in a commercially reasonable manner) sell any or all of the Securities in or towards settlement of such outstanding amounts(s)

12 5 The Appointed Nominee is further entitled to set-off or transfer such sums in the Trust Accounts maintained with AmInvestment Bank towards the settlement of such outstanding amount(s) in respect of any CLA SBL transactions

Definitions

In this Form MG01

AmInvestment Bank means AmInvestment Bank Berhad (Company No. 23742-V), a company incorporated in Malaysia under the Companies Act, 1965 and having its registered address at 22nd Floor, Bangunan AmBank Group, 55, Jalan Raja Chulan, 50200 Kuala Lumpur and is appointed the broker to transact in all dealings in securities quoted in the Stock Exchanges for the Client, and shall include its successors in title and assigns

Authorised Nominee has the meaning ascribed to it in SICDA

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6	Short particulars of all the property mortgaged or charged	
	Please give the short particulars of the property mortgaged or charged	
Short particulars	<p>Beneficiary means the ultimate owner of the deposited Securities who is the person who is entitled to all rights as defined in the SICDA including the Chargor</p> <p>Bursa Depository means Bursa Malaysia Depository Sdn Bhd which operates the Central Depository System in Malaysia</p> <p>Bursa Securities means the Bursa Malaysia Securities Berhad (Company No. 635998W) and shall include its successors in title and assigns</p> <p>CLA means a central lending agency established to effect a CLA SBL transaction</p> <p>SBL transaction has the meaning assigned to it under the Securities Commission's Securities Borrowing and Lending Guidelines effective on 17 August 2009 and where the context so permits include any one or more transactions under CLA SBL and SBL negotiated transactions</p> <p>Securities shall have the same meaning as defined in Section 2 of SICDA under the term "security" and as defined in Section 2 of Capital Markets and Services Act 2007 (CMSA) under the term "securities" and being securities quoted on the Stock Exchanges and means in respect of each Beneficiary, the securities listed on an official list (as defined in SICDA) of the Stock Exchanges from time to time standing to the credit of the Securities Account of the respective Beneficiaries (if any) and paid for in full by the Chargor</p> <p>Securities Account has the meaning set forth in and refers solely to those securities accounts detailed in Clause 2.1 of the Agreement (namely three accounts in the Chargor's name (namely, "<i>Amsec Nominees (Asing) Sdn Bhd RSS/SBL for Barclays Capital Securities Limited (CLA)</i>", "<i>Amsec Nominees (Asing) Sdn Bhd RSS/SBL for Barclays Capital Securities Limited (SBLNT)</i>" and "<i>Amsec Nominees (Asing) Sdn Bhd Barclays Capital Securities Limited (SBL Coll)</i>")), opened with Bursa Depository or any other recognised central depository in the name of the Appointed Nominee, as an Authorised Nominee within the definition of SICDA and with the Chargor's name indicated thereon whether or not the identity of the Beneficiary is disclosed</p> <p>Services means the services described in Clause 3 of the Agreement which are to be performed by the Appointed Nominee in accordance with the terms and subject to the conditions in the Agreement and to facilitate and/or effect the SBL transactions</p> <p>SICDA means Securities Industry (Central Depositories) Act 1991 and includes any statutory amendment or re-enactment thereof, including without limitation the Securities Industry (Central Depositories) (Amendment) Act 1998</p> <p>Stock Exchanges means Bursa Securities and any other Malaysian stock exchange with the approval of AmlInvestment Bank, and where the context so permits, shall include any one or more of them</p> <p>Trust Account means a cash account opened by AmlInvestment Bank with commercial bank held on behalf of client to effect payment /settlement to and from Bursa for SBL transactions purposes only</p>	

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Short particulars of all the property mortgaged or charged

Please give the short particulars of the property mortgaged or charged

Short particulars

ANNEX 1

CUSTODIAN SERVICES FEES SCHEDULE

No	Type of Fees	Rate
(1)	Securities Holding	RM300 per month per CDS Account
(2)	Transaction (please refer to Note (i) below for the types of transactions)	RM30 per transaction
(3)	Dividend Collection	1% of net dividend, minimum RM20
(4)	Bursa Depository Quarterly CDS Fees	RM0.04 per 1,000 shares per annum charged quarterly, waived if amount is less than RM20
(5)	Out-of-Pocket Expenses (such as Bursa Depository charges, registration fees, stamp duties and transportation charges)	Reimbursement basis

Note

- (i) The types of transactions in item 2 above include -
- (a) Outward Transfer of Securities
 - (b) Deposit of Share Certificates into CDS
 - (c) Rights Issue
 - (d) General Offer
 - (e) Conversion of Warrants/Loan Stocks
 - (f) Proxy Voting
- (ii) Additional reporting requirements will be charged depending on the complexity of the reports

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Particulars as to commission, allowance or discount (if any)

Please insert the amount or rate percent of any commission, allowance or discount paid or made either directly or indirectly by the company to any person on consideration of his

- subscribing or agreeing to subscribe, whether absolutely or conditionally, or
- procuring or agreeing to procure subscriptions, whether absolute or conditional,

for any debentures included in this return. The rate of interest payable under the terms of the debentures should not be entered

Commission, allowance or discount N1 1

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Delivery of instrument

You must deliver the original instrument (if any) creating or evidencing the charge and these prescribed particulars to the Registrar of Companies within 21 days after the date of creation of the charge (section 860). If the property is situated and the charge was created outside the United Kingdom (UK), you must deliver the information to the Registrar within 21 days after the date on which the instrument could have been received in the UK in the normal course of post and assuming you had posted it promptly (section 870).

We will accept a verified copy of the instrument creating the charge where the property charged is situated and the charge was created outside the UK (section 866). The company or the person who has delivered the copy to the Registrar must verify it to be a correct copy and sign it. Where a body corporate gives the verification, an officer of that body must sign it. We will also accept a verified copy where section 867(2) applies (property situated in another part of UK).

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Signature

Please sign the form here

Signature

Signature

X



X

This form must be signed by a person with an interest in the registration of the charge

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form and will establish where we return the original documents. The contact information you give will be visible to searchers of the public record.

Contact name Angela Wing

Company name Barclays Bank PLC

Address 5 The North Colonnade

Post town London

County/Region

Postcode E 1 4 4 B B

Country United Kingdom

DX

Telephone 020 3555 4803



Certificate

We will send your certificate to the presenter's address if given above or to the Company's Registered Office if you have left the presenter's information blank.



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following.

- ☐ The company name and number match the information held on the public Register
- ☐ You have included the original deed with this form
- ☐ You have entered the date the charge was created
- ☐ You have supplied the description of the instrument
- ☐ You have given details of the amount secured by the mortgagee or chargee
- ☐ You have given details of the mortgagee(s) or person(s) entitled to the charge
- ☐ You have entered the short particulars of all the property mortgaged or charged
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record.



How to pay

A fee of £13 is payable to Companies House in respect of each mortgage or charge.

Make cheques or postal orders payable to 'Companies House'.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk



FILE COPY

**CERTIFICATE OF THE REGISTRATION
OF A MORTGAGE OR CHARGE**

Pursuant to section 869(5) & (6) of the Companies Act 2006

**COMPANY NO. 1929333
CHARGE NO. 21**

THE REGISTRAR OF COMPANIES FOR ENGLAND AND WALES
HEREBY CERTIFIES THAT A NOMINEE AGREEMENT DATED 3
APRIL 2013 AND CREATED BY BARCLAYS CAPITAL
SECURITIES LIMITED FOR SECURING ALL MONIES DUE OR TO
BECOME DUE FROM THE COMPANY TO AMSEC NOMINEES
(ASING) ADN BHD (APPOINTED NOMINEE) WAS REGISTERED
PURSUANT TO CHAPTER 1 PART 25 OF THE COMPANIES ACT
2006 ON THE 10 APRIL 2013

GIVEN AT COMPANIES HOUSE, CARDIFF THE 16 APRIL 2013

D.