

Charity Registration No. 285368
Company Registration No. 1638962

RIX-THOMPSON-ROTHENBERG FOUNDATION

FINANCIAL STATEMENTS

31 DECEMBER 2008

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RIX-THOMPSON-ROTHENBERG FOUNDATION

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RIX-THOMPSON-ROTHENBERG FOUNDATION

GOVERNORS AND PROFESSIONAL ADVISORS

Registered charity number	285368
Registered company number	1638962
Governors	Lord Rix CBE DL - Chairman Sir Anthony S. Jolliffe GBE Joss Nangle Walter David Rothenberg - Treasurer Loretto Lambe Fred Heddell CBE Barrie Davis Jonathan Rix Brian Baldock CBE
Company secretary	Loretto Lambe
Registered office	12 York Gate Regent's Park London NW1 4QS
Principal address	White Top Research Unit Springfield House 15/16 Springfield University of Dundee Dundee DD1 4JE
Reporting accountant	Susan Field Limited Neptune House 70 Royal Hill London SE10 8RF
Bankers	CafCash Ltd Kings Hill West Malling Kent ME19 4TA
Solicitors	Portrait Solicitors 1 Chancery Lane London W2A 1LF
Investment advisors	Charles Stanley & Co Ltd 25 Luke Street London EC2A 4AR

RIX-THOMPSON-ROTHENBERG FOUNDATION

REPORT OF THE BOARD OF GOVERNORS FOR THE YEAR ENDED 31 DECEMBER 2008

The governors of the company, who are trustees and directors for the purposes of charity law, present their report and financial statements for the year ended 31 December 2008. The financial statements have been prepared in accordance with current statutory requirements, the Statement of Recommended Practice 'Accounting and Reporting by Charities' (SORP 2005) and the Foundation's governing instrument. The previous accounting period was for the year ended 31 December 2007.

Reference and administrative information

The Rix-Thompson-Rothenberg Foundation is registered as a charity with the Charity Commission, registration number 285368.

The governors in office during the year and at the date of this report, together with the address of the Foundation's registered office, are set out on page 1.

Structure, governance and management

The Mencap City Foundation was incorporated as a company limited by guarantee, not having a share capital. By a special resolution dated 14th December 2005 the Mencap City Foundation changed its name to Rix-Thompson-Rothenberg Foundation.

The Foundation Council has the power to appoint new governors. The governors in office were either appointed under the memorandum and articles of association dated 25th March 1982 or were appointed by the Foundation Council. Vacancies are filled by nomination and elected by a simple majority.

The current governors are responsible for the induction of any new governors which involves awareness of a governor's responsibilities, the governing document, administrative procedures and the history of the Foundation. A new governor would receive copies of the previous year's annual report and accounts.

The Foundation maintains an administration office in the University of Dundee with a seconded part-time secretary who assists with the vetting of grant applications and other administrative matters.

The governors examine the major risks that the Foundation faces every financial year and have developed systems to monitor and control these risks to mitigate any impact they may have on the Foundation in the future.

Objectives and activities

The Foundation is dedicated to supporting projects connected with the care, education, training, development and leisure activities of people with a learning disability.

It makes grants to a variety of organisations which aim to benefit people with a learning disability and their carers. A special emphasis is given to grants that will enhance opportunity and lifestyle.

The Board of Governors make grant making and policy decisions.

Relationships

The Foundation maintains a close relationship with the Baily Thomas Charitable Fund which gives it substantial donations towards the annual grant-making activity.

Achievements and performance

During the year the Foundation made 30 (2007: 26) grants totalling £97,510 (2007: £123,975) listed in note 4 of the financial statements, and all were made in accordance with the Foundation's constitution.

In the year the Foundation received grants and donations totalling £75,626 (2007: £75,788) and legacies of £Nil (2007: £9).

Financial review

The net incoming resources for the year amounted to £16,567 (2007: outgoing £10,756). At 31 December 2008 the Foundation had net assets of £1,215,804 (2007: £1,460,085) which was comprised of £223,945 (2007: £222,424) in the Care fund and £991,859 (2007: £1,237,661) in the General fund.

The principal funding sources of the Foundation are income received from its investment portfolio, legacies, and donations received from charitable organisations and individuals.

Investment policy and reserves

The Foundation's assets are invested in accordance with the powers available to the governors as set out in the memorandum and articles of association. There are no restrictions on the Foundation's power to invest and the

RIX-THOMPSON-ROTHENBERG FOUNDATION

REPORT OF THE BOARD OF GOVERNORS FOR THE YEAR ENDED 31 DECEMBER 2008

investment strategy is agreed between the governors and the investment advisors and is regularly reviewed. The governors are seeking to secure a good income return without the erosion of capital.

The current investment strategy which has been agreed by the investment advisor and the governors, is for the funds available for investment to be invested in unit trusts consisting of managed charitable units trusts, fixed interest and charitable property investments.

The market value of the investments held at 31 December 2008 was £881,182 (2007: £1,140,796). As at 31 December 2008 the investment portfolio was revalued which generated unrealised losses of £260,848 (2007: £46,956).

The governors are mindful of the necessity to maintain reserves at a level which enables them to make grants solely from income so that the capital represented by these investments remains intact. In the light of this policy on reserves the financial risk to which the Foundation is exposed arises from the fluctuations in the value of investments as a result of movement in the stock market. The portfolio is kept under review by the governors at their meetings.

Future plans

The governors intend to continue making grants to charitable institutions particularly those operating in the field of benefiting people with a learning disability and their carers. Future donations will be made from the annual income of the Foundation.

Governors' responsibilities

Charity law requires the Board of Governors to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the company and of its financial activities for that year. In preparing those financial statements, the governors are required to:

- select suitable accounting policies and then apply them consistently;
- make judgements and estimates that are reasonable and prudent;
- state whether the policies are in accordance with the requirements of the Statement of Recommended Practice 'Accounting and Reporting by Charities' (SORP 2005) and with applicable accounting standards, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the Foundation will continue in operation.

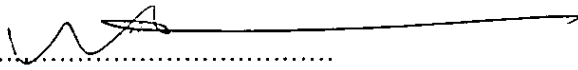
The Board of Governors is responsible for keeping proper accounting records, which disclose with reasonable accuracy at any time the financial position of the Foundation and to enable them to ensure that the financial statements comply with the Companies Act 1985 and the Charities Act 1993. They are also responsible for safeguarding the assets of the Foundation and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

Reporting accountants

Susan Field was appointed as reporting accountants to the Foundation and has expressed her willingness to continue in that capacity. A resolution to that effect will be proposed at the next meeting of the governors.

Advantage is taken in preparation of the Board of Governors report of the special exemptions applicable to small companies conferred by Section 246, Companies Act 1985.

For and on behalf of the Board of Governors:


.....
Walter David Rothenberg
17th JUNE 2009

RIX-THOMPSON-ROTHENBERG FOUNDATION

ACCOUNTANTS' REPORT TO THE MEMBERS ON THE UNAUDITED ACCOUNTS OF RIX-THOMPSON-ROTHENBERG FOUNDATION

We report on the financial statements for the year ended 31 December 2008 set out on pages 5 to 13.

This report is made solely to the charity's members, as a body, in accordance with section 249 of the Companies Act 1985. Our work has been undertaken so that we might state to the charity's members those matters we are required to state to them in this report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's members as a body, for our work, for this report, or for the opinions we have formed.

Respective responsibilities of trustees and reporting accountants

As described on page 3, the members are responsible for the preparation of the financial statements and they consider that the charity is exempt from an audit. It is our responsibility to carry out procedures designed to enable us to report our opinion.

Basis of opinion

Our work was conducted in accordance with the Statement of Standards for Reporting Accountants, and so our procedures consisted of comparing the financial statements with the accounting records kept by the charity, and making such limited enquiries of the members of the charity as we considered necessary for the purpose of this report. These procedures provided only the assurance expressed in our opinion.

Opinion

In our opinion:

- (a) the financial statements are in agreement with the accounting records kept by the company under section 221 of the Companies Act 1985; and
- (b) having regard only to and on the basis of, the information contained in those accounting records:
 - (i) the financial statements have been drawn up in a manner consistent with the accounting requirements specified in section 249C(6) of the Act; and
 - (ii) the company satisfied the conditions for exemption from an audit of the financial statements for the year specified in section 249A(4) of the Act as modified by section 249A(5) and did not, at any time during the year, fall within any of the categories of companies not entitled to the exemptions specified in section 249B(1).

Neptune House
70 Royal Hill
London SE10 8RF


Susan Field
Susan Field Limited
Chartered Accountant

13 July 2009

RIX-THOMPSON-ROTHENBERG FOUNDATION

STATEMENT OF FINANCIAL ACTIVITIES (INCLUDING INCOME & EXPENDITURE ACCOUNT) FOR THE YEAR ENDED 31 DECEMBER 2008

	Note	Unrestricted funds General fund	Care fund	Total 2008	Audited Total 2007
		£	£	£	£
Incoming resources					
Incoming resources from generated funds					
Investment income	3	55,432	10,238	65,670	63,518
Voluntary income	3	75,626	-	75,626	75,797
Total incoming resources		131,058	10,238	141,296	139,315
Resources expended					
Costs of generating funds					
Investment management fees		(1,805)		(1,805)	(1,122)
Charitable activities	4	(93,230)	(4,280)	(97,510)	(123,975)
Governance costs	5	(20,977)	(4,437)	(25,414)	(24,974)
Total resources expended		(116,012)	(8,717)	(124,729)	(150,071)
Net income/(expenditure) for the year		15,046	1,521	16,567	(10,756)
Net income/(outgoing) resources before other recognised gains/(losses)		15,046	1,521	16,567	(10,756)
Other recognised gains/(losses)					
Unrealised losses on investment assets		(260,848)	-	(260,848)	(46,956)
Net movement in funds		(245,802)	1,521	(244,281)	(57,712)
Reconciliation of funds					
Fund balances brought forward		1,237,661	222,424	1,460,085	1,517,797
Fund balances carried forward	Page 6	991,859	223,945	1,215,804	1,460,085

RIX-THOMPSON-ROTHENBERG FOUNDATION

BALANCE SHEET AS AT 31 DECEMBER 2008

	Note	2008		Audited 2007	
		£	£	£	£
Fixed assets					
Investments	8		881,182		1,140,796
Current assets					
Debtors	9		-		3,600
Cash at bank and in hand			357,895		327,086
			<u>357,895</u>		<u>330,686</u>
Creditors: amounts falling due within one year	10		<u>(23,273)</u>		<u>(11,397)</u>
Net current assets			<u>334,622</u>		<u>319,289</u>
Total net assets			<u><u>1,215,804</u></u>		<u><u>1,460,085</u></u>
Represented by:					
Total charity funds					
Unrestricted funds:					
General fund	11		991,859		1,237,661
Care fund	11		223,945		222,424
			<u><u>1,215,804</u></u>		<u><u>1,460,085</u></u>
	Page 5				

The notes on the following page form part of this Balance Sheet.

RIX-THOMPSON-ROTHENBERG FOUNDATION

BALANCE SHEET (continued) AS AT 31 DECEMBER 2008

For the financial year ended 31st December 2008, the charity is entitled to exemption from the requirement to have an audit under the provisions of section 249A(2) of the Companies Act 1985 and no notice has been deposited with the charity under section 249B(2) of that Act requiring an audit to be carried out.


The trustees acknowledge their responsibilities for:

- ensuring the company keeps accounting records which comply with section 221 Companies Act 1985; and
- preparing financial statements which give a true and fair view of the state of affairs of the charity at the end of the financial period and of its incoming resources and applications of resources for that period, in accordance with the requirements of section 226 Companies Act 1985, and which otherwise comply with the requirements of that Act relating to accounts so far as they are applicable to the charity.

The financial statements, which have been prepared in accordance with the special provisions of part VII of the Companies Act 1985 applicable to small companies, were approved by the Board of Governors on 17th JUNE 2009 and signed on their behalf by:



.....
Lord Rix CBE DL



.....
Walter David Rothenberg

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

1 Accounting policies

1.1 Basis of preparation

The financial statements have been prepared under the historical cost convention basis, with the exception of investments that are included at market value. The financial statements have been prepared in accordance with the Companies Act 1985, Statement of Recommended Practice (SORP 2005) 'Accounting and Reporting by Charities' issued in March 2005, applicable UK Accounting Standards and the Charities Act 1993.

1.2 Assets

Asset for use by the Foundation are written off in the year of purchase.

1.3 Quoted investments

Quoted investments are included in the financial statements at market value at the end of the year.

1.4 Income and Expenditure

Income and expenditure is accounted for on an accruals basis with the exception of donations, gifts and incoming grants which are accounted for on a receipts basis.

Governance costs are those incurred in connection with the administration of the Foundation and compliance with constitutional and statutory requirements. Resources expended include attributable value added tax which cannot be recovered.

1.5 Unrestricted funds

Unrestricted funds are funds which are available for the discretion of the governors in furtherance of the general objectives of the Foundation and which have not been designated for other purposes.

The Care fund is used for the benefit of individuals rather than organisations and is funded from the General fund as necessary.

1.6 Investment gains and losses

Realised gains and losses on investments comprise the difference between proceeds and market value at the latest balance sheet date or subsequent cost. Unrealised gains and losses comprise the adjustment required to state investments at their market value at the balance sheet date.

1.7 Cashflow statement

The financial statements do not include a cashflow statement because the Foundation, as a small reporting entity, is exempt from the requirement to prepare such a statement under Financial Reporting Standard 1 "Cash flow statements".

1.8 Taxation

The Foundation is a charity within the meaning of section 506 (1) of the Taxes Act 1988. Accordingly the Foundation is potentially exempt from taxation in respect income or capital gains received within categories covered by Section 505 of the Taxes Act 1988 or Section 256 of the Taxation of Chargeable Gains Act 1992 to the extent that such income or gains are applied to exclusively charitable purposes.

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

2 Nature and purpose of funds

Care fund

The purpose of this fund is to receive legacies which are specifically designated for the care of disabled individuals.

Unrestricted fund

This fund is held by the trustees with the primary object of supporting the charitable aims and activities of the Rix-Thompson-Rothenberg Foundation.

3 Incoming resources	2008	Audited 2007
	£	£
UK income		
Investment income		
Income from listed investments	49,477	48,664
Bank and broker interest	16,193	14,854
	<u>65,670</u>	<u>63,518</u>
Voluntary income		
Donations and gifts received	75,626	75,788
Legacies received	-	9
	<u>75,626</u>	<u>75,797</u>

4 Grants payable	Care fund	General fund	Total 2008	Audited Total 2007
	£	£	£	£
Grants awarded during the year comprise:				
Accessible Arts & Media	-	4,000	4,000	-
Art + Power	-	2,500	2,500	-
Artslink Central Limited	-	4,000	4,000	-
Avalon	-	-	-	4,000
Blue Apple Theatre	-	3,500	3,500	-
Brikiln Dunstall Gateway Club	-	1,700	1,700	-
The Bruce Trust	-	3,250	3,250	-
The Business Course Project	-	4,000	4,000	-
Centre 404	-	5,000	5,000	-
Clydebank Special Needs Forum	-	-	-	4,000
Colchester Gateway Clubs	-	4,000	4,000	-
Domicilliary Care	1,000	-	1,000	2,000
Down's Syndrome Association	-	-	-	6,600
Drake Music Project	-	-	-	6,000
Balance carried forward	<u>1,000</u>	<u>31,950</u>	<u>32,950</u>	<u>22,600</u>

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

4 Grants payable	Care fund	General fund	Total 2008	Audited Total 2007
	£	£	£	£
Balance brought forward	1,000	31,950	32,950	22,600
Drumatik Drum Group	-	-	-	5,000
Dundee Independent Advocacy Service	-	5,000	5,000	-
Earthbeat Limited	-	-	-	4,000
The Ebbisham Association	-	-	-	4,000
Enable Ability	-	4,000	4,000	-
Faculty of Health and Social Care Sciences	-	-	-	6,000
Family Carers Info Project	-	3,500	3,500	-
Ferland Mencap	-	-	-	5,900
Fife Carers Centre	-	-	-	6,000
Firebrand Theatre Limited	-	3,500	3,500	-
Freefall Dance Company	-	3,000	3,000	-
Friends of Humberston Park Special School	-	-	-	6,000
Funeral expenses	2,500	-	2,500	-
The Guildhall Trust	-	-	-	5,000
Half Moon Young People's Theatre Limited	-	4,000	4,000	-
InnerSense	-	-	-	5,500
Linden Lodge Charitable Trust	-	-	-	5,275
Llandovery Theatre Company	-	4,000	4,000	-
No Handbags Theatre	-	-	-	4,000
The Norman Laud Association	-	4,000	4,000	-
Oswestry & District Mencap	-	1,000	1,000	-
Outside In Pathways Limited	-	1,750	1,750	-
Oxford Film & Video Makers Limited	-	4,000	4,000	-
Pro Corda Trust	-	-	-	5,600
PAMIS	-	-	-	6,500
Panathalon Foundation	-	-	-	4,000
PMLD-Link	-	4,000	4,000	-
Project Art Works	-	-	-	4,000
Quondam	-	4,000	4,000	-
Rocket Artists Group	-	4,800	4,800	-
Royal Mencap Society	-	2,000	2,000	-
Scottish Consortium for Learning Disability	-	-	-	4,000
Sheffield Mencap & Gateway	-	-	-	4,000
Special Olympics – Northern Region	-	4,000	4,000	-
Soundabout	-	-	-	5,000
University of St Andrews	-	-	-	-
Department of Psychology	-	-	-	5,600
Work Start Project	-	4,000	4,000	-
Yorkshire Power Lifting Association	-	-	-	6,000
Other donations less than £1,000	780	730	1,510	-
	4,280	93,230	97,510	123,975

All the above donations in the General fund were made to charitable institutions. The donation from the Care fund were made to individuals.

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

5 Governance costs	2008	Audited 2007
	£	£
Administration	15,643	14,661
Dividend collection charges	406	951
Accountancy fees	5,750	5,875
Reporting accountant's fees	2,350	2,468
Bank charges	10	10
General expenses	1,255	1,009
	<u>25,414</u>	<u>24,974</u>

6 Employees

Rix-Thompson-Rothenberg Foundation does not have any direct employees.

The administration relates to an employee of the University of Dundee who is seconded to the Rix-Thompson-Rothenberg Foundation. The Foundation made a contribution during the year in respect of this employee to the University of Dundee of £15,643 (2007: £14,661). The Foundation reimbursed expenses to this employee during the year of £882 (2007: £645).

7 Governors and related party transactions

None of the governors received any remuneration from the Foundation during this year or the previous year. Travel and subsistence expenses totalling £1,255 (2007: £1,009) were reimbursed to the governors during the year.

Fees of £5,750 (2007: £5,875) have been provided as due to Blick Rothenberg, of which firm Walter David Rothenberg is a partner.

Lord Rix CBE DL and Mr Brian Baldock are chairman and President of the Royal Mencap Society which received donations from the Foundation in the year of £2,000 (2007: £Nil).

Ms Loretto Lambe is a director of PAMIS which received donations from the Foundation in the year of £Nil (2007: £6,500).

Mr Joss Nangle is chairman of the Baily Thomas Charitable Fund which made donations to the Foundation in the year of £70,000 (2007: £70,000).

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

8 Fixed asset investments	Listed investments	Cash	2008 Total	Audited 2007 Total
	£	£	£	£
Market value at 1 January 2008	1,139,766	1,030	1,140,796	1,186,767
Additions	2,264	-	2,264	2,385
Equalisation payments	-	-	-	(6)
Cash movement	-	(1,030)	(1,030)	(1,394)
Unrealised (losses)/gains	(260,848)	-	(260,848)	(46,956)
Market value at 31 December 2008	881,182	-	881,182	1,140,796
Historic cost of investments at 31 December 2008			901,218	898,954

	2008	Audited 2007
	£	£

Investments held are analysed as follows:

UK unit trusts	881,182	1,139,766
Cash on deposit	-	1,030
	881,182	1,140,796

The following investments comprise more than 5% of the total market value of investments.

	Holding	Market Value
		£
Charishare Common Investment Fund	33,700.981	110,590
Charities Aid Foundation Bond Income Fund	165,774.402	102,299
Charities Aid Foundation UK Equity Growth Fund	72,417.160	77,486
COIF Charities Fixed Interest Fund	75,847.970	100,119
Family Charities Ethical Trust	26,531.5609	86,281
M & G Charifund	15,028.363	148,797
M & G Charibond	116,640.747	138,919

RIX-THOMPSON-ROTHENBERG FOUNDATION

NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2008

9 Debtors	2008	Audited 2007
	£	£
Administration	£ -	£3,600

10 Creditors: amounts falling due within one year	2008	Audited 2007
	£	£
Other creditors	23,273	11,397

11 Movement in funds

	Opening funds	Incoming resources	Resources expended	Transfers	Investment gains / (losses)	Closing funds
	£	£	£	£	£	£
General fund	1,237,661	131,058	(116,012)	-	(260,848)	991,859
Care fund	222,424	10,238	(8,717)	-	-	223,945
	<u>1,460,085</u>	<u>141,296</u>	<u>(124,729)</u>	<u>-</u>	<u>(260,848)</u>	<u>1,215,804</u>

12 Analysis of net assets between funds

	Investments	Current assets	Current liabilities	2008 Total	Audited 2007 Total
	£	£	£	£	£
General fund	881,182	132,513	(21,836)	991,859	1,237,661
Care fund	-	225,382	(1,437)	223,945	222,424
	<u>881,182</u>	<u>357,895</u>	<u>(23,273)</u>	<u>1,215,804</u>	<u>1,460,085</u>

**THE FOLLOWING PAGES ARE FOR THE INFORMATION
OF THE GOVERNORS ONLY AND DO NOT FORM PART OF
THE FINANCIAL STATEMENTS**

RIX-THOMPSON-ROTHENBERG FOUNDATION

DETAILED INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31 DECEMBER 2008

	2008		Audited 2007	
	£	£	£	£
Income				
Donations and gifts		75,626		75,797
Dividends and interest		49,477		48,664
Bank interest		16,193		14,854
		<u>141,296</u>		<u>139,315</u>
Expenditure				
Direct charitable expenditure:				
Charitable donations		(97,510)		(123,975)
Other expenditure:				
Investment management fees		(1,805)		(1,122)
Dividend collection charges		(406)		(951)
Accountancy charges		(5,750)		(5,875)
Reporting accountant's fees		(2,350)		(2,468)
Administration		(15,643)		(14,661)
Bank charges		(10)		(10)
General expenses		(1,255)		(1,009)
		<u>(27,219)</u>		<u>(26,096)</u>
Total expenditure		<u>(124,729)</u>		<u>(150,071)</u>
Net income/(deficit) for the year		<u>16,567</u>		<u>(10,756)</u>
Unrealised losses on investment assets		(260,848)		(46,956)
		<u>(244,281)</u>		<u>(57,712)</u>

RIX-THOMPSON-ROTHENBERG FOUNDATION

DONATIONS MADE FOR THE YEAR ENDED 31 DECEMBER 2008

	Care fund	General fund	Total 2008	Audited Total 2007
	£	£	£	£
Accessible Arts & Media	-	4,000	4,000	-
Art + Power	-	2,500	2,500	-
Artslink Central Limited	-	4,000	4,000	-
Avalon	-	-	-	4,000
Bloomin' Arts	-	730	730	-
Blue Apple Theatre	-	3,500	3,500	-
Brikkiln Dunstall Gateway Club	-	1,700	1,700	-
The Bruce Trust	-	3,250	3,250	-
The Business Course Project	-	4,000	4,000	-
Centre 404	-	5,000	5,000	-
Clydebank Special Needs Forum	-	-	-	4,000
Colchester Gateway Clubs	-	4,000	4,000	-
Domicilliary Care	1,000	-	1,000	2,000
Down's Syndrome Association	-	-	-	6,600
Drake Music Project	-	-	-	6,000
Drumatik Drum Group	-	-	-	5,000
Dundee Independent Advocacy Service	-	5,000	5,000	-
Earthbeat Limited	-	-	-	4,000
The Ebbisham Association	-	-	-	4,000
Enable Ability	-	4,000	4,000	-
Faculty of Health and Social Care Sciences	-	-	-	6,000
Family Carers Info Project	-	3,500	3,500	-
Fenland Mencap	-	-	-	5,900
Fife Carers Centre	-	-	-	6,000
Firebrand Theatre Limited	-	3,500	3,500	-
Freefall Dance Company	-	3,000	3,000	-
Friends of Humberston Park Special School	-	-	-	6,000
Funeral expenses	2,500	-	2,500	-
The Guildhall Trust	-	-	-	5,000
Half Moon Young People's Theatre Limited	-	4,000	4,000	-
Holiday grant	780	-	780	-
InnerSense	-	-	-	5,500
Linden Lodge Charitable Trust	-	-	-	5,275
Llandovery Theatre Company	-	4,000	4,000	-
No Handbags Theatre	-	-	-	4,000
The Norman Laud Association	-	4,000	4,000	-
Oswestry & District Mencap	-	1,000	1,000	-
Outside In Pathways Limited	-	1,750	1,750	-
Oxford Film & Video Makers Limited	-	4,000	4,000	-
Pro Corda Trust	-	-	-	5,600
PAMIS	-	-	-	6,500
Panathalon Foundation	-	-	-	4,000
PMLD-Link	-	4,000	4,000	-
Project Art Works	-	-	-	4,000
Quondam	-	4,000	4,000	-
Rocket Artists Group	-	4,800	4,800	-
Balance carried forward	4,280	83,230	87,510	99,375

RIX-THOMPSON-ROTHENBERG FOUNDATION

DONATIONS MADE FOR THE YEAR ENDED 31 DECEMBER 2008

	Care fund £	General fund £	Total 2008 £	Audited Total 2007 £
Balance brought forward	4,280	83,230	87,510	99,375
Royal Mencap Society	-	2,000	2,000	-
Scottish Consortium for Learning Disability	-	-	-	4,000
Sheffield Mencap & Gateway	-	-	-	4,000
Special Olympics – Northern Region	-	4,000	4,000	-
Soundabout	-	-	-	5,000
University of St Andrews				
Department of Psychology	-	-	-	5,600
Work Start Project	-	4,000	4,000	-
Yorkshire Power Lifting Association	-	-	-	6,000
	<u>4,280</u>	<u>93,230</u>	<u>97,510</u>	<u>123,975</u>

RIX-THOMPSON-ROTHENBERG FOUNDATION

Schedule of Investments for the year ended 31 December 2008

	Opening Balances		Acquisitions		Disposals Proceeds	Profit/(Loss)	Closing Balances		Income
	Units	Market Value	Units	Cost/Revaluation			Units	Market Value	
CHARISHARE COMMON INVESTMENT FUND									
Income units		£		£		£		£	
01/01/2008 B/fwd	33,700.981	168,151.04							461.70
20/03/2008 Income									1,085.17
20/06/2008 Income									1,280.64
22/09/2008 Income									1,836.70
22/12/2008 Income									
31/12/2008 Journal / Transfer				(57,561.27)					
Appreciation/(depreciation) in revaluation of investments									
31/12/2008 C/fwd							33,700.981	110,589.77	
CHARITIES AID (CAF)									
Bond Income fund units									
01/01/2008 B/fwd	165,774.402	100,600.20							1,177.00
18/03/2008 Income									1,143.84
17/06/2008 Income									1,110.69
16/09/2008 Income									1,110.69
16/12/2008 Income									
31/12/2008 Journal / Transfer				1,699.18					
Appreciation/(depreciation) in revaluation of investments									
31/12/2008 C/fwd							165,774.402	102,299.38	
CHARITIES AID (CAF)									
UK equity growth fund units									
01/01/2008 B/fwd	72,417.16	113,586.32							615.55
17/03/2008 Income									2,425.97
13/06/2008 Income									651.75
16/09/2008 Income									651.75
16/12/2008 Income									
31/12/2008 Journal / Transfer				(36,099.96)					
Appreciation/(depreciation) in revaluation of investments									
31/12/2008 C/fwd							72,417.16	77,486.36	
Carried forward		382,337.56		(91,962.05)		-		290,375.51	13,551.45

RIX-THOMPSON-ROTHENBERG FOUNDATION

Schedule of Investments for the year ended 31 December 2008

	Opening Balances		Acquisitions		Disposals Proceeds	Profit/(Loss)	Closing Balances		Income
	Units	Market	Units	Cost/Revaluation			Units	Market	
CHARITIES PROPERTY FUND									
Units		£		£	£	£	£	£	£
01/01/2008	Carried forward	382,337.56		(91,962.05)	-	-	290,375.51	13,551.45	
20/05/2008	B/fwd	49,148.46							634.11
18/08/2008	Income								41.81
18/08/2008	Income								658.49
05/09/2008	Income								628.38
17/11/2008	Income								700.30
31/12/2008	Journal / Transfer			(10,450.56)					
31/12/2008	Appreciation/(depreciation) in revaluation of investments						34,841	38,697.90	
31/12/2008	C/fwd								
COIF CHARITIES FIXED INTEREST FUND									
Income units									
01/01/2008	B/fwd	96,167.64							1,365.26
18/03/2008	Income								1,365.26
13/06/2008	Income								1,365.26
05/09/2008	Income								1,365.26
23/12/2008	Income								1,365.26
31/12/2008	Journal / Transfer			3,951.68					
31/12/2008	Appreciation/(depreciation) in revaluation of investments						75,847.97	100,119.32	
31/12/2008	C/fwd								
COIF CHARITIES INVESTMENT FUND									
Income units									
01/01/2008	B/fwd	51,211.26							366.92
06/02/2008	Income								543.91
18/03/2008	Income								379.88
13/06/2008	Income								441.61
05/09/2008	Income								441.61
23/12/2008	Income								
31/12/2008	Journal / Transfer			(14,690.01)					
31/12/2008	Appreciation/(depreciation) in revaluation of investments						4,316.78	36,521.25	
31/12/2008	C/fwd								
	Carried forward	578,864.92		(113,150.94)	-	-	465,713.98	23,849.51	

Schedule of Investments for the year ended 31 December 2008

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RIX-THOMPSON-ROTHENBERG FOUNDATION

Schedule of Investments for the year ended 31 December 2008

		Opening Balances Units	Market	Acquisitions Units	Cost/Revaluation	Units	Disposals Proceeds	Profit/(Loss)	Closing Balances Units	Market	Income
M & G CHARIFUND											
Income units			£		£		£	£		£	£
01/01/2008	B/fwd	Carried forward	771,340.59		(184,055.34)					587,285.25	28,637.44
04/03/2008	Income	15,028.363	218,413.21								1,577.98
03/06/2008	Income										2,517.25
03/09/2008	Income										2,517.25
16/12/2008	Income										4,606.19
31/12/2008	Journal / Transfer				(69,615.89)						
	Appreciation/(depreciation) in revaluation of investments										
31/12/2008	C/fwd								15,028.363	148,797.32	
M&G CHARIBOND											
Income units											
01/01/2008	B/fwd	116,640.747	141,601.87								2,216.17
04/03/2008	Income										2,351.47
02/06/2008	Income										2,351.94
02/09/2008	Income										2,351.01
15/12/2008	Income										
31/12/2008	Journal / Transfer				(2,682.74)						
	Appreciation/(depreciation) in revaluation of investments										
31/12/2008	C/fwd								116,640.747	138,919.13	
SCHRODER INCOME FUND											
Income units											
01/01/2008	B/fwd	1,005.00	8,409.84								202.69
28/04/2008	Income										147.74
27/10/2008	Income										
31/12/2008	Journal / Transfer				(2,229.09)						
	Appreciation/(depreciation) in revaluation of investments										
31/12/2008	C/fwd								1,005.00	6,180.75	
Carried forward											
			1,139,765.51		(258,583.06)					881,182.45	49,477.13

RIX-THOMPSON-ROTHENBERG FOUNDATION

Schedule of Investments for the year ended 31 December 2008

	Opening Balances Units	Market	Acquisitions Units	Cost/Revaluation	Units	Disposals Proceeds	Profit/(Loss)	Closing Balances Units	Market	Income
TELENT PLC (Formerly Marconi Corporation plc)	Carried forward	£ 1,139,765.51		£ (258,583.06)		£ -	£ -		£ 881,182.45	£ 49,477.13
Warrants	46									
01/01/2008 B/fwd										
31/12/2008 Sale										
Lapsed warrants										
Totals		1,139,765.51		(258,583.06)		-	-		881,182.45	49,477.13
		Additions		2,265.27						
		Revaluations		(260,848.33)						
				(258,583.06)						