



Companies House

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **25/09/2015**

**X4GO4NTK**

*Company Name:* **HERMES FUND MANAGERS LIMITED**

*Company Number:* **01661776**

*Date of this return:* **29/08/2015**

*SIC codes:* **64999**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **LLOYDS CHAMBERS  
1 PORTSOKE STREET  
LONDON  
E1 8HZ**

**Officers of the company**

## *Company Secretary 1*

Type: **Person**  
Full forename(s): **SUSAN JANE**

Surname: **CANE**

Former names:

*Service Address recorded as Company's registered office*

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## *Company Director 1*

Type: **Person**  
Full forename(s): **MRS KATHRYN ANN**

Surname: **MATTHEWS**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **22/12/1959** Nationality: **BRITISH**  
Occupation: **INVESTMENT MANAGEMENT**

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## *Company Director*    2

*Type:* **Person**  
*Full forename(s):* **WILLIAM**

*Surname:* **MCCLORY**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **13/11/1950**                      *Nationality:* **BRITISH**

*Occupation:* **TRUSTEE DIRECTOR**

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## *Company Director*    3

*Type:* **Person**  
*Full forename(s):* **MR SAKER ANWAR**

*Surname:* **NUSSEIBEH**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **15/06/1961**                      *Nationality:* **BRITISH**

*Occupation:* **HEAD OF INVESTMENTS**

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*Company Director* 4

Type: **Person**  
Full forename(s): **MR PAUL**

Surname: **SPENCER**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **03/01/1950** Nationality: **BRITISH**

Occupation: **COMPANY DIRECTOR**

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*Company Director* 5

Type: **Person**  
Full forename(s): **MS HARRIET ANNE**

Surname: **STEEL**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **20/07/1965** Nationality: **BRITISH**

Occupation: **COMPANY DIRECTOR**

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## *Company Director* 6

*Type:* **Person**  
*Full forename(s):* **MR DAVID COLDWELLS**

*Surname:* **STEWART**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **10/02/1960** *Nationality:* **BRITISH**

*Occupation:* **NON EXECUTIVE DIRECTOR**

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## *Company Director* 7

*Type:* **Person**  
*Full forename(s):* **MR DAVID KENNETH**

*Surname:* **WATSON**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **09/04/1959** *Nationality:* **BRITISH**

*Occupation:* **COMPANY DIRECTOR**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>A GROWTH SHARES</b>	<i>Number allotted</i>	<b>462</b>
		<i>Aggregate nominal value</i>	<b>462</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF A GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF A GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>B GROWTH SHARES</b>	<i>Number allotted</i>	<b>165</b>
		<i>Aggregate nominal value</i>	<b>165</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF B GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF B GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THEREIN.

<b>Class of shares</b>	<b>E GROWTH SHARES</b>	<i>Number allotted</i>	<b>325</b>
		<i>Aggregate nominal value</i>	<b>325</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF E GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF E GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>F GROWTH SHARES</b>	<i>Number allotted</i>	<b>500</b>
		<i>Aggregate nominal value</i>	<b>500</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE HOLDERS OF F GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF F GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>G GROWTH SHARES</b>	<i>Number allotted</i>	<b>976</b>
		<i>Aggregate nominal value</i>	<b>976</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE HOLDERS OF G GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF G GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>H GROWTH SHARES</b>	<i>Number allotted</i>	<b>325</b>
		<i>Aggregate nominal value</i>	<b>325</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE HOLDERS OF H GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF H GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>J GROWTH SHARES</b>	<i>Number allotted</i>	<b>707</b>
		<i>Aggregate nominal value</i>	<b>707</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE HOLDERS OF J GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF J GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>K GROWTH SHARES</b>	<i>Number allotted</i>	<b>1000</b>
		<i>Aggregate nominal value</i>	<b>1000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

THE HOLDERS OF K GROWTH SHARES ARE NOT ENTITLED TO RECEIVE NOTICE OF GENERAL MEETINGS OF THE COMPANY, NOR TO ATTEND, SPEAK OR VOTE AT THE SAME. THE HOLDERS OF K GROWTH SHARES SHALL BE ENTITLED TO RECEIVE A DIVIDEND IN ACCORDANCE WITH PROVISIONS OF THE ARTICLES OF ASSOCIATION AND SHALL BE SUBJECT TO THE RIGHTS AND RESTRICTIONS CONTAINED THERIN.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>52458000</b>
		<i>Aggregate nominal value</i>	<b>52458000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

OTHER THAN THE RIGHT TO ITS OWNER TO SHARE IN THE PROFITS OF THE COMPANY (DIVIDENDS) AND TO VOTE AT GENERAL MEETINGS OF THE COMPANY THERE ARE NO OTHER RIGHTS ATTACHED TO THIS SHARE CLASS.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>52462460</b>
		<i>Total aggregate nominal value</i>	<b>52462460</b>

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## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 29/08/2015 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **462 A GROWTH SHARES shares held as at the date of this return**  
*Name:* **BRITEL FUND TRUSTEES LTD**

*Name:* **KLEINWORT BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 2* : **0 A GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORT BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 3* : **165 B GROWTH SHARES shares held as at the date of this return**



*Name:* **KLEINWORT BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 4* : **325 E GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORT BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 5* : **976 G GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORT BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 6* : **52458000 ORDINARY shares held as at the date of this return**  
*Name:* **KLEINWORD BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 7* : **325 H GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORD BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 8* : **707 J GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORD BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 9* : **500 F GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORD BENSON (JERSEY) TRUSTEES LIMITED**

*Shareholding 10* : **1000 K GROWTH SHARES shares held as at the date of this return**  
*Name:* **KLEINWORD BENSON (JERSEY) TRUSTEES LIMITED**

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.



Companies House

**COMPANY NAME: HERMES FUND MANAGERS LIMITED**

**COMPANY NUMBER: 01661776**

**A second filed AR01 was registered on 03/02/2016**