

# SH03

## Return of purchase of own shares



Companies House

☒ **What this form is for**  
You may use this form to give notice  
of a purchase by a limited company  
of its own shares.

☐ **What this form is for**  
You cannot use this form to give  
notice of a purchase by a limited  
company of its own shares.

THURSDAY



A04 09/03/2023 #325  
COMPANIES HOUSE

### 1 Company details

Company number 1 4 7 0 1 5 1

Company name in full BAE Systems plc

#### Filling in this form

Please complete in typescript or in  
bold black capitals.

All fields are mandatory unless  
specified or indicated by \*

### 2 Shares purchased for cancellation

Please complete the table below to show the shares purchased for cancellation.

| Class of shares<br>(E.g. Ordinary/Preference etc.) | Number of shares<br>purchased | Nominal value of<br>each share | Date that the<br>shares were<br>delivered to the<br>company | Do these qualify as<br>treasury shares? | Maximum price<br>paid for shares<br>(PLC only) | Minimum price<br>paid for shares<br>(PLC only) |
|----------------------------------------------------|-------------------------------|--------------------------------|-------------------------------------------------------------|-----------------------------------------|------------------------------------------------|------------------------------------------------|
| Ordinary                                           | 665,923                       | 2.5p                           | 09/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 860.80p                                        | 846.20p                                        |
| Ordinary                                           | 645,308                       | 2.5p                           | 10/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 862.80p                                        | 854.00p                                        |
| Ordinary                                           | 972,628                       | 2.5p                           | 11/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 856.60p                                        | 829.80p                                        |
| Ordinary                                           | 714,013                       | 2.5p                           | 12/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 844.80p                                        | 830.40p                                        |
| Ordinary                                           | 823,791                       | 2.5p                           | 13/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 844.40p                                        | 820.40p                                        |
| Ordinary                                           | 580,497                       | 2.5p                           | 16/01 / 23                                                  | <input checked="" type="checkbox"/> Yes | 840.80p                                        | 827.80p                                        |
|                                                    |                               |                                | / /                                                         | <input type="checkbox"/> Yes            |                                                |                                                |

Please show the aggregate amount paid on shares purchased for cancellation.

Total aggregate amount £37,034,683.18

Please give the authentication code you've been given by HM Revenue  
& Customs (HMRC)

HMRC authentication  
code AWC949/3

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### Shares purchased into treasury

Please complete the table below if you are purchasing shares to place into treasury.

| Class of shares<br>(E.g. Ordinary/Preference etc.) | Number of shares<br>purchased | Nominal value of<br>each share | Date that the shares were delivered<br>to the company | Maximum price<br>paid for shares<br>(PLC only) | Minimum price<br>paid for shares<br>(PLC only) |
|----------------------------------------------------|-------------------------------|--------------------------------|-------------------------------------------------------|------------------------------------------------|------------------------------------------------|
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |
|                                                    |                               |                                | / /                                                   |                                                |                                                |

Please show the aggregate amount paid by the company on shares purchased into treasury.

Total aggregate amount

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### Stamp Duty

**Stamp Duty of 0.5% is payable for purchases where the amount or value of the consideration is over £1,000.**

Please show the amount of Stamp Duty paid on shares purchased.

Stamp Duty ①

£ 185,175.00

**If Stamp Duty is chargeable, you must pay and send this form by email to HMRC for stamping first.** HMRC will send you a letter confirming the appropriate amount of Stamp Duty has been paid.

**You should then submit the HMRC confirmation letter to Companies House with this form.**

#### How to pay

For details on how to pay Stamp Duty and notify HMRC, go to:

**[gov.uk/guidance/pay-stamp-duty#fast-pay](http://gov.uk/guidance/pay-stamp-duty#fast-pay)**

After you have paid your Stamp Duty you must email details to HMRC at [stampdutymailbox@hmrc.gov.uk](mailto:stampdutymailbox@hmrc.gov.uk)

Your email should include:

- the payment reference
- the payment amount
- the date of payment
- a digital copy of this form (like, a scanned PDF)

You may post your notification if you cannot send it digitally.

Go to: [www.gov.uk/guidance/pay-stamp-duty#fast-pay](http://www.gov.uk/guidance/pay-stamp-duty#fast-pay)

#### ① Stamp Duty

The calculated amount of Stamp Duty should be rounded up to the nearest multiple of £5.

#### Further information on Stamp Duty

If you need more information on Stamp Duty go to [gov.uk/topic/business-tax/stamp-duty-on-shares](http://gov.uk/topic/business-tax/stamp-duty-on-shares) or contact HMRC on 0300 200 3510

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No Stamp Duty payable

If Stamp Duty is **not payable** on shares purchased, please confirm the statement below by ticking the appropriate box:

- ☐ I/We certify that the transaction effected by this instrument does not form part of a larger transaction or series of transactions in respect of which the amount or value of the consideration exceeds £1,000.
- ☐ I/We certify that the transfer effected by this instrument is a repurchase of own shares by a Qualifying Asset Holding Company and all the conditions for exemption are met.

If you have no Stamp Duty payable, please return this form directly to Companies House.

**Further information on Stamp Duty**If you need more information on Stamp Duty go to [gov.uk/topic/business-tax/stamp-duty-on-shares](http://gov.uk/topic/business-tax/stamp-duty-on-shares) or contact HMRC on 0300 200 3510

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**Signature**

I am signing this form on behalf of the company.

Signature

Signature

X



X

Date

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| d | 2 | d | 6 | m | 0 | m | 1 | y | 2 | y | 0 | y | 2 | y | 3 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

This form may be signed by:

Director<sup>1</sup>, Secretary, Person authorised<sup>2</sup>, Administrator, Receiver, Receiver manager, CIC manager.**1 UK Societas**

If the form is being filed on behalf of a UK Societas (UKS) please delete 'director' and insert details of which organ of the UKS the person signing has membership.

**2 Person authorised**

Under either section 270 or 274 of the Companies Act 2006.

**SH03****Return of purchase of own shares****Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

|                              |                          |
|------------------------------|--------------------------|
| Contact name                 | Mrs N Thomas             |
| Company name                 | BAE Systems plc          |
|                              |                          |
| Address                      | Warwick House, PO Box 87 |
| Farnborough Aerospace Centre |                          |
|                              |                          |
| Post town                    | Farnborough              |
| County/Region                | Hants                    |
| Postcode                     | G U 1 4 6 Y U            |
| Country                      |                          |
| DX                           |                          |
| Telephone                    | 03300 487020 Ref: 22     |

**Checklist**

**We may return forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You have completed Section 2 and/or Section 3 as appropriate.
- ☐ In Section 4, you have either had the form stamped by HMRC or ticked the certification section to indicate that no duty is payable.
- ☐ If Stamp Duty is chargeable, you have attached a copy of the stamping HMRC confirmation letter with this form.
- ☐ You have signed the form.

**Important information**

**Please note that all information on this form will appear on the public record.**

**Where to send**

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

**For companies registered in England and Wales:**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1.

**For companies registered in Northern Ireland:**  
The Registrar of Companies, Companies House,  
First Floor, Waterfront Plaza, 8 Laganbank Road,  
Belfast, Northern Ireland, BT1 3BS.  
DX 481 N.R. Belfast 1.

**Stamp Duty**

If Stamp Duty is to be paid, please first send this form to: HMRC Stamp Office. See Section 4 for more details.

**Further information**

For further information, please see the guidance notes on the website at [gov.uk/companieshouse](http://gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [gov.uk/companieshouse](http://gov.uk/companieshouse)**