

208197 | 60

*Please complete in typescript,  
or in bold black capitals.*

**Annual Return**

CHFP010

**Company Number**

980554

**Company Name in full**

HSBC International Financial Services (UK) Limited

**Date of this return**

The information in this return is made up to

Day Month Year

0 6 1 1 2 0 0 3

**Date of next return**

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day Month Year

**Registered Office**

Show here the address at the date of this return.

8 Canada Square

Any change of registered office must be notified on form 287.

Post town

London

County / Region

UK Postcode

E14 5HQ

**Principal business activities**

Show trade classification code number(s) for the principal activity or activities.

7415

If the code number cannot be determined, give a brief description of principal activity.

Investment Holding Company



A57  
COMPANIES HOUSE

0686  
28/11/03

When you have completed and signed the form please send it to the Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Name**

\* Style / Title

Forename(s)

Surname

**Address**

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Mark Vivian

PEARCE

55 Huntly Road, Talbot Woods

Bournemouth

Dorset

UK Postcode BH3 7HG

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 0 7 0 9 1 9 4 8

Forename(s) Terence John

Surname DAY

Address 141 Western Road

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Billericay

County / Region Essex UK Postcode CM12 9JH

Country England Nationality British

Business occupation Accountant

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 1 5 0 8 1 9 5 4

Forename(s) Dennis Michael

Surname SMITH

Address 15 Astor Close

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Kingston on Thames

County / Region Surrey UK Postcode KT2 7LT

Country England Nationality British

Business occupation Financial Controller

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title

Date of birth

Day		Month		Year	
1	1	0	6	1	9
				5	0

Forename(s) Christopher David

Surname SPOONER

Address

Pondside, Portsmouth Road, Fishers Pond

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town Eastleigh

County / Region Hampshire

UK Postcode SO50 7HF

Country England

Nationality British

Business occupation Head of Group Financial Planning and Tax

\* Voluntary details.

Name \* Style / Title

Date of birth

Day		Month		Year	

Forename(s)

Surname

Address

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

	<b>Class</b> (e.g. Ordinary/Preference)	<b>Number of shares issued</b>	<b>Aggregate Nominal Value</b> (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
'A'		8,000	£8,000.00
'B'		2,000	£2,000.00
'C'		46,116,534	£46,116,534.00
NON-VOTING REDEEMABLE		118,000,000	£118,000,000.00
<b>Totals</b>		164,126,534	164,126,534.00

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

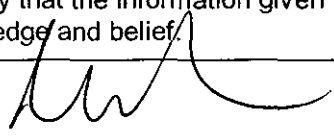
☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

25/11/03

† Please delete as appropriate.

† a director ~~or~~ secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to Companies House.

This return includes

4

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Frances Niven, HSBC Holdings plc, Level 42, 8 Canada

Square, LONDON, EC3R 6AE, UK

Tel

DX number

DX exchange

## List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 980554

Company Name in full | HSBC International Financial Services (UK)

| Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name HSBC Bank plc  Address 8 Canada Square, London, United Kingdom  UK postcode   E14 5HQ	£1.00 'A'       Shares Held 8,000	Shares or amount of stock transferred (if appropriate)	
Name   Address   UK postcode			
Name   Address   UK postcode			

## List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 980554

Company Name in full | HSBC International Financial Services (UK)

Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name HSBC Bank plc  Address 8 Canada Square, London, United Kingdom  UK postcode   E14 5HQ	£1.00 'B'         Shares Held 2,000	Shares or amount of stock transferred (if appropriate)	
Name   Address   UK postcode			
Name   Address   UK postcode			

## List of past and present shareholders Schedule to form 363a

CHFP010      **Company Number** | 980554

**Company Name in full** | HSBC International Financial Services (UK)  
| Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate)  Date of registration of transfer
<b>Name</b> HSBC Bank plc  <b>Address</b> 8 Canada Square, London, United Kingdom  <b>UK postcode</b>   E14 5HQ	£1.00 'C'          Shares Held 46,116,534		
<b>Name</b>   <b>Address</b>     <b>UK postcode</b>			
<b>Name</b>   <b>Address</b>     <b>UK postcode</b>			



## List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 980554

**Company Name in full** | HSBC International Financial Services (UK)

| Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
<p><b>Name</b> HSBC Bank plc</p> <p><b>Address</b> 8 Canada Square, London, United Kingdom</p> <p><b>UK postcode</b>   E14 5HQ</p>	<p>£1.00 NON-VOTING REDEEMABLE</p> <p>Shares Held 118,000,000</p>	<p>Shares or amount of stock transferred (if appropriate)</p>	
<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK postcode</b>  </p>			
<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK postcode</b>  </p>			