



BULK LIST

363a  
G.D.  
#30X  
07664

## Annual Return

Company Number 1423001

Company Name in full INTERCAPITAL PLC



\* F 3 6 3 A C 5 0 \*

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

27 05 99

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

27 05 00

Registered Office (See note 3)

Show here the address at the date of this return.

Any change of registered office must be notified on form 287.

Post town

County / Region

Postcode

SHERBORNE HOUSE

119 CANNON STREET

LONDON

EC4N 5AX

Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

7484

If the code number cannot be determined, give a brief description of principal activity.

HOLDING COMPANY



A35 \*AKPKTH7K\* 323  
COMPANIES HOUSE 15/06/99

When you have completed and signed the form please send it to  
**Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

Registrar's Department

Lloyds TSB Registrars

The Causeway

Worthing

W. Sussex

Postcode

BN99 6BA

**Register of Debenture holders**

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

N/A

**Company type** (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☒☐☐☐☐☐☐

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s)

Surname

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

Details of a new company secretary must be notified on form 288a.

\* Honours etc

EDWARD CHARLES

PANK

RED BARN FARMHOUSE

SHERNBORNE

NR KING'S LYNN

NORFOLK

Postcode

PE31 6SB

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

Country

**Directors** (See notes 1-5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

<b>Name</b>	* Style / Title		Day	Month	Year	
	* Honours etc		Date of birth	23	09	42
Forename(s)	PETER NEVILLE					
Surname	BUCKLEY					
Previous forename(s)						
Previous surname(s)						
<b>Address</b>	6 ALBERT PLACE					
	Post town	LONDON				
	County / Region	W8	Postcode	5PD		
	Country		Nationality	BRITISH		
<b>Business occupation</b>	CHARTERED ACCOUNTANT					
<b>Other directorships</b>	(See continuation sheet).					

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title		Day	Month	Year	
		* Honours etc		Date of birth	19	08	53
	Forename(s)	JONATHAN HARRY					
	Surname	CARTWRIGHT					
	Previous forename(s)						
	Previous surname(s)						
<b>Address</b>	RECTORY MEADOW						
	HAWTHORN PLACE						
	Post town	PENN					
	County / Region	BUCKINGHAMSHIRE	Postcode	HP10 8EH			
	Country	United Kingdom	Nationality	BRITISH			
<b>Business occupation</b>	COMPANY DIRECTOR						
<b>Other directorships</b>	(See continuation sheet).						

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share)

ORDINARY 25P SHARES	367,553,711	£91,888,427.75
<b>Totals</b>	<b>367,553,711</b>	<b>£91,888,427.75</b>

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☒

*File attached.*

**Elective resolutions**

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐
**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**

*E. C. V. [Signature]*

**Date**

14/6/99

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House.**

This return includes

9

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

KRISTA LORENZEN  
INTERCAPITAL PLC  
SHERBORNE HOUSE  
119 CANNON STREET  
LONDON EC4N 5AX

**Directors** (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

<b>Name</b>	* Style / Title		Day	Month	Year
	* Honours etc		Date of birth	07	01 58
Forename(s)	CLIVE JOHN				
Surname	COOKE				
Previous forename(s)					
Previous surname(s)					
<b>Address</b>	721 FIFTH AVENUE				
	APARTMENT 63H				
	Post town	NEW YORK			
	County / Region	NY 10022	Postcode	USA	
	Country		Nationality	BRITISH	
<b>Business occupation</b>	STOCKBROKER				
<b>Other directorships</b>	None				

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title		Day	Month	Year
		* Honours etc		Date of birth	10	11 47
	Forename(s)	DAVID				
	Surname	GELBER				
	Previous forename(s)					
	Previous surname(s)					
	<b>Address</b>	6 CLORANE GARDENS				
	Post town	LONDON				
	County / Region		Postcode	NW3 7IR		
	Country		Nationality	CANADIAN		
<b>Business occupation</b>	DIRECTOR					
<b>Other directorships</b>	(See continuation sheet).					

**Directors** (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>				Day	Month	Year	
	<b>* Honours etc</b>				Date of birth	08	05	55
<b>Forename(s)</b>	JOHN DAVID							
<b>Surname</b>	NIXON							
<b>Previous forename(s)</b>								
<b>Previous surname(s)</b>								
<b>Address</b>	9 MARLOW COURT							
	RIVERSIDE							
	<b>Post town</b>							
	<b>County / Region</b>	CONNECTICUT 06878	<b>Postcode</b>					
	<b>Country</b>	USA	<b>Nationality</b>	CANADIAN				
<b>Business occupation</b>	MANAGING PARTNER							
<b>Other directorships</b>	None							

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>				Day	Month	Year
	<b>* Honours etc</b>				Date of birth	08	10	58
	<b>Forename(s)</b>	JONATHAN QUINTIN						
	<b>Surname</b>	ROBSON						
	<b>Previous forename(s)</b>							
	<b>Previous surname(s)</b>							
	<b>Address</b>	25 BROAD STREET						
	<b>Post town</b>	NEW YORK						
	<b>County / Region</b>	NY 10004	<b>Postcode</b>					
	<b>Country</b>	USA	<b>Nationality</b>	BRITISH				
<b>Business occupation</b>	CHIEF EXECUTIVE OFFICER							
<b>Other directorships</b>	None							

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors** (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

<b>Name</b>	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	30	05	55
	Forename(s)	MICHAEL ALAN						
	Surname	SPENCER						
	Previous forename(s)							
	Previous surname(s)							
<b>Address</b>	TUNSTALL HALL							
	TUNSTALL							
	Post town	WOODBIDGE						
	County / Region	SUFFOLK	Postcode	IP12 2JL				
	Country	United Kingdom	Nationality	BRITISH				
	<b>Business occupation</b>	CHAIRMAN						
	<b>Other directorships</b>	(See continuation sheet).						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	24	07	55
		Forename(s)	KIM MICHAEL						
		Surname	TAYLOR						
		Previous forename(s)							
		Previous surname(s)							
	<b>Address</b>	FIRHOLM							
		16 ST MARYS ROAD							
		Post town	LONG DITTON						
		County / Region	SURREY	Postcode					
		Country		Nationality	BRITISH				
	<b>Business occupation</b>	CHARTERED ACCOUNTANT							
	<b>Other directorships</b>	(See continuation sheet).							

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**Directors** (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

<b>Name</b>	* Style / Title				Day	Month	Year	
	* Honours etc				Date of birth	14	04	31
	Forename(s)	HIROSHI						
	Surname	WATANABE						
	Previous forename(s)							
	Previous surname(s)							
<b>Address</b>	3-3-12, AZABUDAI							
	MINATO-KU							
	Post town	TOKYO						
	County / Region				Postcode			
	Country	JAPAN			Nationality	JAPANESE		
	<b>Business occupation</b>	DIRECTOR						
	<b>Other directorships</b>	None						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	* Style / Title				Day	Month	Year	
		* Honours etc				Date of birth	19	02	47
		Forename(s)	MARCEL						
		Surname	WOLF						
		Previous forename(s)							
		Previous surname(s)							
	<b>Address</b>	WEIDELACHER STRASSE 29							
		CH - 8143 SELLENBUEREN							
		Post town	.						
		County / Region				Postcode			
		Country	Switzerland			Nationality	SWISS		
	<b>Business occupation</b>	DIRECTOR							
	<b>Other directorships</b>	(See continuation sheet).							

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Company Number 1423001

Company Name in full INTERCAPITAL PLC

Current directorships for PETER NEVILLE BUCKLEY

AMBER CHEMICAL CO. LTD. (THE)  
AMBER INDUSTRIAL HOLDINGS PLC  
BATTLE FARM LANDS LIMITED  
BRISTOW AVIATION HOLDINGS LIMITED  
BRISTOW HELICOPTER GROUP LIMITED  
BRISTOW NOMINEES LIMITED  
BRISTOW STAFF PENSION SCHEME TRUSTEES LIMITED  
BRITISH AIR TRANSPORT (HOLDINGS) LTD  
CALEDONIA FINANCIAL LIMITED  
CALEDONIA GROUP SERVICES LIMITED  
CALEDONIA INDUSTRIAL & SERVICES LIMITED  
CALEDONIA INVESTMENT TRUSTS LIMITED  
CALEDONIA INVESTMENTS PLC  
CALEDONIA LEISURE & MEDIA LIMITED  
CAYZNER TRUST CO. LTD. (THE)  
CLAN ASSET MANAGEMENT LTD  
CLOSE BROTHERS GROUP PLC  
CREWKERNE INVESTMENTS LIMITED  
ENGLISH & SCOTTISH INVESTORS p.l.c.  
FIVE ASH LIMITED  
GARLANDHEATH LTD  
HALL MARK LIVESTOCK LTD  
HALL MARK TURKEYS LTD  
HOG ISLAND HOLDINGS LTD  
HOPE STREET INVESTMENTS LIMITED  
HUNTLEY & SPARKS LTD

Company Number 1423001

Company Name in full INTERCAPITAL PLC

POLLOX LIMITED

R H S ENTERPRISES LIMITED

ROSEGROVE LTD

SCOTTAN INVESTMENTS LTD

STERLING INDUSTRIES PLC

SUN INTERNATIONAL HOTELS LTD

SUN INTERNATIONAL INVESTMENTS LIMITED

THE TELEGRAPH GROUP LIMITED

Past directorships for PETER NEVILLE BUCKLEY

AMBERSIL LIMITED (31-May-1994)

DAILY TELEGRAPH PLC (THE) (31-Jul-1996)

PROVIDENT MUTUAL LIFE ASSURANCE ASSOCIATION (31-Dec-1995)

RALSTON INVESTMENT TRUST PLC (06-Sep-1996)

Current directorships for JONATHAN HARRY CARTWRIGHT

AIR UK HOLDINGS LIMITED

AMBER CHEMICAL CO. LTD. (THE)

AMBER INDUSTRIAL HOLDINGS PLC

BRISTOW AVIATION HOLDINGS LIMITED

BRISTOW HELICOPTER GROUP LIMITED

CALEDONIA FINANCIAL LIMITED

CALEDONIA GENERAL INVESTMENTS LIMITED

CALEDONIA GROUP SERVICES LIMITED

CALEDONIA INDUSTRIAL &amp; SERVICES LIMITED

CALEDONIA INVESTMENTS PLC

CALEDONIA LEISURE &amp; MEDIA LIMITED

CAYTRUST FINANCE COMPANY LIMITED

Company Number 1423001

Company Name in full INTERCAPITAL PLC

FIVE ASH LIMITED

HAYWARD AVIATION LIMITED

HOPE STREET INVESTMENTS LIMITED

HUNTLEY &amp; SPARKS (LANDS) LIMITED

HURSTSQUARE LIMITED

ITEMSTONE LIMITED

LONDON-AMERICAN MARITIME TRADING COMPANY LIMITED

PROPLASTICS LIMITED

RADIANT SHIPPING CO LIMITED

SLOANE INTERIOR DECORATIONS AND DESIGN LIMITED

STERLING HYDRAULICS LIMITED

STERLING INDUSTRIES PLC

THE EDINBURGH CRYSTAL GLASS COMPANY LIMITED

THE SLOANE CLUB GROUP LIMITED

THE SLOANE CLUB MANAGEMENT LIMITED

VAUX GROUP PLC

Current directorships for DAVID GELBER

DART (N.I.) LIMITED

EUROPEAN DERIVATIVES CLEARING HOUSE LIMITED

FINISCO LIMITED

FIRST FRONT (WIMBLEDON) LTD

FIRST FRONT PLC

Intercapital Brokerage Services Limited

INTERCAPITAL CLEARING LIMITED

INTERCAPITAL DERIVATIVE SERVICES LIMITED

INTERCAPITAL GROUP LIMITED

Company Number 1423001

Company Name in full INTERCAPITAL PLC

KRUPACO FINANCE (UK) LIMITED

MOTORWAY LIMITED

TRADE PROMOTION TRAVEL LIMITED

Current directorships for MICHAEL ALAN SPENCER

ALPHA BROKERS (METALS) HOLDINGS LIMITED

ALPHA BROKERS (METALS) LIMITED

CITY INDEX (HOLDINGS) LIMITED

CITY INDEX LIMITED

CITY INDEX MARKET MAKERS LIMITED

DATA ANALYSIS RISK TECHNOLOGY LIMITED

EUROPEAN DERIVATIVES CLEARING HOUSE LIMITED

INTERCAPITAL AVIATION LIMITED

INTERCAPITAL BONDS LIMITED

Intercapital Brokerage Services Limited

INTERCAPITAL DEBT TRADING LIMITED

INTERCAPITAL DERIVATIVE SERVICES LIMITED

INTERCAPITAL GROUP LIMITED

MIRROR IMAGE RESTAURANTS PLC

MOVING IMAGE RESTAURANTS PLC

THE WEEKEND CITY PRESS REVIEW LIMITED

VALERIE CHITTY SERVICES LIMITED

VOLTAPALM LIMITED

Past directorships for MICHAEL ALAN SPENCER

ON-LINE FINANCE HOLDINGS LIMITED (08-Mar-1999)

ON-LINE HOLDINGS LIMITED (08-Mar-1999)

Company Number 1423001

Company Name in full INTERCAPITAL PLC

Current directorships for KIM MICHAEL TAYLOR

THE NOTIFICATION COMPANY LIMITED

Past directorships for KIM MICHAEL TAYLOR

THE NOTIFICATION COMPANY LIMITED (04-Jan-1999)

Past directorships for MARCEL WOLF

ASTLEY & PEARCE SA (31-Dec-1995)

Please complete in typescript,  
or in bold black capitals.

# List of past and present members Schedule to form 363a and 363b

Company Number 1423001

Company Name in full INTERCAPITAL PLC

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
<b>PUBLIC SHAREHOLDERS</b>				
<b>ORDINARY 25P SHARES</b>	<b>367,553,711</b>			

FORM ML8



**A**

**BULK LIST OF SHAREHOLDERS OR MEMBERS**

**A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN MICROFICHE.**

**IF YOU WISH TO SEARCH THE LIST, PLEASE ENQUIRE AT THE SEARCH CONTROL COUNTER.**

**COMPANY NUMBER** 1423001