



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



This form should be completed in black.

The information printed below is taken from Companies House records as at 20/06/97

If this information requires amendment use the spaces opposite.

### Date of this return *(See note 1)*

The information in this return should be made up to a date not later than

Day	Month	Year
05	07	97

### Date of next return *(See note 2)*

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

Day	Month	Year

### Registered Office *(See note 3)*

This is the address registered by Companies House.

LIGHTERMAN'S COURT  
5 GAINSFORD STREET  
TOWER BRIDGE  
LONDON SE1 2NE

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### Principal business activities *(See note 4)*

Trade classification is

7484 OTHER BUSINESS ACTIVITIES

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If the code cannot be determined from the notes, give a brief description of principal activity.

now  
0201309  
C box 1

363s

## Annual Return

of company number 00890308

B

company name

HAMBROS UNIT TRUST MANAGERS LIMITED

company type

PRIVATE COMPANY LIMITED BY SHARES

00890308

**Register of members** (See note 5)

The register is kept at

1 AMERICA SQUARE  
17 CROSSWALL  
LONDON  
EC3N 2LB

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

REGISTERED OFFICE

**Register of debenture holders** (See note 6)

Any register of debenture holders (or duplicate) is kept at

**Company Secretary** (See note 7)

Particulars of a new secretary **must** be notified on form 288.

Day Month Year

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Date of any change.

CHRISTOPHER JAMES  
WISE  
42 ROCKLEY COURT  
ROCKLEY ROAD SHEPHERDS BUSH  
LONDON  
W14 0DB

If this person has ceased to be secretary, please state when.

Day Month Year

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Date of resignation.

**Directors** (See note 7)

Particulars of a new director **must** be notified on form 288.

Day Month Year

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Date of any change.

JULIAN RICHARD  
CHILLINGWORTH  
21 KYRLE ROAD  
CLAPHAM COMMON WEST SIDE  
LONDON  
SW11

Date of Birth:— 25/03/56

Nat:BRITISH

Occ:INVESTMENT MANAGER

If this person has ceased to be director, please state when.

Day Month Year

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Date of resignation.

Show any relevant current and previous directorships.

SEE ATTACHED LIST

00890308

## Directors - continued

### Particulars.

ANDREW EVERARD  
MARTIN SMITH  
WALKERS FARM HOUSE  
FARNHAM  
BISHOPS STORTFORD  
HERTFORDSHIRE CM23 1HY

Date of Birth:- 13/06/52

Nat:BRITISH

Occ:EXEC DIR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

If the information shown needs amendment, give details below and the date of any change.

Day	Month	Year

 Date of any change.

Day	Month	Year

 Date of resignation.

SEE ATTACHED LIST

### Particulars.

JUNE ELIZABETH  
MOSELEY  
25 MILL ROAD  
WEST MERSEA  
COLCHESTER  
ESSEX CO5 8RH

Date of Birth:- 18/06/57

Nat:BRITISH

Occ:SALES EXECUTIVE

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

 Date of any change.

Day	Month	Year

 Date of resignation.

SEE ATTACHED LIST

### Particulars.

GILLIAN MARY  
NOBES  
19 SURMAN CRESCENT  
HUTTON  
BRENTWOOD  
ESSEX CM13 2PP

Date of Birth:- 30/06/65

Nat:BRITISH

Occ:ADMINISTRATION MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

 Date of any change.

Day	Month	Year

 Date of resignation.

SEE ATTACHED LIST

**Issued Share Capital** (See note 8)

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
<u>£1 ORDINARY</u>	<u>50,000</u>	<u>£50,000-00</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
<b>Totals</b>	<u>50,000</u>	<u>£50,000-00</u>

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the  
appropriate box.

There were no changes in the period ☐

The last full members list was at 05/07/96

	on paper	not on paper
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of members is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, *mark this box.*

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, *mark this box.*

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of **£15.**

Cheques should be made payable  
to **Companies House.**

**Signed**

Secretary/Director\*  
\*(delete as appropriate)

Date 28 JULY 1997

This return includes 4 continuation sheets.  
(enter number)

**Please ensure that you have completed  
all sections on this page.**

To whom should Companies House direct any  
enquiries about the information shown in this  
return?----->

C. J. WISE,  
LIGHTERMAN'S COURT,  
5 GAINSFORD STREET,  
LONDON, Postcode SE1 2NE

Telephone 0171-505 1843 Ext \_\_\_\_\_

## LIST OF PAST AND PRESENT MEMBERS

## SCHEDULE TO FORM 363

Company Number: 00890308		Account of Shares			
Company Name: HAMBROS UNIT TRUST MANAGERS LIMITED		Number of shares or amount of stock held by existing members at date of this return.	Particulars of shares transferred since the date of the last return, or, in the case of the first return, since the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members.		Remarks
Name and address	Number currently held		Number Transferred	Date of Registration of Transfer	
DAVID PHILLIP GIBBS, HILL FARM HOUSE, SLAUGHAM PLAIN, PLUMMERS PLAIN, WEST SUSSEX, RH13 6PA	1				
DAVID JOHN TAPPER, 5 HILLBURY GARDENS, WARLINGHAM, SURREY, CR6 9TQ	1				
GILLIAN MARY NOBES, 19 SURMAN CRESCENT, HUTTON, BRENTWOOD, ESSEX, CM13 2PP	1				
GUINNESS FLIGHT HAMBRO INVESTMENT MANAGEMENT LIMITED, LIGHTERMAN'S COURT, 5 GAINSFORD STREET, LONDON, SE1 2NE	49,995				
GUY MARTIN WYNTER, THE WHITE HOUSE, FINGRINGHOE, COLCHESTER, ESSEX, CO5 7AR	1				
JUNE ELIZABETH MOSELEY, 25 MILL ROAD, WEST MERSEA, COLCHESTER, ESSEX, CO5 8RH	1				
	50,000				

Continued overleaf

### LIST OF PAST AND PRESENT MEMBERS (continued)

**SCHEDULE TO FORM 363**[illegible]

# Personnel Appointments

Date as at: 05/07/97

Full Name: Mr Andrew Everard Martin Smith

Person ID: AEMS

## Internal Appointments

Number	Company Name	Appointment Type	Appointed	Resigned	Reason	Country of Incorporation
3135520	Guinness Flight Hambro Fund Management (Overseas Holdings) Limited	Director	28/03/96			England
3099346	Guinness Flight Hambro Investment Management Limited	Director	14/11/95			England
1448687	Guinness Flight Hambro Investment Managers International Limited	Director	27/01/95			England
964058	Hambros Bank Limited	Director	14/04/87			England
951066	Hambros Investment Management Services Limited	Director	28/02/94	09/05/97	Resignation	England
890308	Hambros Unit Trust Managers Limited	Director	16/02/94			England
1278475	Plough Court Fund Management Limited	Director	07/09/95			England
2480062	The Olympus Trust PLC	Director	31/12/96	09/05/97	Resignation	England

## External Appointments

Number	Company Name	Appointed	Resigned	Country of Incorporation
.	Christies Nominees Limited	23/09/94		England
.	Flotawse Limited (wound up 1993)		31/12/93	England
.	Guinness Flight Hambro Asset Management Limited	01/05/97		England
.	Hambros Bank (Nominees) Limited	12/01/95	12/03/96	England
.	Hambros Hopkins Asset Management Limited	01/09/94		England
.	Second Kingsize Investment Company Limited			England
.	Tweedfine Limited			England
.	Vantage Securities Limited			England

# Personnel Appointments

Date as at: 05/07/97

Full Name : Mrs Gillian Mary Nobes

Person ID : GMIN

## Internal Appointments

Number	Company Name	Appointment Type	Appointed	Resigned	Reason	Country of Incorporation
3135520	Guinness Flight Hambro Fund Management (Overseas Holdings) Limited	Company Secretary	28/03/96	19/11/96	Resignation	England
3135520	Guinness Flight Hambro Fund Management (Overseas Holdings) Limited	Director	18/06/96			England
5062	Hambros Fund Managers (U.K.) Limited	Director	31/12/96			Guernsey
890308	Hambros Unit Trust Managers Limited	Company Secretary	23/08/93	19/11/96	Resignation	England
890308	Hambros Unit Trust Managers Limited	Director	20/03/92			England

Full Name : Mr Julian Richard Chillingworth

Person ID : JRC

## Internal Appointments

Number	Company Name	Appointment Type	Appointed	Resigned	Reason	Country of Incorporation
3099346	Guinness Flight Hambro Investment Management Limited	Director	01/03/96			England
890308	Hambros Unit Trust Managers Limited	Director	21/03/97			England



# Personnel Appointments

Date as at: 05/07/97

Full Name: Ms June Elizabeth Moseley

Person ID: JEM

## Internal Appointments

Number  
890308

Company Name  
Hambros Unit Trust Managers Limited

Appointment Type  
Director

Appointed  
08/02/90

Resigned

Reason

Country of Incorporation  
England