



Companies House
— for the record —

AR01 (ef)

Annual Return



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Company Name: **MITSUBISHI UFJ SECURITIES INTERNATIONAL PLC**

Company Number: **01698498**

Date of this return: **05/04/2012**

SIC codes: **64991**
64999

Company Type: **Public limited company**

Situation of Registered Office: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
ENGLAND
EC2Y 9AJ**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **RICHARD MICHAEL**

Surname: **HOUSE**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Company Director **1**

Type: **Person**

Full forename(s): **MR MALCOLM ROGER**

Surname: **AISH**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **01/03/1945** *Nationality:* **BRITISH**

Occupation: **COMPANY DIRECTOR**

Company Director **2**

Type: **Person**

Full forename(s): **MR RICHARD EDWARD**

Surname: **DAVIES**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **16/06/1965** *Nationality:* **BRITISH**

Occupation: **FINANCIAL**

Company Director 3

Type: **Person**

Full forename(s): **CLIFFORD VINCENT**

Surname: **DE SOUZA**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **USA**

Date of Birth: **27/07/1961** *Nationality:* **UNITED STATES**

Occupation: **PRINCIPAL EXECUTIVE OFFICER**

Company Director 4

Type: **Person**

Full forename(s): **DAVID JOHN**

Surname: **KING**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
EC2Y 9AJ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **07/06/1968** *Nationality:* **BRITISH**

Occupation: **CHARTERED ACCOUNTANT**

Company Director **5**

Type: **Person**

Full forename(s): **MR SHOJI**

Surname: **NAKANO**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **01/11/1957**

Nationality: **JAPANESE**

Occupation: **FINANCIAL**

Company Director **6**

Type: **Person**

Full forename(s): **OLIVER**

Surname: **PAGE**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **29/04/1946** *Nationality:* **BRITISH**

Occupation: **FS CONSULTANT**

Company Director 7

Type: **Person**

Full forename(s): **YASUTAKA**

Surname: **SUEHIRO**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **10/12/1962**

Nationality: **JAPANESE**

Occupation: **BANK OFFICER**

Company Director 8

Type: **Person**

Full forename(s): **TSUTOMU**

Surname: **TANAKA**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **JAPAN**

Date of Birth: **31/08/1949** *Nationality:* **JAPANESE**

Occupation: **SECURITIES BUSINESS**

Company Director **9**

Type: **Person**
Full forename(s): **TAKASHI**

Surname: **UENO**

Former names:

Service Address: **ROPEMAKER PLACE 25 ROPEMAKER STREET
LONDON
UNITED KINGDOM
EC2Y 9AJ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **22/05/1957** *Nationality:* **BRITISH**
Occupation: **FINANCE**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	760611000
		<i>Aggregate nominal value</i>	760611000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0
<i>Prescribed particulars</i>			
EACH SHARE IS ENTITLED TO ONE VOTE			

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	760611000
		<i>Total aggregate nominal value</i>	760611000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 05/04/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **760610999 ORDINARY shares held as at the date of this return**
Name: **MITSUBISHI UFJ SECURITIES HOLDINGS CO., LTD.**

Shareholding 2 : **1 ORDINARY shares held as at the date of this return**
Name: **CLIFFORD DE SOUZA**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.