

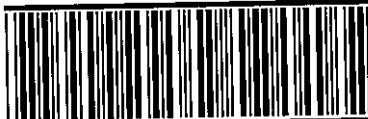


COMPANIES HOUSE

363s

## Annual Return

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



A19 \*AVTEZ4R6\* 551  
COMPANIES HOUSE 24/03/98

of company number 01453551

U

## company name

PRINCIPALITY INDEPENDENT FINANCIAL  
ADVISERS LIMITED

## company type

PRIVATE COMPANY LIMITED BY SHARES

This form should be completed in black.

The information printed below is taken from Companies  
House records as at 27/02/98

If this information requires amendment use the spaces  
opposite.

## Date of this return (See note 1)

The information in this return should be made up to a  
date not later than

Day	Month	Year
16	03	98

If you are making the return up to an earlier date,  
show the date here. Please note that the form must  
be delivered to Companies House within 28 days of  
this earlier date.

Day	Month	Year

## Date of next return (See note 2)

If you wish to make your next return to a date earlier  
than the anniversary of this return please show the date  
here. Companies House will then send a form at the  
appropriate time.

Day	Month	Year

## Registered Office (See note 3)

This is the address registered by Companies House.

PRINCIPALITY BUILDINGS  
QUEEN STREET  
CARDIFF  
CF1 1UA

.....  
.....  
.....  
.....

## Principal business activities (See note 4)

Trade classification is  
6601 LIFE INSURANCE  
6713 AUXILIARY FINANCIAL INTERMED

6	7	1	3
---	---	---	---

--	--	--	--

--	--	--	--

--	--	--	--

If the code cannot be determined from the notes, give a  
brief description of principal activity.

01453551

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

**Register of members** (See note 5)

The register is kept at  
REGISTERED OFFICE

.....  
.....  
.....  
.....

**Register of debenture holders** (See note 6)

Any register of debenture holders (or duplicate) is kept at

.....  
.....  
.....  
.....

**Company Secretary** (See note 7)

Particulars of a new secretary **must** be notified on form 288.

Day	Month	Year

 Date of any change.

ROGER WILLIAM  
FISH  
4 GREENACRE DRIVE  
GLAIS  
SWANSEA  
WEST GLAMORGAN SA7 9FA

.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....

If this person has ceased to be secretary, please state when.

Day	Month	Year

 Date of resignation.

**Directors** (See note 7)

Particulars of a new director **must** be notified on form 288.

Day	Month	Year

 Date of any change.

ROGER WILLIAM  
FISH  
4 GREENACRE DRIVE  
GLAIS  
SWANSEA  
WEST GLAMORGAN SA7 9FA

.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....

Date of Birth:- 02/04/47  
Nat:BRITISH  
Occ:MANAGING DIRECTOR

If this person has ceased to be director, please state when.

Day	Month	Year

 Date of resignation.

Show any relevant current and previous directorships.

.....  
.....  
.....  
.....

01453551

## Directors - continued

Particulars.

COLE  
JOHN DOUGLAS  
MITCHELL  
O B E  
BREARCLIFFE  
GREENWOOD LANE ST FAGANS  
CARDIFF  
SOUTH GLAM CF5 6EL

Date of Birth:- 15/06/42

Nat:BRITISH

Occ:BUILDING SOCIETY CHIEF EXECUTI

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

If the information shown needs amendment,  
give details below and the date of any change.

Day	Month	Year

 Date of any change.

Particulars.

NO MORE DIRECTORS -- ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day	Month	Year

 Date of any change.

Day	Month	Year

 Date of resignation.

Particulars.

NO MORE DIRECTORS -- ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please  
state when.

Show any relevant current and previous directorships.

Day	Month	Year

 Date of any change.

Day	Month	Year

 Date of resignation.

01453551

**Issued Share Capital** (See note 8)

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
ORDINARY	225,000	£225,000
<b>Totals</b>	225,000	£225,000

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

Please mark the appropriate box.

A full list is required.

on paper      not on  
paper

A full list of members is enclosed

**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, *mark this box.*

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, *mark this box.*

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

Secretary/Director\*

\*(delete as appropriate)

Date

17/3/98

I enclose the fee of **£15.**

Cheques should be made payable  
to **Companies House.**

This return includes 1 continuation sheets.  
(enter number)

**Please ensure that you have completed  
all sections on this page.**

To whom should Companies House direct any  
enquiries about the information shown in this  
return?----->

J. W. JAMIESON

PRINCIPALITY BUILDINGS

PO BOX 89, QUEEN STREET

CARDIFF Postcode CF1 1UA

Telephone 01222.344188 Ext 437

## LIST OF PAST AND PRESENT MEMBERS

**SCHEDULE TO FORM 363**[illegible]

**Continued overleaf**

### LIST OF PAST AND PRESENT MEMBERS (continued)

**SCHEDULE TO FORM 363**[illegible]