



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



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**XM2T2U3D**

*Company Name:* **N. M. ROTHSCHILD & SONS LIMITED**

*Company Number:* **00925279**

*Date of this return:* **21/04/2011**

*SIC codes:* **6512**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **NEW COURT  
ST. SWITHIN'S LANE  
LONDON  
EC4P 4DU**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Person**  
*Full forename(s):* ANNE-MARIE

*Surname:* SIZER

*Former names:*

*Service Address:* NEW COURT  
ST SWITHINS LANE  
LONDON  
EC4P 4DU

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## *Company Secretary 2*

*Type:* **Person**  
*Full forename(s):* JONATHAN

*Surname:* WESTCOTT

*Former names:*

*Service Address:* NEW COURT  
ST SWITHIN'S LANE  
LONDON  
EC4P 4DU

*Company Director*    ***1***

*Type:*                      **Person**

*Full forename(s):*        **MR ANTHONY JOSEPH**

*Surname:*                **ALT**

*Former names:*

*Service Address:*        **NEW COURT  
ST SWITHIN'S LANE  
LONDON  
EC4P 4DU**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **23/03/1946**                      *Nationality:*    **BRITISH**

*Occupation:*    **MERCHANT BANKER**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **DANIEL**

*Surname:* **BOUTON**

*Former names:*

*Service Address:* **62 AVENUE RAYMOND POINCARE  
PARIS  
75016  
FRANCE**

*Country/State Usually Resident:* **FRANCE**

*Date of Birth:* **10/04/1950**

*Nationality:* **FRENCH**

*Occupation:* **CONSULTANT**

*Company Director*    **3**

*Type:*                            **Person**

*Full forename(s):*            **MR DAVID RENE JAMES**

*Surname:*                      **DE ROTHSCHILD**

*Former names:*

*Service Address:*            **29 AVENUE DE MESSINE  
PARIS  
FRANCE  
75008**

*Country/State Usually Resident:*    **FRANCE**

*Date of Birth:*    **15/12/1942**                      *Nationality:*    **FRENCH**

*Occupation:*    **MERCHANT BANKER**

*Company Director* 4

*Type:* **Person**

*Full forename(s):* **BARON ERIC ALAIN ROBERT DAVID**

*Surname:* **DE ROTHSCHILD**

*Former names:*

*Service Address:* **29 AVENUE DE MESSINE  
PARIS  
FRANCE  
75008**

*Country/State Usually Resident:* **FRANCE**

*Date of Birth:* **03/10/1940** *Nationality:* **FRENCH**

*Occupation:* **MERCHANT BANKER**

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*Company Director*    **5**

*Type:*                      **Person**

*Full forename(s):*        **LEOPOLD DAVID**

*Surname:*                **DE ROTHSCHILD**

*Former names:*

*Service Address:*        **NEW COURT  
ST SWITHIN'S LANE  
LONDON  
EC4P 4DU**

*Country/State Usually Resident:*    **GREAT BRITAIN**

*Date of Birth:*    **12/05/1927**                      *Nationality:*    **BRITISH**

*Occupation:*    **MERCHANT BANKER**

*Company Director*    **6**

*Type:*                      **Person**  
*Full forename(s):*        **ANDREW**

*Surname:*                **DIDHAM**

*Former names:*

*Service Address:*        **NEW COURT**  
                                 **ST SWITHIN'S LANE**  
                                 **LONDON**  
                                 **EC4P 4DU**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **23/05/1956**                      *Nationality:*    **BRITISH**  
*Occupation:*    **BANKER**



*Company Director* 7

*Type:* **Person**

*Full forename(s):* **WILLIAM MARK**

*Surname:* **EVANS**

*Former names:*

*Service Address:* **20 BALDERTON STREET  
LONDON  
W1K 6TL**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **16/08/1957**

*Nationality:* **CANADIAN**

*Occupation:* **PARTNER**

*Company Director* 8

*Type:* **Person**

*Full forename(s):* **MR ANTHONY MICHAEL VAUGHAN**

*Surname:* **SALZ**

*Former names:*

*Service Address:* **NEW COURT  
ST SWITHIN'S LANE  
LONDON  
EC4P 4DU**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **30/06/1950** *Nationality:* **BRITISH**

*Occupation:* **SOLICITOR**

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*Company Director* 9

*Type:* **Person**  
*Full forename(s):* **MR PETER ALAN**

*Surname:* **SMITH**

*Former names:*

*Service Address:* **NEW COURT  
ST SWITHIN'S LANE  
LONDON  
EC4P 4DU**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **05/08/1946** *Nationality:* **BRITISH**  
*Occupation:* **CHARTERED ACCOUNTANT**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>57654551</b>
		<i>Aggregate nominal value</i>	<b>57654551</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE SHARES HAVE ATTACHED TO THEM FULL VOTING, DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING WINDING UP) RIGHTS; THEY DO NOT CONFER ANY RIGHT OF REDEMPTION.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>57654551</b>
		<i>Total aggregate nominal value</i>	<b>57654551</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 21/04/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for a private or non-traded public company are shown below*

*Shareholding 1* : **57654551 ORDINARY shares held as at 2011-04-21**  
*Name:* **N.M. ROTHSCHILD HOLDINGS LTD**

*Shareholding 2* : **0 REDEEMABLE PREFERENCE shares held as at 2011-04-21**  
*Name:* **THE ROTHSCHILD ARCHIVE LIMITED**

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.