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72x4  
683687

**363a**

# Annual Return

**Company Number**

1653117

**Company Name in full**

NatWest Futures Limited



\* F 3 6 3 A C 5 0 \*

**Date of this return** (See note 1)

The information in this return is made up to

Day Month Year

15 03 96

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

15 03 97

**Registered Office** (See note 3)

Show here the address at the date of this return.

Any change of registered office **must** be notified on form 287.

Post town

County / Region

Postcode

135 Bishopsgate

London

EC2M 3UR

**Principal business activities**

(See note 4)

Show trade classification code number(s) for the principal activity or

8629

If the code number cannot be determined, give a brief description of principal

Trading as a financial futures and traded options broker



A01 \*AHGC9L1E\* 542

COMPANIES HOUSE 26/04/96

COMPANIES HOUSE 10/04/96

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is

Post town

County / Region

Postcode

**Register of Debenture holders**

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

Postcode

**Company type**

(See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name \*Style / Title

Forename(s)

Surname

Previous Forename(s)

Previous Surname

Address

**Usual residential**

**address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

\*Honours etc

Sally Elizabeth

Lewis

5 Fellows Road

London

Postcode

NW3 3LR

**Directors** (see notes 1-5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

<b>Name</b>	*Style / Title				
	*Honours etc	Date of birth	Day <b>19</b>	Month <b>08</b>	Year <b>62</b>
	Forename(s)	<b>Francis</b>			
	Surname	<b>Amman</b>			
	Previous forename(s)				
	Previous surname(s)				
<b>Address</b>	<b>68 Tantallon Road</b>				
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address					
	Post town	<b>London</b>			
	County / Region		Postcode	<b>SW12 8DH</b>	
	Country		Nationality	<b>British</b>	
<b>Business occupation</b>	<b>Banker</b>				
<b>Other directorships</b>	<b>See continuation sheet</b>				

\* Voluntary details.

<b>Name</b>	*Style / Title				
	*Honours etc	Date of birth	Day <b>03</b>	Month <b>07</b>	Year <b>68</b>
	Forename(s)	<b>Shaun St John Cheverton</b>			
	Surname	<b>Cutler</b>			
	Previous forename(s)				
	Previous surname(s)				
<b>Address</b>	<b>Trinity Cottage</b>				
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address	<b>Upper Church Street</b>				
	Post town	<b>Stokenchurch</b>			
	County / Region	<b>Buckinghamshire</b>	Postcode	<b>HP14 3UR</b>	
	Country		Nationality	<b>British</b>	
<b>Business occupation</b>	<b>Director of Options and Futures</b>				
<b>Other directorships</b>	<b>None</b>				

**Issued share capital** (see note 9)  
Enter details of all the shares in issue  
at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares Issued	Aggregate Nominal value (i.e. Number of shares issued multiplied by nominal value per share)
Ordinary Shares	1,250,000	£1,250,000.000
<b>Totals</b>	<b>1,250,000</b>	<b>£1,250,000.000</b>

### List of past and present members

(Use attached schedule where appropriate)

There were no changes in the period

☐

A full list is required if one was not  
included with either of the last two

(see note 10)

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐

### Elective resolutions

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with  
annual general meetings, mark this box

☒


If at the date of this return an election is in force to dispense with  
laying accounts in general meetings, mark this box

☒

### Certificate

I certify that the information given in this return is true to the best of my  
knowledge and belief.

Signed



Date

9/4/96

† Please delete as appropriate.

~~† a director~~/secretary

When you have signed the return send it  
with the fee to the Registrar of Companies.  
Cheques should be made payable to  
**Companies House.**

This return includes

5

(enter number)

continuation sheets

Please give the name, address,  
telephone number, and if  
available, a DX number and  
Exchange, for the person  
Companies House should contact  
if there is any query

**Company Secretary**  
**NatWest Futures Limited**  
**135 Bishopsgate**  
**London**  
**EC2M 3UR**

**Directors** (continued)**Details of new directors must be notified on form 288a**

<b>Name</b>	*Style / Title				
	*Honours etc	Date of birth	Day <b>18</b>	Month <b>08</b>	Year <b>46</b>
	Forename(s)	<b>Stephan LEFAN</b>			
	Surname	<b>Harris</b>			
	Previous forename(s)				
	Previous surname(s)				
<b>Address</b>	<b>41 Eaton Terrace</b>				
	Post town	<b>London</b>			
	County / Region		Postcode	<b>SW1W 8SP</b>	
	Country		Nationality	<b>American</b>	
	<b>Business occupation</b>	<b>Banker</b>			
	<b>Other directorships</b>	<b>None</b>			

\* Voluntary details.

<b>Name</b>	*Style / Title				
	*Honours etc	Date of birth	Day <b>07</b>	Month <b>12</b>	Year <b>53</b>
	Forename(s)	<b>Johan Christiaan</b>			
	Surname	<b>Hattingh</b>			
	Previous forename(s)				
	Previous surname(s)				
<b>Address</b>	<b>7 Nightingale Close</b>				
	Post town	<b>Radlett</b>			
	County / Region	<b>Herts</b>	Postcode	<b>WD7 8NT</b>	
	Country		Nationality	<b>British</b>	
	<b>Business occupation</b>	<b>Investment banker</b>			
	<b>Other directorships</b>	<b>See continuation sheet</b>			

**Directors** (continued)

Details of new directors must be notified on form 288a

<b>Name</b>	*Style / Title			
	*Honours etc	Date of birth		
		Day	Month	Year
		20	07	60
Forename(s)		William Fredrick		
Surname		Knottenbelt		
Previous forename(s)				
Previous surname(s)				
<b>Address</b>		64 Lessar Avenue		
Post town		London		
County / Region		Postcode	SW4 9HQ	
Country		Nationality	British	
Business occupation		Banker		
Other directorships		None		

\* Voluntary details.

<b>Name</b>	*Style / Title			
	*Honours etc	Date of birth		
		Day	Month	Year
		18	09	48
Forename(s)		Brian Stewart		
Surname		Larkman		
Previous forename(s)				
Previous surname(s)				
<b>Address</b>		15 Abbey Fields		
		East Hanningfield		
Post town		Chelmsford		
County / Region		Postcode	CM3 8XB	
Country		Nationality	British	
Business occupation				
Other directorships		None		

**Directors** (continued)**Details of new directors must be notified on form 288a**

<b>Name</b>	*Style / Title									
	*Honours etc	ACIB	Date of birth	<table border="1"> <tr> <td>Day</td> <td>Month</td> <td>Year</td> </tr> <tr> <td>17</td> <td>04</td> <td>52</td> </tr> </table>	Day	Month	Year	17	04	52
Day	Month	Year								
17	04	52								
	Forename(s)	John Reginald								
	Surname	Retter								
	Previous forename(s)									
	Previous surname(s)									
<b>Address</b>	3 Huntsmans Close									
<i>Usual residential address</i> must be given. In the case of a corporation, give the registered or principal office address										
	Post town	Warlingham								
	County / Region	Surrey	Postcode	CR6 9JU						
	Country		Nationality	British						
<b>Business occupation</b>	Investment Banker									
<b>Other directorships</b>	None									

\* Voluntary details.

<b>Name</b>	*Style / Title									
	*Honours etc		Date of birth	<table border="1"> <tr> <td>Day</td> <td>Month</td> <td>Year</td> </tr> <tr> <td>25</td> <td>11</td> <td>59</td> </tr> </table>	Day	Month	Year	25	11	59
Day	Month	Year								
25	11	59								
	Forename(s)	Victoria Ann								
	Surname	Ward								
	Previous forename(s)									
	Previous surname(s)									
<b>Address</b>	40 Aberdeen Road									
<i>Usual residential address</i> must be given. In the case of a corporation, give the registered or principal office address										
	Post town	London								
	County / Region		Postcode	N5 2XD						
	Country	England	Nationality	British						
<b>Business occupation</b>	Banker									
<b>Other directorships</b>	See continuation sheet									

# Directors (continued)

Details of new directors must be notified on form 288a

<b>Name</b>	*Style / Title			
	*Honours etc			
		Date of birth	Day <b>29</b>	Month <b>01</b>
			Year <b>49</b>	
Forename(s)	<b>Philip John Surtees</b>			
Surname	<b>Wise</b>			
Previous forename(s)				
Previous surname(s)				
<b>Address</b>	<b>15 Walpole Road</b>			
Post town	<b>Surbiton</b>			
County / Region	<b>Surrey</b>	Postcode	<b>KT6 6BU</b>	
Country		Nationality	<b>British</b>	
<b>Business occupation</b>	<b>Senior Managing Director, Global Capital Markets</b>			
<b>Other directorships</b>	<b>See continuation sheet</b>			

\* Voluntary details.

<b>Name</b>	*Style / Title			
	*Honours etc			
		Date of birth	Day <b>30</b>	Month <b>01</b>
			Year <b>56</b>	
Forename(s)	<b>Philip James</b>			
Surname	<b>Young</b>			
Previous forename(s)				
Previous surname(s)				
<b>Address</b>	<b>29 Kings Road</b>			
	<b>Ealing</b>			
Post town	<b>London</b>			
County / Region		Postcode	<b>W5 2SD</b>	
Country		Nationality	<b>British</b>	
<b>Business occupation</b>	<b>Investment Banker</b>			
<b>Other directorships</b>	<b>See continuation sheet</b>			



**Other directorships**

**Company Number**

1653117

**Company Name**

NatWest Futures Limited

Other Directorships For Francis Amman

NatWest Futures Inc.

Other Directorships For Johan Christiaan Hattingh

County NatWest Securities Japan (Holdings) Limited

Other Directorships For Victoria Ann Ward

Futures and Options Association

LIFFE Adminstration & Management

LIFFE Holdings Plc

Other Directorships For Philip John Surtees Wise

HDFC Bank Limited

Other Directorships For Philip James Young

County NatWest Securities Japan (Holdings) Limited

**List of past and present members**  
**Schedule to form 363a and 363b**

**Company Number**

**1653117**

**Company Name in full**

**NatWest Futures Limited**

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since the date of the last return (or in the case of the first return, since the incorporation of the company) by

- (a) persons who are still members, and
- (b) persons who have ceased to be members.

[illegible]