

Please complete in typescript,
or in bold black capitals.

CHFP010

Company Number

1805708

Company Name in full

THE FLEMING TECHNOLOGY TRUST PLC

Date of this return

The information in this return is made up
to

Day Month Year ✓
0 8 1 0 2 0 0 1

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.
Companies House will then send a form at
the appropriate time.

Day Month Year
| | | | | | |

Registered Office

Show here the address at the date of
this return.

FINSBURY DIALS, 20 FINSBURY STREET

Any change of
registered office **must**
be notified on form
287.

Post town

LONDON

County / Region

UK Postcode

EC2Y 9AQ

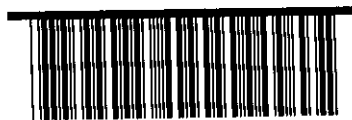
Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal
activity.

6523



A11 0395
COMPANIES HOUSE 14/11/01
A10 02/11/01
COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England and Wales

DX 33050 Cardiff

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Bulk List.

CHASE 15
040919

363a

250 pages.

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

LLOYDS TSB REGISTRARS, THE CAUSEWAY

Post town | WORTHING

County / Region | WEST SUSSEX UK Postcode | BN99 6DA

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Finsbury Dials, 20 Finsbury Street

R.O.

Post town | London

County / Region | UK Postcode | EC2Y 9AY

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

Name

* Style / Title

Forename(s)

Surname

J.P. MORGAN FLEMING ASSET MANAGEMENT (UK) LIMITED

Address

10 ALDERMANBURY

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region | LONDON UK Postcode | EC2V 7RF

Country | UNITED KINGDOM

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____
 Day Month Year

Date of birth 0 9 0 1 1 9 4 9

Forename(s) JOHN PHILIP

Surname DE BLOCQ VAN KUFFELER

Address PARK HOUSE, CHRISHALL

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town NR ROYSTON

County / Region HERTFORDSHIRE UK Postcode SG8 8QS

Country ENGLAND Nationality BRITISH/DUTCH

Business occupation CHAIRMAN OF PROVIDENT FINANCIAL PLC

* Voluntary details.

Name * Style / Title MR
 Day Month Year

Date of birth 0 2 1 0 1 9 4 3

Forename(s) ROBIN WILFRED IAN

Surname LODGE

Address 65 CHEMIN DE SIONNET, 1254 JUSSY

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town GENEVA

County / Region UK Postcode

Country SWITZERLAND Nationality BRITISH

Business occupation INVESTOR

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____
 Day Month Year

Date of birth

1	0	0	3	1	9	4	5
---	---	---	---	---	---	---	---

Forename(s) BARRY MICHAEL

Surname ROSE

Address 8 SPYLAW BANK ROAD, COLINTON

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town EDINBURGH

County / Region _____ UK Postcode EH13 OJP

Country SCOTLAND Nationality British

Business occupation DIRECTOR

* Voluntary details.

Name * Style / Title _____
 Day Month Year

Date of birth

2	4	1	0	1	9	4	6
---	---	---	---	---	---	---	---

Forename(s) JULIAN GEORGE

Surname TREGONING

Address REDWOOD HOUSE, WEST LAVINGTON

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town MIDHURST

County / Region WEST SUSSEX UK Postcode GU29 0EH

Country _____ Nationality BRITISH

Business occupation DIRECTOR

THE FLEMING TECHNOLOGY TRUST PLC

Date
01/11/2001

Register of Directors and Secretaries

Registration No
1805708

Name	ROBIN WILFRED IAN LODGE
Address	65 CHEMIN DE SIONNET, 1254 JUSSY, GENEVA, SWITZERLAND
Folio Ref	LODGERWI

Date of Birth	02/10/1943
Occupation	INVESTOR
Nationality	BRITISH

Current Appointments		Notes
Date Appointed	Appointed As	
20/07/2000	Director	

Other Directorships			
Company Name		Date Appointed	Date Resigned
ARNET		15/09/1995	28/08/1996
AT & T ISTEI VISUAL INTERACTIVE SYSTEMS		04/10/1989	31/07/1996
BAYTREE FOODS LTD		29/04/1991	31/12/1996
BAYTREE INVESTMENTS LTD		02/10/1990	
BAYTREE SERVICES LTD		14/08/1992	
BAYTREE SERVICES SA		30/04/1999	
BRANDON MANAGEMENT LTD		31/08/1995	
CDS GROUP LTD		28/06/1995	30/11/1998
CHEMICAL DESIGN		31/12/1996	31/05/1998
COROLLARY LTD		15/09/1995	28/08/1996
DCS GROUP PLC		27/05/1988	
DISTRIBUTIVE COMPUTER SYSTEMS LTD		31/01/1993	09/05/1996
GENTIA SOFTWARE PLC		31/07/1999	08/01/2001
HGPCA LTD		04/03/1992	
LANNER GROUP LTD		31/07/1996	
PLANNING SCIENCES HOLDINGS LTD		08/11/1993	28/02/1996
PLANNING SCIENCES PLC		01/09/1993	28/02/1996
PROMINENT INDUSTRIES LTD		01/04/1995	31/08/1996
PROMINENT INDUSTRIES TRUSTEE LTD		11/05/1995	31/08/1996
REDSTONE FARMING LTD		21/06/1994	01/07/2001
SPECIALIX EUROPE LTD		15/09/1995	31/08/1996
SPECIALIX LTD		10/02/1992	04/08/1996
SPECIALIX PUBLICATIONS LTD		15/09/1995	31/08/1996
ST JAMES INVESTMENTS INC		01/01/1987	
TENET SYSTEMS LTD		30/12/1988	

THE FLEMING TECHNOLOGY TRUST PLC

Date
01/11/2001

Register of Directors and Secretaries

Registration No
1805708

Name	BARRY MICHAEL ROSE
Address	8 SPYLAH BANK ROAD, COLINTON, EDINBURGH, EH13 0JP, SCOTLAND
Folio Ref	ROSE-BM

Date of Birth	10/03/1945
Occupation	DIRECTOR
Nationality	British

Current Appointments		
Date Appointed	Appointed As	Notes
03/10/2001	Director	

Other Directorships		
Company Name	Date Appointed	Date Resigned
AMICUS LTD		01/08/2001
BAILLIE GIFFORD SHIN NIPPON PLC	01/08/1998	
PEM ASSURANCE LTD		05/08/1997
SCOTTISH PROVIDENT ASSURANCE LTD		05/08/1997
SCOTTISH PROVIDENT INSTITUTION		01/08/2001

THE FLEMING TECHNOLOGY TRUST PLC

Date
01/11/2001

Register of Directors and Secretaries

Registration No
1805708

Name	JULIAN GEORGE TREGONING
Address	REDWOOD HOUSE, WEST LAVINGTON, MIDHURST, WEST SUSSEX, GU29 0EH
Folio Ref	TREGONIN

Date of Birth	24/10/1946
Occupation	DIRECTOR
Nationality	BRITISH

Current Appointments	
Date Appointed	Appointed As
23/12/1991	Director
Notes	

Other Directorships			
Company Name		Date Appointed	Date Resigned
8-10 OLD JEWRY LIMITED		03/08/2001	
ANSA MERCHANT BANK LIMITED		06/09/1995	31/03/1998
AUTIF SERVICES LIMITED		13/07/1993	02/05/1995
CHASE FLEMING PRIVATE WEALTH MANAGEMENT LIMITED		24/04/1986	01/04/1989
EFF SECURITIES LIMITED		14/08/2001	
EXETER FINANCIALS FUND LIMITED		25/07/2001	
FLEMING INVESTMENTS LIMITED - Dissolved 27/06/00			31/03/1988
FLEMING PERSONAL PORTFOLIO MANAGEMENT LIMITED (dissolved 13/2/01)		13/03/1986	31/05/1989
FLEMING UNQUOTED MANAGEMENT LIMITED - Dissolved 27/06/00		29/05/1987	31/03/1989
GROGERS' HALL LIMITED		01/10/1997	01/10/1998
GROGERS' HALL LIMITED		01/10/2000	
HEXAGON ADMINISTRATION SYSTEMS LIMITED		04/04/1990	30/06/1995
J.P. MORGAN FLEMING (FUNDS) LIMITED		01/06/1989	30/06/1995
J.P. MORGAN FLEMING EQUITY PLAN MANAGERS LIMITED		01/10/1989	30/06/1995
J.P. MORGAN FLEMING FUND MANAGEMENT LIMITED		24/02/1995	15/06/1999
J.P. MORGAN FLEMING FUND MARKETING LIMITED		01/04/1988	17/01/1989
J.P. MORGAN FLEMING MARKETING LIMITED		01/06/1989	30/06/1995
J.P. MORGAN FLEMING MARKETING LIMITED		01/06/1989	30/06/1995
LANGFORD STRATEGIC LIMITED		15/09/1999	
LIONTRUST WINNERS INVESTMENT TRUST PLC		16/03/2000	
MELLON BANK (CHANNEL ISLANDS) LIMITED		02/08/2000	
MELLON FUND ADMINISTRATION (DUBLIN) LIMITED		25/04/2000	
MELLON FUND ADMINISTRATION LIMITED		25/04/2000	
MONLOSTAN NOMINEES LIMITED			31/05/1989
ONLINE FINANCE ASSOCIATION		17/08/1999	
ROBERT FLEMING & CO. LIMITED		01/07/1995	15/04/1998

THE FLEMING TECHNOLOGY TRUST PLC

Register of Directors and Secretaries

Date
01/11/2001

Registration No
1805708

Other Directorships

Company Name	Date Appointed	Date Resigned
ROBERT FLEMING ASSET MANAGEMENT LIMITED	01/04/1988	24/05/1989
ROBERT FLEMING INTERNATIONAL LIMITED	15/04/1998	30/09/1998
ROBERT FLEMING MANAGEMENT (BERMUDA) LIMITED	24/06/1992	31/12/1993
ROBERT FLEMING MANAGEMENT (JERSEY) LIMITED	24/06/1992	31/12/1993
SAUL TRUSTEE COMPANY LIMITED	01/10/1999	
SAVE & PROSPER BROKER SERVICES LIMITED(dissolved 11/12/00)	01/04/1992	30/06/1995
SAVE & PROSPER INSURANCE LIMITED	01/10/1989	30/06/1995
SAVE & PROSPER INVESTMENT MANAGEMENT SALES LTD(dissolved 8/12/00)	01/06/1989	31/03/1992
SAVE & PROSPER LINKED INVESTMENT TRUST PLC (liquidated December 1996)	23/12/1991	30/06/1998
ST GEORGE'S COURT (PIMLICO) LIMITED	21/03/1990	
STONE INVESTMENTS (NUMBER 6) LIMITED	01/04/1988	31/05/1989
THE GROCERS' INVESTMENT COMPANY LIMITED	01/10/2000	
THE GROCERS' TRUST COMPANY LIMITED	11/10/1994	

THE FLEMING TECHNOLOGY TRUST PLC

Date
01/11/2001

Register of Directors and Secretaries

Registration No
1805708

Name	JOHN PHILIP DE BLOCC VAN KUFFELER
Address	PARK HOUSE, CHRISHALL, NR ROYSTON, HERTFORDSHIRE, SG8 8QS, ENGLAND
Folio Ref	DEBLJOPH

Date of Birth	09/01/1949
Occupation	CHAIRMAN OF PROVIDENT FINANCIAL PLC
Nationality	BRITISH/DUTCH

Current Appointments		
Date Appointed	Appointed As	Notes
01/12/1997	Director	

Other Directorships			
Company Name		Date Appointed	Date Resigned
CAMPBELL & ARMSTRONG PLC		08/08/1986	09/12/1996
FINSBURY SMALLER COMPANIES TRUST PLC		29/04/1992	17/12/1999
FINSBURY SMALLER COMPANIES TRUST PLC		29/04/1992	17/12/1999
FINSBURY SMALLER QUOTED COMPANIES TRUST PLC		28/06/1999	
FSC INVESTMENTS LIMITED		08/09/2000	
LANCASHIRE & LONDON FINANCE CO. LIMITED		29/04/1992	17/12/1999
MDU INVESTMENTS LTD		22/05/2001	
MEDICAL DEFENCE UNION LIMITED		22/05/2001	
PROVIDENT FINANCIAL PLC		25/10/1991	
VAN KUFFELER & CO. LIMITED		01/10/1991	

1234567890

1234567890

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

13% CUM PREF	15,345,519	£15,345,519.00
ORDINARY	18,522,356	£9,261,178.00
Totals	33,867,875	24,606,697.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☐☒**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

For and on behalf of

THE FLEMING TECHNOLOGY TRUST PLC

J.P. MORGAN FLEMING ASSET MANAGEMENT (UK) LIMITED

Secretary

Date

1/11/01

† Please delete as appropriate.

† a director / secretary

Authorised Signatory

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

5

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

HELEN WALKER JPMORGAN FLEMING ASSET MANAGEMENT (UK)

LTD FINSBURY DIALS, 20, FINSBURY STREET LONDON

EC2Y 9AQ

Tel

0207-742-3453

DX number

DX exchange

Cdrom / disk / fiche / paper

FORM ML8

A

BULK LIST OF SHAREHOLDERS OR MEMBERS

A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN MICROFICHE.

IF YOU WISH TO SEARCH THE LIST, PLEASE ENQUIRE AT THE SEARCH CONTROL COUNTER.

COMPANY NUMBER 1805708