



## COMPANIES HOUSE

THE REGISTRAR OF COMPANIES COMPANIES HOUSE

CROWN WAY CARDIFF CF4 3UZ



COMPANIES HOUSE 29/07/99

This form should be completed in black.

The information printed below is taken from Companies House records as at 19/06/99 If this information requires amendment use the spaces opposite.

## Date of this return (See note 1)

The information in this return should be made up to a date not later than

| Day | Month | Year |
|-----|-------|------|
| 0 5 | 0 7   | 9 9  |

## Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

## Registered Office (See note 3)

This is the address registered by Companies House. 2 GRESHAM STREET

LONDON

EC2V 7QN

## Principal business activities (See note 4)

Trade classification is 6713 AUXILIARY FINANCIAL INTERMED

If the code cannot be determined from the notes, give a

brief description of principal activity.

## Return

of company number

00890308

В

company name HAMBROS UNIT TRUST MANAGERS LIMITED

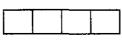
company type PRIVATE COMPANY LIMITED BY SHARES

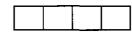
If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

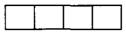
| Day | Month | Year |
|-----|-------|------|
|     |       |      |

| Day | Month | Year |
|-----|-------|------|
|     |       | 1    |

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| Register | of | members | (See note | 5. |
|----------|----|---------|-----------|----|
|          | •  |         | ~~~~      | -, |

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change:

| The register is kept at  LIGHTERMAN'S COURT  5 GAINSFORD STREET  LONDON  SE1 2ND  Register of debenture holders (See note 6)     | THE REGISTERED OFFICE - SEE<br>FORM 353 ENCLOSED. |
|--|---|
| Any register of debenture holders (or duplicate) is kept at  |   |
|  |   |
|  |   |
| Company Secretary (See note 7)   | Day Month Year                                    |
| Particulars of a new secretary <b>must</b> be notified on form 288.  | Date of any change.                               |
| CHRISTOPHER JAMES WISE GROUND FLOOR FLAT 25 CASTLETOWN ROAD LONDON W14 9HF   |   |
| If this person has ceased to be secretary, please state when.  | Day Month Year                                    |
| Directors (See note 7)   | Day Month Year                                    |
| Particulars of a new director must be notified on form 288.  JULIAN RICHARD  CHILLINGWORTH  9 LILLIESHALL ROAD  CLAPHAM OLD TOWN | Date of any change.                               |
| LONDON<br>SW4 OLN  |   |
| Date of Birth:- 25/03/56 Nat:BRITISH Occ:INVESTMENT MANAGER  |   |

Day

Month

Year

Date of resignation.

PAGE 2

state when.

If this person has ceased to be director, please

Show any relevant current and previous directorships.

00890308

If the information shown needs amendment, give details below and the date of any change.

| Directors - continued  | Day Month Your  |
|--|---|
| Particulars.   | Day Month Year Date of any change.                          |
| MICHAEL STANLEY LANGDON 64 RAVENS COURT GROVE HORNCHURCH ESSEX RM12 6HH  | Date of any change.   |
| Date of Birth:- 15/03/56 Nat:BRITISH Occ:DIRECTOR  If this person has ceased to be director, please state when.  Show any relevant current and previous directorships. | Day Month Year  Date of resignation.  SEE ATTACHES SCHEDULE |
|  |   |
| Particulars.  ANDREW EVERARD  MARTIN SMITH  WALKERS FARM HOUSE  FARNHAM  BISHOPS STORTFORD  HERTFORDSHIRE CM23 1HY   | Day Month Year  Date of any change.                         |
| Date of Birth:- 13/06/52 Nat:BRITISH Occ:EXEC DIR If this person has ceased to be director, please state when.   | Day Month Year  Date of resignation.                        |
| Show any relevant current and previous directorships.  | SEE ATTACHED SCHEDULE                                       |
| Particulars.  GILLIAN MARY NOBES ZEDKHAR CLOS DU FILLAGE   | Day Month Year  |
| LE FRIE BATON ST SAVIOUR<br>GUERNSEY<br>GY7 9PL  |   |
| Date of Birth:- 30/06/65 Nat:BRITISH Occ:ADMINISTRATION MANAGER  |   |
| If this person has ceased to be director, please state when.   | Day Month Year  Date of resignation.                        |
| Show any relevant current and previous directorships.  | SEE ATTACHED SCHEDULE                                       |

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|                       | 00890308 |     |       |      | wn needs amendment, give<br>date of any change. |
|-----------------------|----------|-----|-------|------|---|
| Directors - continued |          | Day | Month | Year | ,   |
| Particulars.          |          |     | 1     | ı    | Date of any change.                             |

PHILIP GORDON STRODE SAUNDERS 18 BASKERVILLE ROAD LONDON SW18 3RW

Date of Birth:- 24/01/58

Nat: BRITISH

Occ: INVESTMENT MANAGER

If this person has ceased to be director, please

| state when.   | Date of resignation.  |       |      |                      |  |  |
|---|-----------------------|-------|------|----------------------|--|--|
| Show any relevant current and previous directorships.                                     | SEE ATTACHED SCHEDULE |       |      |                      |  |  |
| Particulars.  | Day                   | Month | Year | Date of any change.  |  |  |
| NO MORE DIRECTORS - ADDITIONAL SECRETARIES<br>OR DIRECTORS MUST BE NOTIFIED ON FORM 288a. |                       |       |      |                      |  |  |
|   |                       |       |      |                      |  |  |
| If this person has ceased to be director, please  | Day                   | Month | Year | 7                    |  |  |
| state when.   |                       |       |      | Date of resignation. |  |  |

Show any relevant current and previous directorships.

| Particulars.                               |   | Month | Year |                     |
|--|---|-------|------|---------------------|
|  |   |       | L L  | Date of any change. |
|  | *************************************** |       |      |                     |
|  |   |       |      |                     |
|  | *************************************** | ••••• |      |                     |
| NO MORE DIRECTORS - ADDITIONAL SECRETARIES |   |       |      |                     |
| OD DIDECTOR WHOM DE NOMITIED ON FORM 2005  |   |       |      |                     |

OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

Month Day Year

Date of resignation.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

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00890308

If the information shown needs amendment, give details below and the date of any change.

| Directors - continued  | ſ        | Day     | М        | onth      | Year |  |
|--|----------|---------|----------|-----------|------|--|
| Particulars.   | ,        |         | T        | ,         | 1    | Date of any change.                    |
|  | L.       | 1       |          |           |      | , ,                                    |
|  |          |         |          |           |      | ······································ |
|  |          |         |          |           |      |  |
| NO MORE DIRECTORS - ADDITIONAL SECRETARIES OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.   |          |         | ••       |           |      |  |
| on billions not at the second of   |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
|  |          | •••••   |          |           |      |  |
|  |          |         |          |           |      |  |
| If this person has ceased to be director, please   | <u> </u> | Day     | M        | onth<br>T | Year | 1                                      |
| state when.  | L        |         |          |           |      | Date of resignation.                   |
| Show any relevant current and previous directorships.  |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
| Particulars.   |          | Day     | М        | onth      | Year | 1                                      |
| Tarrocials.  |          |         | <u> </u> |           |      | Date of any change.                    |
|  |          |         | •••••    |           |      |  |
| NO MODE DIDECTORS ADDITIONAL SECRETARIES   |          | <b></b> |          |           |      |  |
| NO MORE DIRECTORS - ADDITIONAL SECRETARIES OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.   |          |         | •••••    |           |      |  |
|  |          |         | ·····    |           |      |  |
|  |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
| de la disconsista de la compansión de la | -*       | Day     | М        | onth      | Year |  |
| If this person has ceased to be director, please state when.   |          |         |          |           |      | Date of resignation.                   |
|  |          |         |          |           |      |  |
| Show any relevant current and previous directorships.  |          |         |          |           |      |  |
|  |          | Day     | М        |           | Year |  |
| Particulars.   |          | <br>I   | T        | 1         | 1    | Date of any change.                    |
|  |          | _       |          |           | •    | -                                      |
|  |          |         |          |           |      |  |
| NO MORE DIRECTORS - ADDITIONAL SECRETARIES   | •••••    |         |          |           |      |  |
| OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.  |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
|  |          |         |          |           |      |  |
|  | ****     |         |          |           |      |  |
|  |          | ,       |          |           |      |  |
|  |          | Day     | <br>M    | lonth     | Year |  |
| If this person has ceased to be director, please state when.   |          |         |          |           | ı    | Date of resignation.                   |
|  |          |         |          |           |      | -                                      |
| Show any relevant current and previous directorships.  |          |         |          |           |      |  |

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| Issued Share Capital (See note 8)  Enter details of all shares in issue at the date of this  | Class<br>(eg Ordinary/<br>Preference etc.)  | Number of<br>shares issued                        | Aggregate nominal value (ié Number of share issued multiplied b nominal value per share) |  |  |  |  |
|--|---|---|--|--|--|--|--|
| return.  | £1 ODINARY  | 100,000   | £100,000 ~00   |  |  |  |  |
|  | Totals  | 100,000   | £100,000-00  |  |  |  |  |
| List of past and present members (See note 9) (Use attached schedule where appropriate) A full list is required if one was not included with either of the last two returns.  The last full members list was at 05/07/98 | There were no ch  | nanges in the per                                 | Please mark the appropriate box.   |  |  |  |  |
|  | A full list of members is enclosed not on paper paper  A full list of members is enclosed |   |  |  |  |  |  |
| Elective resolutions (See note 10) (Private companies only)  |   |   |  |  |  |  |  |
| If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.  | ✓   |   |  |  |  |  |  |
| If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.  | V   |   |  |  |  |  |  |
| Certificate I certify that the information given in this return is true to the best of my knowledge and belief.  | Signed Date 27 Jul  | *   | Secretary/Director * (delete as appropriate)   |  |  |  |  |
| I enclose the fee of £ 15.  Cheques should be made payable  to Companies House.  |   | des 7 (enter number) re that you has on this page |  |  |  |  |  |
| To whom should Companies House direct any enquiries about the information shown in this return?  | C.J.WISE, IM  |   |  |  |  |  |  |
|  |   | Postcode  | EC2V 7QP   |  |  |  |  |
| DAGE 6   | Telephone <u>0)71</u>   | - 597 1843  | Ext  |  |  |  |  |

Full Name: Chillingworth, Julian

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|             | Company Name<br>Hambros I Init Trust Managers Limited | Appointment Type | Appointed 21/03/1997 | Resigned | Reason |
|-------------|---|------------------|----------------------|----------|--------|
| INVESTEC    | GUINNESS FLIGHT                                       |                  | 18/07/1997           |          |        |
| GLOBAL AS   | SSET MANAGEMENT                                       |                  |                      |          |        |
| INVESTEC    | GUINNESS FLIGHT                                       | Director         | 01/03/1996           |          |        |
| INVESTMEN   | IT MANAGEMENT   |                  |                      |          |        |
| INVESTEC (  | <b>BUINNESS FLIGHT</b>                                | Director         | 16/07/1997           |          |        |
| INVESTMEN   | T MANAGERS  |                  |                      |          |        |
| INTERNATION | ONAL LIMITED  |                  |                      |          |        |

## Full Name: LANGDON, MICHAEL

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|         | Company Name                                       | ppointment Type | Appointed  | Resigned | Reason |  |
|---------|--|-----------------|------------|----------|--------|--|
|         | Guinness Flight Hambro Global Fund                 | irector         | 18/07/1997 |          |        |  |
| 2935095 | Managers Limited<br>GUINNESS FLIGHT PEP NOMINEES D | Director        | 15/06/1994 |          |        |  |
|         | LIMITED  |                 |            |          |        |  |
|         | Hambros Unit Trust Managers Limited                | Director        | 18/09/1997 |          |        |  |
|         | INVESTEC GUINNESS FLIGHT FUND                      | Director        | 20/07/1993 |          |        |  |
|         | MANAGERS LIMITED                                   |                 |            |          |        |  |
|         | INVESTEC GUINNESS FLIGHT                           | Director        | 20/07/1993 |          |        |  |
|         | MANAGEMENT LIMITED                                 |                 |            |          |        |  |

## External Appointments

Number

| Place of Incorp<br>England                   |
|--|
| Resigned                                     |
| Appointed<br>17/07/1997                      |
| Company Name<br>Puddle Dock Nominees Limited |

Full Name: Martin Smith, Andrew

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| <u>Number</u><br>3135520 | Company Name<br>Guinness Flight Hambro Global Fund<br>Manages I imited              | pointment Type<br>ector | <u>Appointed</u><br>28/03/1996 | Resigned | Reason |
|--------------------------|---|-------------------------|--------------------------------|----------|--------|
| 890308<br>2099337        | Hambros Unit Trust Managers Limited INVESTEC GUINNESS FLIGHT                        | Director<br>Director    | 16/02/1994<br>18/07/1997       |          |        |
| 1902972                  | PORTFOLIO MANAGEMENT LIMITED<br>INVESTEC GUINNESS FLIGHT<br>GLOBAL ASSET MANAGEMENT | Director                | 18/07/1997                     |          |        |
| 3099346                  | INVESTEC GUINNESS FLIGHT INVESTMENT MANAGEMENT                                      | Chief Executive         | 14/11/1995                     |          |        |
| 1448687                  | INVESTEC GUINNESS FLIGHT INVESTMENT MANAGERS  | ector                   | 27/01/1995                     |          |        |
| 1299950                  | INTERNATIONAL LIMITED INVESTEC GUINNESS FLIGHT INVESTMENT TRUST MANAGERS            | Director                | 18/07/1997                     |          |        |
| 2036094                  | LIMITED<br>INVESTEC GUINNESS FLIGHT<br>I MITED                                      | ector                   | 01/05/1997                     |          |        |
| 17200                    | LIMITED<br>INVESTEC GUINNESS FLIGHT<br>PERSONAL PORTFOLIO                           | ector                   | 22/07/1998                     |          |        |
| 1278475                  | MANAGEMENT (GUERNSEY) LIMITED Plough Court Fund Management Limited                  | ector                   | 07/09/1995                     |          |        |

## External Appointments

| <u>Place of Incorp</u><br>England       | England                         | England              |
|---|---------------------------------|----------------------|
| Resigned                                | 12/03/1996                      | 27/02/1998           |
| Appointed<br>23/09/1994                 | 12/01/1995                      | 14/07/1987           |
| Company Name Christies Nominees Limited | Hambros Bank (Nominees) Limited | Hambros Bank Limited |
| Number .                                |                                 | 964058               |

Secretariat for Windows

Full Name: Martin Smith, Andrew [Contd]

[Contd]

External Appointments

| <u>Place of incorp</u><br>England<br>England   | England<br>England                         |
|--|--|
| Resigned<br>14/11/1997<br>09/05/1997   | 09/05/1997                                 |
| Appointed<br>01/09/1994<br>28/02/1994  | 31/12/1996                                 |
| Company Name<br>Hambros Hopkins Asset Management Limited<br>Hambros Investment Management Services | The Olympus Trust PLC<br>Tweedfine Limited |
| <u>Number</u><br>951066  | 2480062                                    |

Full Name: Nobes, Gillian

## Internal Appointments

| Reason<br>Resign  | Resigna   |                                      | Resigna                             |                                     | Resigna                       |                  | Resigna                  |                    |
|---|---|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------|------------------|--------------------------|--------------------|
| Resigned<br>19/11/1996                                  | 18/07/1997  |                                      | 19/11/1996                          |                                     | 18/03/1998                    |                  | 18/03/1998               |                    |
| Appointed<br>28/03/1996                                 | 18/06/1996  | 31/12/1996                           | 23/08/1993                          | 20/03/1992                          | 18/07/1997                    |                  | 18/07/1997               |                    |
| Appointment Type Appointed Company Secretary 28/03/1996 | Director  |                                      | ecretary                            |                                     | Director                      |                  | Director                 |                    |
| Company Name<br>Guinness Flight Hambro Global Fund      | Managers Limited<br>Guinness Flight Hambro Global Fund Director<br>Managers Limited | Hambros Fund Managers (U.K.) Limited | Hambros Unit Trust Managers Limited | Hambros Unit Trust Managers Limited | INVESTEC GUINNESS FLIGHT FUND | MANAGERS LIMITED | INVESTEC GUINNESS FLIGHT | MANAGEMENT LIMITED |
| Number<br>3135520                                       | 3135520   | 5062                                 | 890308                              | 890308                              | 2392609                       |                  | 2432201                  |                    |

## Full Name: SAUNDERS, PHILIP

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| Number<br>3135520 | Company Name<br>Guinness Flight Hambro Global Fund<br>Managere I imited | Appointment Type<br>Director | Appointed<br>18/07/1997 | Resigned   | Reason  |
|-------------------|---|------------------------------|-------------------------|------------|---------|
| 890308            | Hambros Unit Truct Managers Limited                                     | Director                     | 18/09/1997              |            |         |
| 8008              | MANAGERS (GUERNSEY) LIMITED   | Director                     | 0661/10/07              |            |         |
| 2392609           | INVESTEC GUINNESS FLIGHT FUND   | Director                     | 29/11/1991              |            |         |
|                   | MANAGERS LIMITED  | i                            | 0007110100              | 1007110101 |         |
| 1902972           | INVESTEC GUINNESS FLIGHT  | Director                     | 06/07/1992              | 18/0//199/ | Kesigns |
|                   | GLOBAL ASSET MANAGEMENT   |                              |                         |            |         |
| 2036094           | INVESTEC GUINNESS FLIGHT  | Director                     | 20/02/1987              | 01/05/1997 | Resign  |
|                   | LIMITED   |                              |                         |            |         |
| 2432201           | INVESTEC GUINNESS FLIGHT  | Director                     | 28/11/1991              |            |         |
|                   | MANAGEMENT LIMITED  |                              |                         |            |         |

LIST OF PAST AND PRESENT MEMBERS **SCHEDULE TO FORM 363** Account of Shares Company Number: 00890308 Particulars of shares transferred Number of since the date of the last return, shares or or, in the case of the first return. Company Name: amount of since the incorporation of the HAMBROS UNIT TRUST MANAGERS LIMITED stock held company, by by existing (a) persons who are still members at members, and date of this (b) persons who have return. ceased to be members. LI OPDINARY SHARES Number Date of Number Currently Name and address Registration Remarks Transferred of Transfer Held INVESTEC GUINNESS FLIGHT INVESTMENT IMANAGEMENT LIMITED FORMERLY GUINNESS FLIGHT HAMBRO 31/3/1999 ALLOTMENT INVESTMENT MANAGEMENT LIMITED), + 50,000 2 GRESHAM STREET, LONDON, ECOLY TOP 100,000

LIST OF PAST AND PRESENT MEMBERS (continued) SCHEDULE TO FORM 363 Account of Shares Company Number: 00890308 Particulars of shares transferred Number of since the date of the last return, shares or or, in the case of the first return, Company Name: amount of since the incorporation of the HAMBROS UNIT TRUST MANAGERS LIMITED stock held company, by by existing (a) persons who are still members at members, and date of this (b) persons who have return. ceased to be members. Number Date of Number Name and address Currently Registration Remarks Transferred of Transfer Held