

BLUEPRINT

OneWorld

363a

Please complete in typescript,  
or in bold black capitals

## Annual Return

CHFP010

Company Number

1585283

Company Name in full

HSBC International Financial Advisers (UK) Limited

### Date of this return

The information in this return is made up  
to

Day		Month		Year			
0	1	0	9	2	0	0	9

### Date of next return

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here  
Companies House will then send a form at  
the appropriate time

Day		Month		Year			

### Registered Office

Show here the address at the date of  
this return

8 Canada Square

Any change of  
registered office must  
be notified on form  
287

Post town

London

County / Region

UK Postcode

E14 5HQ

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities

6523

If the code number cannot be determined,  
give a brief description of principal activity

Co

WEDNESDAY



A43

\*A0AXGLVD\*

21/07/2010

COMPANIES HOUSE

26

you have completed and signed the form please send it to the  
trar of Companies at

panies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

panies registered in England and Wales or

panies House, 37 Castle Terrace, Edinburgh, EH1 2EB

panies registered in Scotland

DX ED235 Edinburgh 1  
or LP-4 Edinburgh 2

10/08

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

\* Voluntary details  
(Please photocopy this area to provide details of joint secretaries)

**Name**

\* Style / Title

Mr

Forename(s)

Christopher

Surname

BELL

☐**Address**

51 Le Clos Orange, La Route Orange, St Brelade

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Post town

County / Region

Channel Islands

UK Postcode

JE3 8GU

Country

Jersey

Details of a new company secretary must be notified on form 288a

### Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

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Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

### Company Secretary

\* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

\* Style / Title

Mrs

Forename(s)

Linda Le

Surname

Gac

☐

Address

Rowanne, Belevedere Hill, St Saviour

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the

Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Post town

County / Region

Channel Islands

UK Postcode

JE2 7RP

Country

Jersey

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Details of a new company secretary must be notified on form 288a

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UK Postcode

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Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

### Company Secretary

\* Voluntary details  
(Please photocopy this area to provide details of joint secretaries)

Name

\* Style / Title

Forename(s)

Surname

X

Address

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Robert Hugh

MUSGROVE

8 Canada Square

London

UK Postcode E14 5HQ

United Kingdom

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If a partnership give the names and addresses of the partners or the name of the partnership and office address.

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Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

### Company Secretary

\* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

\* Style / Title

Forename(s)

Adrian Bernard

Surname

Sangan

Address

Vue Deschamps, La Rue de la Monnaie

Post town

Trinity

County / Region

Jersey

UK Postcode

JE3 5DG

Country

United Kingdom

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If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

1 4 0 5 1 9 6 2

Forename(s)

Neville Andrew

Surname

Benbow

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

2 Westmount Court, Westmount Road, St Helier

Post town

Jersey

County / Region

Channel Islands

UK Postcode

JE2 3LR

Country

United Kingdom

Nationality

British

Business occupation

Senior Manager, Risk &amp; Control

**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

**Name**

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Ms

Day Month Year

Date of birth

1 2 0 1 1 9 5 4

Forename(s)

Paulette Marie

Surname

Crooke

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

2 Summerdale, Ponteson Lane, St Clement

Post town

County / Region

UK Postcode

JE2 6LG

Country

Jersey

Nationality

American/USA

Business occupation

Head of PFS

**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

Name

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

2 2 0 7 1 9 6 2

Forename(s) Robert Charles

Surname Green

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

9 Woodlands Walk, Mannings Heath, West Sussex

Post town

County / Region

UK Postcode RH13 6JG

Country

Nationality British

Business occupation Bank Executive

**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

Name

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

1 3 0 3 1 9 6 5

Forename(s) David John

Surname Nibloe

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

HSBC Bank plc, 8 Canada Square, Level 26

Post town London

County / Region

UK Postcode E14 5HQ

Country

Nationality British

Business occupation Bank Executive

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**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

Name

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

Forename(s)

Surname

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☐

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

**Directors**

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

\* Voluntary details

Name

\* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

Forename(s)

Surname

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☐

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

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**Directors**

Please list directors in alphabetical order

\* Voluntary details

Name

\* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

2 8 0 7 1 9 7 0

Forename(s) Paul Michael

Surname Spencer

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

Post town

County / Region

UK Postcode WA14

Country England

Nationality British

Business occupation Accountant

**Directors**

Please list directors in alphabetical order

\* Voluntary details

Name

\* Style / Title

Mr

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

1 0 0 2 1 9 6 6

Forename(s) Martin David

Surname Spurling

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

Cote de la Rigondaine, La Rigondaine, Grouville

Post town

County / Region

UK Postcode JE3 9UU

Country Jersey

Nationality British

Business occupation Bank Executive

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**Directors**

Please list directors in alphabetical order

\* Voluntary details

**Name**

\* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

2 6 0 3 1 9 6 8

Forename(s)

David Laren

Surname

Wells

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

124 Tilt Road

Post town

Cobham

County / Region

Surrey

UK Postcode

KT11 3HQ

Country

England

Nationality

British

Business occupation

Banker

**Directors**

Please list directors in alphabetical order

\* Voluntary details

**Name**

\* Style / Title

Day Month Year

Date of birth

Forename(s)

Surname

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

**Issue share capital**

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
Ordinary	2,250,000	£2,250,000 00
<b>Totals</b>	2,250,000	2,250,000 00

**Traded public companies**

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐**List of past and present shareholders**

(use attached schedule where appropriate)

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

A full list of shareholders for a private or non-traded public company is enclosed **Please complete Schedule A.**on paper ☒ in another format ☐A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed **Please complete Schedule B**☐☐

A list containing shareholder changes is enclosed

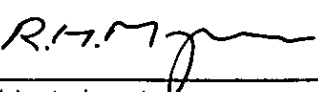
☐☐→ For private or non-traded public companies, please complete **Schedule A**→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed



Date

29/4/2010

† Please delete as appropriate

† (director / secretary)

When you have signed the return send it with the fee to the Registrar of Companies  
Make cheques payable to  
Companies House

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

This return includes

1

continuation sheets

(enter number)

Soraya Helen Maguire, HSBC Bank Middle East Limited,

P O Box 315, St Helier, JE4 8UB, Jersey

Tel

DX number

DX exchange

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### Schedule A for private or non-traded public companies List of past and present shareholders

(Please use a continuation sheet if required)

CHFP010

Company Number | 1585283

Company Name in full | HSBC International Financial Advisers (UK)

Limited

This must only be completed by private and public limited companies that have not traded on a regulated market

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
  - The company's first annual return following incorporation
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- List joint shareholders consecutively

**Do not give shareholder address information**

Shareholder's name only	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name HSBC International Holdings (Jersey) Limited	£1 00 Ordinary			
	Shares Held 2,250,000			
Name				
Name				