



Companies House

**AR01** (ef)

**Annual Return**



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**X37XFNRV**

*Company Name:* **MITSUBISHI UFJ SECURITIES INTERNATIONAL PLC**

*Company Number:* **01698498**

*Date of this return:* **05/04/2014**

*SIC codes:* **64991**  
**64999**

*Company Type:* **Public limited company**

*Situation of Registered Office:* **ROPEMAKER PLACE 25 ROPEMAKER STREET**  
**LONDON**  
**ENGLAND**  
**EC2Y 9AJ**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Person**  
*Full forename(s):* **RICHARD MICHAEL**

*Surname:* **HOUSE**

*Former names:*

*Service Address:* **ROPEMAKER PLACE, 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Company Director*    ***1***

*Type:*                                **Person**

*Full forename(s):*                **MR MALCOLM ROGER**

*Surname:*                                **AISH**

*Former names:*

*Service Address:*                        **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:*   **ENGLAND**

*Date of Birth:*   **01/03/1945**                                *Nationality:*   **BRITISH**

*Occupation:*     **COMPANY DIRECTOR**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **AKIRA**

*Surname:* **KAMIYA**

*Former names:*

*Service Address:* **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:* **JAPAN**

*Date of Birth:* **16/09/1953**

*Nationality:* **JAPANESE**

*Occupation:* **BANKER**

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*Company Director*    **3**

*Type:*                      **Person**

*Full forename(s):*        **DAVID JOHN**

*Surname:*                **KING**

*Former names:*

*Service Address:*        **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
EC2Y 9AJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **07/06/1968**                      *Nationality:*    **BRITISH**

*Occupation:*    **CHARTERED ACCOUNTANT**

*Company Director* 4

*Type:* **Person**

*Full forename(s):* **ARTHUR HAROLD**

*Surname:* **MAYCOCK**

*Former names:*

*Service Address:* **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **18/12/1951**

*Nationality:* **BRITISH**

*Occupation:* **BANKER**

*Company Director*    **5**

*Type:*                      **Person**

*Full forename(s):*        **MRS DIANE ELIZABETH**

*Surname:*                **MOORE**

*Former names:*

*Service Address:*        **ROPEMAKER 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **20/04/1962**

*Nationality:*    **BRITISH**

*Occupation:*    **BANKER**

*Company Director*    **6**

*Type:*                      **Person**

*Full forename(s):*        **TAKASHI**

*Surname:*                **MORISAKI**

*Former names:*

*Service Address:*        **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:*    **JAPAN**

*Date of Birth:*    **01/01/1955**

*Nationality:*    **JAPANESE**

*Occupation:*    **BANKER**

*Company Director* 7

*Type:* **Person**

*Full forename(s):* **MR SHOJI**

*Surname:* **NAKANO**

*Former names:*

*Service Address:* **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **01/11/1957**

*Nationality:* **JAPANESE**

*Occupation:* **FINANCIAL**

*Company Director* 8

*Type:* **Person**

*Full forename(s):* **NOBUYUKI**

*Surname:* **UCHIDA**

*Former names:*

*Service Address:* **ROPEMAKER PLACE 25 ROPEMAKER STREET  
LONDON  
UNITED KINGDOM  
EC2Y 9AJ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **07/08/1957**

*Nationality:* **JAPANESE**

*Occupation:* **FINANCIAL**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>760611000</b>
		<i>Aggregate nominal value</i>	<b>760611000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>EACH SHARE IS ENTITLED TO ONE VOTE</b>			

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>760611000</b>
		<i>Total aggregate nominal value</i>	<b>760611000</b>

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## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 05/04/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **760610999 ORDINARY shares held as at the date of this return**  
*Name:* **MITSUBISHI UFJ SECURITIES HOLDINGS CO., LTD.**

*Shareholding 2* : **1 ORDINARY shares held as at the date of this return**  
*Name:* **CLIFFORD DE SOUZA**

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## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.