



Companies House

**AR01** (ef)

**Annual Return**



X51E3TCH

Received for filing in Electronic Format on the: **23/02/2016**

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*Company Name:* **PGIM FINANCIAL LIMITED**

*Company Number:* **01024618**

*Date of this return:* **16/02/2016**

*SIC codes:* **64205**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **GRAND BUILDINGS, 1-3 STRAND  
TRAFALGAR SQUARE  
LONDON  
WC2N 5HR**

**Officers of the company**

## *Company Secretary 1*

Type: **Person**  
Full forename(s): **STEPHEN JOHN**

Surname: **DAVIES**

Former names:

*Service Address recorded as Company's registered office*

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## *Company Director 1*

Type: **Person**  
Full forename(s): **PHILIP MATHEW**

Surname: **BARRETT**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **\*\*/05/1968** Nationality: **BRITISH**

Occupation: **PRIVATE EQUITY FUND  
MANAGER**

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## *Company Director* 2

*Type:* **Person**  
*Full forename(s):* **SENIOR VICE PRESIDENT DONALD**

*Surname:* **CAMPBELL**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/09/1963** *Nationality:* **BRITISH**

*Occupation:* **DIRECTOR**

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## *Company Director* 3

*Type:* **Person**  
*Full forename(s):* **MR DENIS LIONEL**

*Surname:* **CHATTERTON**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/07/1956** *Nationality:* **BRITISH**

*Occupation:* **CHIEF ADMINISTRATION  
OFFICER**

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*Company Director* 4

Type: **Person**  
Full forename(s): **MARIE LOUISE**

Surname: **FIORAMONTI**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **USA**

Date of Birth: **\*\*/03/1961** Nationality: **AMERICAN**

Occupation: **INT. FINANCE DIV MD**

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*Company Director* 5

Type: **Person**  
Full forename(s): **MR STEVEN**

Surname: **SAPERSTEIN**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **USA**

Date of Birth: **\*\*/10/1961** Nationality: **AMERICAN**

Occupation: **INVESTMENT MANAGER**

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*Company Director*    **6**

*Type:*                                **Person**

*Full forename(s):*                **MR KAUSHIK MULJIBHAI**

*Surname:*                         **SHAH**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **\*\*/01/1957**                                *Nationality:*    **BRITISH**

*Occupation:*    **CHARTERED ACCOUNTANT**

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## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>19760256</b>
		<i>Aggregate nominal value</i>	<b>19760256</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

ALL SHARES HAVE EQUAL RIGHTS WITH RESPECT TO VOTING RIGHTS AND RIGHTS TO RECEIVE DISTRIBUTIONS FROM THE COMPANY WHETHER FROM THE PROCEEDS OF NORMAL TRADING OR UPON THE WINDING UP OF THE COMPANY. THE SHARES CANNOT BE REDEEMED.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>19760256</b>
		<i>Total aggregate nominal value</i>	<b>19760256</b>

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## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 16/02/2016 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **19760256 ORDINARY shares held as at the date of this return**  
*Name:* **PRUDENTIAL INVESTMENT MANAGEMENT, INC.**

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## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.