

NW 765325  
£105 x 37

# 363a

## Annual Return

Company Number 1653117

Company Name in full NatWest Futures Limited



\* F 3 6 3 A C 5 0 \*

**Date of this return** (See note 1)

The information in this return is made up to

Day Month Year

15 03 97

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

01 03 98

**Registered Office** (See note 3)

Show here the address at the date of this return.

Any change of registered office must be notified on form 287.

Post town

County / Region

Postcode

135 Bishopsgate

London

EC2M 3UR

**Principal business activities**

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

8629

If the code number cannot be determined, give a brief description of principal activity.



KLO \*KCBS0UQJ\* 921  
COMPANIES HOUSE 10/04/97

When you have completed and signed the form please send it to  
**Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

Postcode

**Register of Debenture holders**

(See note 6)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

Postcode

**Company type** (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name \* Style / Title

Forename(s)

Surname

\* Voluntary details. Previous forename(s)

Previous surname(s)

Address

**Details of a new company secretary must be notified on form 288a.**

\* Honours etc

Sally Elizabeth

Lewis

5 Fellows Road

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town  
County / Region  
Country

London

Postcode NW3 3LR

**Directors** (See notes 1-5)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	20	07	60
	Forename(s)	William Fredrick						
	Surname	Knottenbelt						
	Previous forename(s)							
	Previous surname(s)							
<b>Address</b>		64 Lessar Avenue ✓						
	Post town	London						
	County / Region		Postcode	SW4 9HQ				
	Country		Nationality	British				
	<b>Business occupation</b>	Banker						
	<b>Other directorships</b>	None						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	12	02	57
	Forename(s)	Philip Alfred							
	Surname	Lotz							
	Previous forename(s)								
	Previous surname(s)								
<b>Address</b>		Merrybrook ✓							
		Granville Road							
		St George's Hill							
	Post town	Weybridge							
	County / Region	Surrey	Postcode	KT13 0QQ					
	Country	England	Nationality	American					
	<b>Business occupation</b>	Company Director							
	<b>Other directorships</b>	(See continuation sheet).							

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share)

Ordinary Shares	1,250,000	£1,250,000.00
<b>Totals</b>	<b>1,250,000</b>	<b>£1,250,000.00</b>

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period



on paper

in another format

A list of changes is enclosed



A full list of members is enclosed

**Elective resolutions**

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

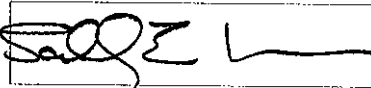


If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

10/4/97

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

2

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

**Company Secretary**  
**NatWest Futures Limited**  
**135 Bishopsgate**  
**London**  
**EC2M 3UR**

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>				<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>* Honours etc</b>				<b>Date of birth</b>	<b>29</b>	<b>01</b>	<b>49</b>
	<b>Forename(s)</b>	<b>Philip John Surtees</b>						
	<b>Surname</b>	<b>Wise</b>						
	<b>Previous forename(s)</b>							
	<b>Previous surname(s)</b>							
<b>Address</b>	<b>15 Walpole Road</b>							
	<b>Post town</b>	<b>Surbiton</b>						
	<b>County / Region</b>	<b>Surrey</b>	<b>Postcode</b>	<b>KT6 6BU</b>				
	<b>Country</b>		<b>Nationality</b>	<b>British</b>				
	<b>Business occupation</b>	<b>Senior Managing Director, Global Capital Markets</b>						
	<b>Other directorships</b>	<b>None</b>						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>				<b>Day</b>	<b>Month</b>	<b>Year</b>
		<b>* Honours etc</b>				<b>Date of birth</b>		
		<b>Forename(s)</b>						
		<b>Surname</b>						
		<b>Previous forename(s)</b>						
		<b>Previous surname(s)</b>						
	<b>Address</b>							
		<b>Post town</b>						
		<b>County / Region</b>		<b>Postcode</b>				
		<b>Country</b>		<b>Nationality</b>				
	<b>Business occupation</b>							
	<b>Other directorships</b>							

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Company Number 1653117

Company Name in full NatWest Futures Limited

Current directorships for Philip Alfred Lotz

County NatWest Securities Japan (Holdings) Limited