

# SH04

## Notice of sale or transfer of treasury shares by a public limited company (PLC)



☒ **What this form is for**  
You may use this form to give notice of a sale or transfer of treasury shares for a public limited company

☐ **What this form is NOT for**  
You cannot use this form to give notice of a cancellation of shares in a public limited company. To do this, please use S

FRIDAY



A24 \*A80S1CRL\* 08/03/2019 #53  
COMPANIES HOUSE

### 1 Company details

Company number 1 4 7 0 1 5 1

Company name in full BAE Systems plc

→ **Filling in this form**  
Please complete in typescript or in bold black capitals

All fields are mandatory unless specified or indicated by \*

### 2 Treasury shares sold or transferred ①

| Class of shares<br>(E.g. Ordinary/Preference etc.) | Number of shares sold or transferred | Nominal value of each share | Date(s) shares were sold or transferred |
|--|--------------------------------------|-----------------------------|---|
| Ordinary   | 1,470                                | 2.5p                        | 14 / 02 / 2019                          |
| Ordinary   | 338,245                              | 2.5p                        | 15 / 02 / 2019                          |
| Ordinary   | 43,151                               | 2.5p                        | 18 / 02 / 2019                          |
| Ordinary   | 2,048                                | 2.5p                        | 20 / 02 / 2019                          |
| Ordinary   | 1,146                                | 2.5p                        | 21 / 02 / 2019                          |
| Ordinary   | 368                                  | 2.5p                        | 25 / 02 / 2019                          |
| Ordinary   | 50,000                               | 2.5p                        | 27 / 02 / 2019                          |
| Ordinary   | 649                                  | 2.5p                        | 06 / 03 / 2019                          |
| Ordinary   | 1,631                                | 2.5p                        | 07 / 03 / 2019                          |
|  |                                      |                             | / /                                     |

#### ① Treasury shares sold or transferred

Shares may only be transferred (as opposed to sold) from treasury for the purposes of, or in accordance with, an employees' share scheme

### 3 Signature

I am signing this form on behalf of the company.

Signature

Signature

X

X

This form may be signed by.

Director ②, Secretary, Person authorised ③, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager

#### ② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE), please delete 'director' and insert details of which organ of the SE the person signing has membership

#### ③ Person authorised

Under either section 270 or 274 of the Companies Act 2006

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Mrs. L. Goodge**

Company name **BAE Systems plc**

Address **Warwick House, PO Box 87,**

**Farnborough Aerospace Centre,**

Post town **Farnborough**

County/Region **Hants**

Postcode **G U 1 4 6 Y U**

Country **United Kingdom**

DX

Telephone **03300 488887**

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the correct information in section 2.
- ☐ You have signed the form.

**Important information**

Please note that all information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

**For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
First Floor, Waterfront Plaza, 8 Laganbank Road,  
Belfast, Northern Ireland, BT1 3BS.  
DX 481 N.R. Belfast 1

**Further information**

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)