

**Company Number: 1184903**

**GARBAN BROKING SERVICES LIMITED**  
**UNAUDITED FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED**  
**31 DECEMBER 2020**



**GARBAN BROKING SERVICES LIMITED**  
**BALANCE SHEET AS AT 31 DECEMBER 2020**

Company Number: 1184903

**GENERAL INFORMATION**

**DIRECTORS**

R Bodnum

K Cronin

W Knottenbelt (resigned 19 March 2021)

A Seaman

J Bray (appointed 19 March 2021)

**REGISTERED OFFICE**

London Fruit and Wool Exchange

1 Duval Square

London

England

E1 6PW

**GARBAN BROKING SERVICES LIMITED**  
**BALANCE SHEET AS AT 31 DECEMBER 2020**

Company Number: 1184903

	<u>Note</u>	<b>2020</b> <b>£'000</b>	<b>2019</b> <b>£'000</b>
<b>Current liabilities</b>			
Creditors	4	(5)	(5)
<b>Total liabilities</b>		<u>(5)</u>	<u>(5)</u>
<b>Net liabilities</b>		<u>(5)</u>	<u>(5)</u>
<b>Equity</b>			
Share capital	5	461	461
Accumulated losses		<u>(466)</u>	<u>(466)</u>
<b>Total equity</b>		<u>(5)</u>	<u>(5)</u>

The Company was dormant throughout the year ended 31 December 2020. For the year ended 31 December 2020 the Company was entitled to the exemption under section 480 (1) of the Companies Act 2006 (the Act).

No members have required the Company to obtain an audit of its financial statements for the year in question in accordance with Section 476 of the Act.

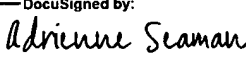
The directors acknowledge their responsibility for:

(i) ensuring the Company keeps accounting records that comply with Section 386 of the Act; and

(ii) preparing financial statements which give a true and fair view of the state of affairs of the Company as at the end of its financial year, and of its profit or loss for the financial year in accordance with Section 396 of the Act, and otherwise comply with the requirements of the Companies Act relating to financial statements, so far as applicable to the Company.

The notes on pages 3 - 4 form part of these financial statements.

The financial statements were approved by the Board of Directors on 17 June 2021. They were signed on its behalf by:

DocuSigned by:  
  
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Adrienne Seaman  
 Director

# **GARBAN BROKING SERVICES LIMITED**

## **NOTES TO THE FINANCIAL STATEMENTS**

### **1. PRINCIPAL ACCOUNTING POLICIES**

#### **a) Basis of preparation**

Garban Broking Services Limited is a limited company incorporated and domiciled in England and Wales.

The financial statements have been prepared in accordance with Financial Reporting Standard 101, 'Reduced Disclosure Framework' ('FRS 101') and the Companies Act 2006 (the 'Act').

The Company is a qualifying entity for the purposes of FRS 101. The financial statements of the Company will be included in the CME Group Inc. consolidated financial statements for the year ended 31 December 2020. Note 6 gives details of the Company's ultimate parent and from where its consolidated financial statements prepared in accordance with US GAAP may be obtained.

The financial statements are presented in Sterling (£) with all values rounded to the nearest £1,000 except where otherwise indicated.

#### **b) Intercompany balances**

Intercompany balances are shown in accordance with the netting agreement, which allows netting of bilateral intercompany balances within entities that are party to the netting agreement.

#### **c) Share capital**

Ordinary and deferred shares are classified as equity. Dividends are recognised as deductions from retained earnings/accumulated losses in the period in which they are declared.

### **2. INCOME STATEMENT**

No income statement has been prepared, as the Company has not traded during the year.

### **3. DIRECTORS' REMUNERATION**

The directors did not receive any remuneration from the Company during the year (2019: £nil). Apart from the directors, the Company had no employees during the year (2019 – nil).

### **4. CREDITORS**

	<b>2020</b>	<b>2019</b>
	<b>£'000</b>	<b>£'000</b>
Amounts owed to related companies	<u>5</u>	<u>5</u>
	<u>5</u>	<u>5</u>

## **GARBAN BROKING SERVICES LIMITED**

### **NOTES TO THE FINANCIAL STATEMENTS**

#### **5. SHARE CAPITAL**

	2020 £'000	2019 £'000
<b>Allotted and fully paid:</b>		
9,222,102 Ordinary share(s) of £0.05 each	<u>461</u>	<u>461</u>
	<u>461</u>	<u>461</u>

#### **6. IMMEDIATE AND ULTIMATE PARENT COMPANY**

The Company's immediate parent undertaking is Intercapital Limited, which does not prepare consolidated financial statements. Intercapital Limited is an indirect, wholly owned subsidiary of CME Group Inc.

CME Group Inc. is the Company's ultimate parent undertaking and controlling party, whose principal address of business is 20 South Wacker Drive, Chicago, Illinois 60606, USA. It is a Company incorporated in the United States of America and listed on NASDAQ.

CME Group Inc. prepares consolidated financial statements in accordance with US GAAP and copies may be obtained from the Company Secretary, CME Group Inc., 20 South Wacker Drive, Chicago, Illinois, 60606, which is its registered office.