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363a

Please complete in oold black capitals		Annual Return		
HFP001 Company number Company name in full		01880176 NEW STAR INSTITUTIONAL MANAGERS LIMITED		
Date of this return The information in this		Day Month Year 3 1 1 0 3 1 2 0 9		
Date of next return If you wish to make your next return to a date earlier than the anniversary of this return please show that date here. Companies House will then send a form at the appropriate time.		Day Month Year		
Registered Office		1 Knightsbridge Green		
Any change of registered office must be notified	Post town	London		
on form 287	County/Region UK Postcode	S W 1 X 7 N E		
Principal busine	ess activities	6712		
Show trade classificate the principal activity of	tion code number(s) for r activities			
If the code number cannot be determined, give a brief description of principal activity				



24/04/2009 COMPANIES HOUSE

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ for companies registered in England or Wales or Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB DX ED235 Edinburgh 1 or LP-4 Edinburgh 2

Produced by PC Secretary (Windows)

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Register of members					
If the register of members is registered office, state here v		1			
	Post town				
	County/Region				
	UK Postcode				
Register of Debenture If there is a register of deben					
duplicate of any such registeris not kept at the registered of	r or part of it, which				
where it is kept	Post town	1			
	County/Region	1			
	UK Postcode				
Company type					
Public limited company	<i>r</i> .				
Private company limite	d by shares	X			
Private company limite share capital	d by guarantee with	out			
Private company limite	d by shares exempt	under Please tick the appropriate box			
section 30 Private company limite under section 30	d by guarantee exer	npt			
Private unlimited comp	any with share capit	tal			
Private unlimited comp	anv without share c	apital			
	,				
Company Secretary		Details of a new company secretary must be notified on form 288a			
this area to provide	ıme *Style/Title				
details of joint secretaries).	Forename(s)) [
†† Tick the box if the	Surname	NEW STAR ADMINISTRATION SERVICES LIMITED			
address shown is a service address for the		1 Knightsbridge Green			
beneficiary of a Confidentiality Order	Address ††				
granted under section 723B of the Companies					
Act 1985. Otherwise, give your usual residential address. In	Post town	London			
the case of a corporation or Scottish firm, give the	County/Region	on			
registered or principal office address.	UK Postcode	S W I X 7 N E			
If a partnership, give the					
names and addresses of the partners or the name of the partnership and	Country	,			
office address					
		01880176			
		Page 2			

Directors Please list the directors Voluntary details	in alphabetical order	Details of new directors must be notified on form 288a
In the case of a director that is a corporation or a	Name *Style/Title	Mr
Scottish firm, the		Day Month Year
corporate or firm		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
	Forename(s)	Mark Sydney
I Tiet ale ten trake	Surname	BEALE
†† Tick the box if the address shown is a service address for the beneficiary of a		112 Oak Hill Road
Confidentiality Order granted under section		
723B of the Companie Act 1985. Otherwise, give your usual	s Post town	Sevenoaks
residential address. In the case of a	County/Region	Kent
corporation or Scottisi firm, give the registere or principal office	n	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
address.	Country	
	Nationality	British
	Business occupation	Investment Manager
Directors Please list the directors Voluntary details	in alphabetical order	Details of new directors must be notified on form 288a
In the case of a director that is a	Name *Style/Title	Mr
corporation or a Scottish firm, the		Day Month Year
name is the corporate or firm	Date of birth	$\begin{bmatrix} 2 \\ \end{bmatrix} \begin{bmatrix} 8 \\ \end{bmatrix} \begin{bmatrix} 0 \\ \end{bmatrix} \begin{bmatrix} 9 \\ \end{bmatrix} \begin{bmatrix} 1 \\ \end{bmatrix} \begin{bmatrix} 9 \\ \end{bmatrix} \begin{bmatrix} 6 \\ \end{bmatrix} \begin{bmatrix} 8 \\ \end{bmatrix}$
name	Forename(s)	Ian James
:		BEATTIE
†† Tick the box if the address shown is a	A.J.J.	AN A DI LAI
service address for the beneficiary of a Confidentiality Order	, Addiess	Farnham Common
granted under section 723B of the Companie	s	
Act 1985. Otherwise, give your usual	Post town	
residential address. In the case of a corporation or Scottis	County/Region	
firm, give the registere or principal office address.	uK Postcode	S L 2 L S E N
	Country	
	Nationality	British
	Business occupation	Fund Manager
	018801	

Issue share capital Enter details of all the shares in issue at the date of this return	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
		120,000	£120,000
	Totals	120,000	£120,000
Traded public companies A traded public company means a company any of whose shares are shares admitted to trading on a regulated market	Please tick this box if your public company at any tim this return		
List of past and present shareholders (use attached schedule where appropriate)	Please tick the appropriate	e box below:	On paper In another format
Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.	company is enclosed. Plea	or a private or non-traded pu ase complete Schedule A.	_^
Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two	A list of shareholders hold shares of any share class enclosed. Please comple	ing at least 5% of the issued for a traded public company te Schedule B.	is
returns.	A list containing sharehold → For private or non-toomplete Schedul	raded public companies, ple	ease
	→ For traded public co Schedule B	ompanies, please complet e	•
	There were no shareholde	er changes in this period	
Certificate Signed	knowledge and belief	on given in this return is true	
* Please delete as appropriate When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.	This return includes	3 continuation sheets	;
You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the	LIBNOURGN S	ERMANAIM PORV	HER CIMINS
public record.	DX number	DX exchange	
1	01880176		Page 4

Directors Please list the directors * Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a	Name	*Style/Title	_l Mr
corporation or a Scottish firm, the			Day Month Year
name is the corporate or firm name			$\begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$
		Forename(s)	Timothy John
		Surname	BRAY
†† Tick the box if the address shown is a service address for the beneficiary of a	•	Address ††	12 Cedars Road
Confidentiality Order granted under section			
723B of the Companies Act 1985. Otherwise,	5	Post town	Beckenham
give your usual residential address. In the case of a	С	ounty/Region	
corporation or Scottisl firm, give the registere or principal office	ר		B R 3 L 4 J F
address.		Country	
		Nationality	British
	Busines	ss occupation	Company Director
Directors Please list the directors Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a corporation or a	Name	*Style/Title	
Scottish firm, the name is the			Day Month Year
corporate or firm name			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
		Forename(s)	Keith Charles
†† Tick the box if the		Surname	BROWN
address shown is a service address for the	e	Address ††	Junipers, Lamer Lane,
beneficiary of a Confidentiality Order			Lower Gustard Wood
granted under section 723B of the Companies Act 1985. Otherwise, give your usual		Post town	Wheathampstead
residential address. In the case of a	С	ounty/Region	Hertfordshire
corporation or Scottis firm, give the registere or principal office		UK Postcode	A L 4
address.		Country	
		Nationality	British
	Busines		Investment Manager
		01880176	Page 5

Directors Please list the directors Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a corporation or a	Name	*Style/Title	Mr
Scottish firm, the name is the			Day Month Year
corporate or firm name		Date of birth	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
		Forename(s)	Howard John
At The Aberton 1846		Surname	COVINGTON
†† Tick the box if the address shown is a service address for th	e	Address ††	24 Montpelier Square
beneficiary of a Confidentiality Order			
granted under section 7238 of the Companie Act 1985. Otherwise,		Doct town	London
give your usual residential address. Ir	. ا		
the case of a corporation or Scottis	h	County/Region	
firm, give the registere or principal office address.	a	UK Postcode	S W 7 L I J R
		Country	
		Nationality	British
	Busine	ss occupation	Managing Director
Directors Please list the directors * Voluntary details	in alphabe	etical order	Details of new directors must be notified on form 288a
In the case of a director that is a	Name	*Style/Title	Mr
corporation or a Scottish firm, the name is the			Day Month Year
corporate or firm name			1 0 10 6 11 9 3 9
		Forename(s)	
†† Tick the box if the		Surname	DUFFIELD
address shown is a service address for th	Ac	_ Address ††	Down End House
beneficiary of a Confidentiality Order granted under section	, L		Chieveley
723B of the Companie Act 1985. Otherwise,		Post town	Newbury
give your usual residential address. In the case of a	٠ (County/Region	Berkshire
corporation or Scottis firm, give the register or principal office		UK Postcode	R G 2 0 8 T G
address.		Country	
		Nationality	British
	Busine	ss occupation	
		. ,	
		01880176	Page 6

	Form 363a Continuation Sheet
Company numbe	. _r 01880176
	NEW STAR INSTITUTIONAL MANAGERS LIMITED
Company name in iu	1
Company Secretary	Details of a new company secretary must be notified on form 288a
*Voluntary details (Please photocopy hame *Style/Titl this area to provide	e [
details of joint secretaries). Forename(s	3)
†† Tick the box if the Surnam	
address shown is a service address for the beneficiary of a Address †	
Confidentiality Order granted under section	
723B of the Companies Act 1985. Otherwise, give your usual Post tow	
residential address. In the case of a corporation	
or Scottish firm, give the county/Regio registered or principal office address.	
If a partnership, give the names and addresses of Countr	
the partners or the name of the partnership and	,
office address	
D	
Directors Please list the directors in alphabetical order 'Voluntary details	Details of new directors must be notified on form 288a
In the case of a director that is a Name *Style/Titl	e Ms
corporation or a Scottish firm, the name is the	Day Month Year
corporate or firm name Date of birt	$h \ \ \ \ \ \ \ \ \ \ \ \ \ $
Forename(s	A T''. 1 4
Surnam	e KIRK
†† Tick the box if the address shown is a service address for the Address †	† 53 South Hill Road
beneficiary of a Confidentiality Order	
granted under section 723B of the Companies Act 1985. Otherwise, Post tow	Bromley
residential address. In	
L corneration or Scottish	e B R 2 L 0 R L
address.	
Countr	
Nationalit	
Business occupatio	n [
İ	1

Directors Please list the directors Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a	Name	*Style/Title	Mr
corporation or a Scottish firm, the name is the			Day Month Year
corporate or firm			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
			Richard David
†† Tick the box if the		Surname	LEWIS
address shown is a service address for the	ıe.	Address ††	6 Rosemont Road
beneficiary of a Confidentiality Order			
granted under section 723B of the Companie		_ Post town	ı Richmond
Act 1985. Otherwise, give your usual residential address. In	1		
the case of a corporation or Scottis	i C	County/Region	
firm, give the register or principal office	ed	UK Postcode	T W 1 0 6 L X
address.		Country	
		Nationality	British
	Busine		Investment Manager
Directors Please list the directors Voluntary details	s in alphabe	etical order	Details of new directors must be notified on form 288a
In the case of a director that is a corporation or a	Name	*Style/Title	LMr
Scottish firm, the name is the			Day Month Year
corporate or firm			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
		Forename(s)	Rupert Francis James Henry
1 (T) 4 1 1 1 1 1 1 1 1 1		Surname	RUVIGNY
†† Tick the box if the address shown is a service address for the		Address ††	38 Chartfield Avenue
beneficiary of a Confidentiality Order			1
granted under section 723B of the Companion Act 1985. Otherwise,		Don't town	London
give your usual residential address.	n j		London
the case of a corporation or Scotti: firm, give the register	sh	County/Region	S W 1 5 6 H J
or principal office address.	-		
			· · · · · · · · · · · · · · · · · · ·
		Nationality	
	Busine	ess occupation	Chief Finance Officer
1			·
			2

Directors Please list the directors * Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a	Name	*Style/Title	Ms
corporation or a Scottish firm, the			Day Month Year
name is the corporate or firm name		Date of birth	1 . 2 . 0 . 2 . 1 . 0 . 6 . 5
		Forename(s)	Deborah Jane
11 T'-1 Ab - b 18 Ab -		Surname	WEEKES
†† Tick the box if the address shown is a service address for th	e	Address ††	Meadow View
beneficiary of a Confidentiality Order]	Kirkham Road
granted under section 723B of the Companie Act 1985. Otherwise,		_ Post town	Horndon on Hill
give your usual residential address. Ir	, ,	county/Region	Essex
the case of a corporation or Scottis firm, give the register	h	UK Postcode	S S 1 7 8 Q E
or principal office address.		Country	
			Reitich
		Nationality	Finance Director
	Busine	ss occupation	
Directors Please list the directors * Voluntary details	in alphabe	tical order	Details of new directors must be notified on form 288a
In the case of a director that is a corporation or a	Name	*Style/Title	
Scottish firm, the name is the			Day Month Year
corporate or firm name		Date of birth	
		Forename(s)	
†† Tick the box if the		Surname	
address shown is a service address for the	ie	Address ††	
beneficiary of a Confidentiality Order granted under section			
723B of the Companie Act 1985. Otherwise, give your usual		Post town	
residential address. In the case of a	່ ເ	County/Region	
corporation or Scottis firm, give the register or principal office	sh		
address.		Country	
	Busine		
	_ ===	·	
		01880176	3

This must only be completed by private and public limited companies that have not traded on a regulated market

Schedule A for private or non-traded public companies List of past and present shareholders

	(Please use a continuation sheet if required)
Company number	01880176
Company name in full	NEW STAR INSTITUTIONAL MANAGERS LIMITED
	1

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all company shareholders on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- > List joint shareholders consecutively

Do not give shareholder address information

	Class and number of	Shares or amount of stock transferred (if appropriate)		
Shareholder's name only	shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer	
Name _{NEW} STAR INSTITUTIONAL MANAGERS HOLDINGS LIMITED	Ordinary 120,000			
Name				
Name				
Name				
Name				
Name				
Name				
Name				
Name		\$ 		