



COMPANIES HOUSE

Please complete in typescript,
or in bold black capitals.

288a

APPOINTMENT of director or secretary (NOT for resignation (use Form 288b) or change of particulars (use Form 288c))

Company Number

1920623

Company Name in full

THE FINANCIAL SERVICES
AUTHORITY



F288A018

Date of appointment Day Month Year
1 6 98

†Date of Birth Day Month Year
26 8 54

Appointment form Appointment as director ☒ as secretary ☐

Please mark the appropriate box. If appointment is
as a director and secretary mark both boxes.

NAME *Style / Title

MR

*Honours etc

Notes on completion
appear on reverse.

Forename(s)

PHILLIP ANDREW

Surname

THORPE

Previous
Forename(s)

Previous
Surname(s)

Usual residential
address

GARDEN FLAT, 70 SUTHERLAND
AVENUE

Post town

LONDON

Postcode

W9 2QS

County / Region

Country

†Nationality

BRITISH /
NEW ZEALAND

†Business occupation

FINANCIAL
SERVICES REGULATOR

†Other directorships
(additional space overleaf)

SEE ATTACHED.

I consent to act as ** director / secretary of the above named company

Consent signature

Date

10.6.98

* Voluntary details.
† Directors only.

A director, secretary etc must sign the form below.

Signed

T.E. ALLEN

Date

20.6.98

** Please delete as appropriate

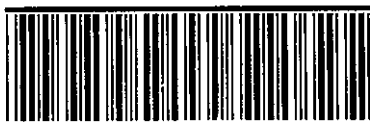
Please give the name, address,
telephone number and, if available,
a DX number and Exchange of
the person Companies House should
contact if there is any query.

(** a director / secretary / administrator / administrative receiver / receiver manager / receiver)

T.E. ALLEN ESQ
25 THE NORTH COLONADE
CASARY WHARF, LONDON
E14 5HS Tel 0171 676 1000

DX number

DX exchange



A29 *AFKR87S7* 410
COMPANIES HOUSE 11/07/98

Form revised March 1995

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Company Number

† Directors only.

† Other directorships

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NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:

- for a married woman, the name by which she was known before marriage need not be given.
- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.

PHILLIP ANDREW THORRE

No other current directorships

Past Directorships

Investment Management Regulatory Organisation Ltd (resigned 10.6.98)

IMRO Ltd (resigned 10.6.98)

The Securities and Futures Authority Ltd (resigned 31.3.93)

Business occupation

Managing Director and Head of Authorisation, Enforcement and Consumer Relations, the
Financial Services Authority