



**BLUEPRINT**  
Company Secretary

Please complete in typescript,  
or in bold black capitals.

CHFP055

HSBC 129665 175

# 363a

## Annual Return

**Company Number** 980554

**Company Name in full** HSBC International Financial Services (UK) Limited

### Date of this return

The information in this return is made up to

Day		Month		Year		
0	6	1	1	2	0	0

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day		Month		Year		

### Registered Office

Show here the address at the date of  
this return.

10 Lower Thames Street

Any change of  
registered office  
**must** be notified  
on form 287.

Post town London

County / Region

UK Postcode EC3R 6AE

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

7415

If the code number cannot be determined,  
give a brief description of principal activity.

Investment Holding Company



When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

## Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s)

Robert Hugh

\* Voluntary details.

Surname

MUSGROVE

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

6 Barn Meadow

Staplehurst

Post town

Tonbridge

County / Region

Kent

UK Postcode

TN12 0SY

Country

England

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

## Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title \_\_\_\_\_

Day Month Year  
Date of birth 0 7 0 9 1 9 4 8

Forename(s) Terence John

Surname DAY

### Address

141 Western Road

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Billericay

County / Region Essex

UK Postcode CM12 9JH

Country England

Nationality British

Business occupation Accountant

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 2 2 0 9 1 9 4 2

Forename(s) Alan Irvine

Surname PICKUP

### Address

Pucks Hill

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Woodland Drive

Post town East Horsley

County / Region Surrey

UK Postcode KT24 5AN

Country

Nationality British

Business occupation Finance Manager

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
'A' Shares	8,000	£8,000.000
'B' Shares	2,000	£2,000.000
'C' Shares	46,116,534	£46,116,534.000
Non-voting Redeemable	118,000,000	£118,000,000.000
<b>Totals</b>	<b>164,126,534</b>	<b>£164,126,534.000</b>

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period



on paper in another format

A list of changes is enclosed

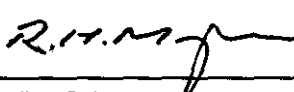


A full list of shareholders is enclosed

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

21/11/2001

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Mr R H Musgrove, HSBC Holdings plc, 10 Lower Thames Street, London,

EC3R 6AE

Tel 0207 260 0375

DX number DX exchange

**Directors** (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

<b>Name</b>	* Style / Title			
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.			
	Day	Month	Year	
Date of birth	1	5	0	8
Forename(s)	Dennis Michael			
Surname	SMITH			
<b>Address</b>	15 Astor Close			
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
Post town	Kingston on Thames			
County / Region	Surrey		UK Postcode	KT2 7LT
Country	England		Nationality	British
<b>Business occupation</b>	Financial Controller			

\* Voluntary details.

<b>Name</b>	* Style / Title			
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.			
	Day	Month	Year	
Date of birth				
Forename(s)				
Surname				
<b>Address</b>				
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
Post town				
County / Region			UK Postcode	
Country			Nationality	
<b>Business occupation</b>				

CHFP055

Company Number 980554

# List of past and present shareholders Schedule to form 363a

Company Name in full HSBC International Financial Services (UK) Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <hr/> Address <hr/> UK Postcode <hr/>			
Name <hr/> Address <hr/> UK Postcode <hr/>			
Name <hr/> Address <hr/> UK Postcode <hr/>			