



Company Secretarial and  
Compliance Software

Please complete in typescript,  
or in bold black capitals.

EIS 43  
349761

# 363a

## Annual Return

Company Number

951043

Company Name in full

Mercury Asset Management Group Ltd



\*F363AE60\*

Date of this return

(See note 1)

The information in this return is made  
up to

Day Month Year

01 07 1999

Date of next return

(See note 2)

If you wish to make your next return to  
a date earlier than the anniversary of this  
return please show the date here.

Companies House will then send a form  
at the appropriate time.

Day Month Year

Registered Office

(See note 3)

Show here the address at the date of  
this return.

33 King William Street

Any change of  
registered office must  
be notified on form  
287.

Post town

LONDON

County / Region

Postcode

EC4R 9AS

Principal business activities

(See note 4)

Show trade classification code  
number(s) for the principal activity or  
activities.

6523

If the code number cannot be  
determined, give a brief description of  
principal activity.

When you have completed and signed the form please send it to the  
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF4 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

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A22 \*A095DHZH\* 634  
COMPANIES HOUSE 13/07/99

## Register of members

(See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

Ropemaker Place

25 Ropemaker Street

London

Postcode EC2Y 9LY

## Register of Debenture holders

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

Postcode

## Company type

(See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please mark the appropriate box

## Company Secretary

(See notes 1-5)

Name

(Please photocopy this area to provide details of joint secretaries).

\*Style/Title

Mrs

\*Honours etc

Forename(s)

Debra Anne

Surname

Searle

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

38 Roding Way

Usual residential address

must be given. In the case of a corporation, give the registered or principal office address.

Post town

Rainham

County / Region

Essex

Postcode

RM13 9QD

Country

England



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Company No: 951043

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**Directors**

(See notes 1-5)

**Details of new directors must be notified on form 288a***Please list directors in alphabetical order.*

<b>Name</b>	<b>*Style/Title</b>	Mr.	<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>*Honours etc</b>		<b>Date of Birth</b>	04	01	1945
	<b>Forename(s)</b>	Ian Christopher Simon				
	<b>Surname</b>	Barby				
	<b>Previous forename(s)</b>					
	<b>Previous surname(s)</b>					
<b>Address</b>	2 Trident Place					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	69 Old Church Street					
	<b>Post town</b>	LONDON				
	<b>County / Region</b>		<b>Postcode</b>	SW3 5BP		
	<b>Country</b>	England	<b>Nationality</b>	British		
	<b>Business occupation</b>	Investment Director				
	<b>Other directorships</b>	As per attached list				
<b>* Voluntary details.</b>						

<b>Name</b>	<b>*Style/Title</b>	Mr.	<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>*Honours etc</b>		<b>Date of Birth</b>	14	10	1939
	<b>Forename(s)</b>	John				
	<b>Surname</b>	Parsloe				
	<b>Previous forename(s)</b>					
	<b>Previous surname(s)</b>					
<b>Address</b>	1 Woodcote Green House					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Woodcote Green Road					
	<b>Post town</b>	EPSOM				
	<b>County / Region</b>	Surrey	<b>Postcode</b>	KT18 7DF		
	<b>Country</b>	England	<b>Nationality</b>	British		
	<b>Business occupation</b>	Investment Director				
	<b>Other directorships</b>	As per attached list				

**Directors**

(See notes 1-5)

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

<b>Name</b>	<b>*Style/Title</b>	Mr	<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>*Honours etc</b>		<b>Date of Birth</b>	08	11	1959
	<b>Forename(s)</b>	James Trewin				
	<b>Surname</b>	Stratford				
	<b>Previous forename(s)</b>					
	<b>Previous surname(s)</b>					
<b>Address</b>	20 Huron Road					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.						
	<b>Post town</b>	LONDON				
	<b>County / Region</b>		<b>Postcode</b>	SW17 8RB		
	<b>Country</b>		<b>Nationality</b>	BRITISH		
	<b>Business occupation</b>	Investment Director				
	<b>Other directorships</b>	As per attached list				
<b>* Voluntary details.</b>						

<b>Name</b>	<b>*Style/Title</b>	Mr.	<b>Day</b>	<b>Month</b>	<b>Year</b>	
	<b>*Honours etc</b>		<b>Date of Birth</b>	21	11	1953
	<b>Forename(s)</b>	Stuart John				
	<b>Surname</b>	Baxter				
	<b>Previous forename(s)</b>					
	<b>Previous surname(s)</b>					
<b>Address</b>	Appletree Cottage					
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	Cryers Hill Road					
	<b>Post town</b>	GREAT KINGSHILL				
	<b>County / Region</b>	Buckinghamshire	<b>Postcode</b>	HP15 6LL		
	<b>Country</b>	England	<b>Nationality</b>	British		
	<b>Business occupation</b>	Human Resources Director				
	<b>Other directorships</b>	None				

**Directors**

(See notes 1-5)

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

<b>Name</b>	<b>*Style/Title</b>	<input type="text" value="Mrs"/>			<b>Day</b>	<b>Month</b>	<b>Year</b>
	<b>*Honours etc</b>	<input type="text"/>	<b>Date of Birth</b>	<input type="text" value="24"/>	<input type="text" value="06"/>	<input type="text" value="1964"/>	
	<b>Forename(s)</b>	<input type="text" value="Tracey"/>					
	<b>Surname</b>	<input type="text" value="Jones"/>					
	<b>Previous forename(s)</b>	<input type="text"/>					
	<b>Previous surname(s)</b>	<input type="text"/>					
<b>Address</b>	<input type="text" value="6 Brayfield Terrace"/>						
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<input type="text"/>						
	<b>Post town</b>	<input type="text" value="Islington"/>					
	<b>County / Region</b>	<input type="text" value="London"/>	<b>Postcode</b>	<input type="text" value="N1 1HZ"/>			
	<b>Country</b>	<input type="text" value="United Kingdom"/>	<b>Nationality</b>	<input type="text" value="BRITISH"/>			
	<b>Business occupation</b>	<input type="text" value="Investment Director"/>					
	<b>Other directorships</b>	<input type="text" value="None"/>					
<b>* Voluntary details.</b>	<input type="text"/>						

<b>Name</b>	<b>*Style/Title</b>	<input type="text"/>			<b>Day</b>	<b>Month</b>	<b>Year</b>
	<b>*Honours etc</b>	<input type="text"/>	<b>Date of Birth</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	<b>Forename(s)</b>	<input type="text"/>					
	<b>Surname</b>	<input type="text"/>					
	<b>Previous forename(s)</b>	<input type="text"/>					
	<b>Previous surname(s)</b>	<input type="text"/>					
<b>Address</b>	<input type="text"/>						
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<input type="text"/>						
	<b>Post town</b>	<input type="text"/>					
	<b>County / Region</b>	<input type="text"/>	<b>Postcode</b>	<input type="text"/>			
	<b>Country</b>	<input type="text"/>	<b>Nationality</b>	<input type="text"/>			
	<b>Business occupation</b>	<input type="text"/>					
	<b>Other directorships</b>	<input type="text"/>					
	<input type="text"/>						

**Issued share capital** *(see note 9)*

Enter details of all the shares in issue at the date of this return.

**Class**  
*(e.g. Ordinary/Preference)*

**Number of shares issued**

**Aggregate Nominal Value**

*(i.e. Number of shares issued multiplied by nominal value per share)*

ORDINARY	183,327,938	£9,166,396.90
Totals	183,327,938	£9,166,396.90

**List of past and present members**

*(use attached schedule where appropriate)*

A full list is required if one was not included with either of the last two returns.

*(see note 10)*

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐☐

A full list of members is enclosed

☒☐**Elective resolutions**

*(Private companies only)*

*(See note 11)*

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☐

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**

Debra Searle

**Date**

8 July 1999

† Please delete as appropriate.

† a ~~director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies.

Cheques should be made payable to Companies House.

This return includes

2  
(enter number)

continuation sheets.

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Debra Searle, Ropemaker Place, 25 Ropemaker Street,

London, EC2Y 9LY

Tel 0171 867 2127

DX number

DX exchange



Company Secretarial and Compliance Software

Company No: 951043

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## LIST OF PAST AND PRESENT MEMBERS

SCHEDULE TO FORM 363

£0.05 ORDINARY Shares

Company Name Mercury Asset Management Group Ltd		Company Number 951043		
		Particulars of shares or stock transferred since the date of the last return (or in the case of the first return, since the incorporation of the company) by (a) persons who are still members, and (b) persons who have ceased to be members		
Name and Address	Number of shares or amount of stock held by existing members at date of this return	Number transferred	Date of registration of transfer	Remarks
Langham, Carol A Carol A Langham, 30 Sandford Road, Bromley, Kent, BR2 9AW, England	1			
ML Invest Limited	Nil	18,649,511 74,847,737	21/07/1998 21/07/1998	
ML Invest Holdings Limited Ropemaker Place, 25 Ropemaker Street, London, EC2Y 9LY, England	183,327,937			
SNC Nominees Limited	Nil	4,404,310	21/07/1998	

Total Shares Issued

183,327,938

Acquired by Transfer

97,901,558

Disposed by Transfer

97,901,558

Company Number 951043			
Company Name Mercury Asset Management Group Ltd			
Name & Address	Appointment	Resignation	Company Name
Barby, Ian Christopher Simon 2 Trident Place, 69 Old Church Street, LONDON, SW3 5BP, England	29/04/1996		Veritas Asset Management (UK) Limited
Parsloe, John 1 Woodcote Green House, Woodcote Green Road, EPSOM, Surrey, KT18 7DF, England	13/12/1991 23/12/1997		S.G.Warburg Trust Co. Ltd. Johmtaw Limited
Stratford, James Trewin 20 Huron Road, LONDON, SW17 8RB	31/07/1998		Mercury Private Equity Holdings Ltd